



Impact
factor **4.659**

Eurosurveillance

Europe's journal on infectious disease epidemiology, prevention and control

Vol. 19 | Weekly issue 42 | 23 October 2014

RAPID COMMUNICATIONS

Continued seasonal circulation of enterovirus D68 in the Netherlands, 2011–2014 2

by A Meijer, KS Benschop, GA Donker, HG van der Avoort

Assessing the impact of travel restrictions on international spread of the 2014 West African Ebola epidemic 8

by C Poletto, MF Gomes, A Pastore y Piontti, L Rossi, L Bioglio, DL Chao, IM Longini, ME Halloran, V Colizza, A Vespignani

Colistin resistance superimposed to endemic carbapenem-resistant *Klebsiella pneumoniae*: a rapidly evolving problem in Italy, November 2013 to April 2014 14

by M Monaco, T Giani, M Raffone, F Arena, A Garcia-Fernandez, S Pollini, Network EuSCAPE-Italy, H Grundmann, A Pantosti, GM Rossolini

Interim estimates of the effectiveness of seasonal trivalent inactivated influenza vaccine in preventing influenza hospitalisations and primary care visits in Auckland, New Zealand, in 2014 19

by N Turner, N Pierse, QS Huang, S Radke, A Bissielo, MG Thompson, H Kelly, on behalf of the SHIVERS investigation team

SURVEILLANCE AND OUTBREAK REPORTS

Multiple human-to-human transmission from a severe case of psittacosis, Sweden, January–February 2013 25

by A Wallensten, H Fredlund, A Runeheger

Surveillance of invasive *Neisseria meningitidis* with a serogroup Y update, Sweden 2010 to 2012 31

by B Törös, S Thulin Hedberg, S Jacobsson, H Fredlund, P Olcén, P Mölling

Continued seasonal circulation of enterovirus D68 in the Netherlands, 2011–2014

A Meijer (Adam.Meijer@rivm.nl)¹, K S Benschop¹, G A Donker², H G van der Avoort¹

1. Centre for Infectious Disease Research, Diagnostics and Screening, National Institute for Public Health and the Environment (RIVM), Bilthoven, The Netherlands

2. NIVEL Primary Care Database, Sentinel Practices, Utrecht, The Netherlands

Citation style for this article:

Meijer A, Benschop KS, Donker GA, van der Avoort HG. Continued seasonal circulation of enterovirus D68 in the Netherlands, 2011–2014. *Euro Surveill.* 2014;19(42):pii=20935. Available online: <http://www.eurosurveillance.org/ViewArticle.aspx?ArticleId=20935>

Article submitted on 17 October 2014 / published on 23 October 2014

Enterovirus D68 (EV-D68) continued to circulate in a seasonal pattern in the Netherlands, after the outbreak in 2010. Outpatient EV-D68 cases, mainly in the under 20 and 50–59 years age groups, presented with relatively mild respiratory disease. Hospital-based enterovirus surveillance identified more severe cases, mainly in children under 10 years of age. Dutch partial VP1 genomic region sequences from 2012 through 2014 were distributed over three sublineages similar to EV-D68 from the outbreak in the US in 2014.

After the 2010 outbreak, enterovirus D68 (EV-D68) continued to circulate in a seasonal pattern in the Netherlands. Here, we report the results of the monitoring of EV-D68 circulation in the Netherlands from week 1 2011 through week 40 2014.

EV-D68 has been sporadically detected since its first description in 1962, up to 2008 [1,2]. From 2008 onwards, EV-D68 outbreaks occurred worldwide, including in 2010 in the Netherlands [2–5]. The largest outbreak is currently occurring in Northern America, causing substantial hospitalisation of children with severe respiratory disease in the United States (US) [3,6]. Many of these children have underlying disease, such as asthma [3,6]. Previous outbreaks described in the literature reported mainly on hospitalised patients [3].

In the Netherlands, retrospective analysis of enteroviruses detected from the general practitioner (GP) sentinel surveillance of influenza-like illness (ILI) and other acute respiratory infections (ARI) showed that circulation of EV-D68 occurred at least since 1996 up to the upsurge of 2010 [5]. EV-D68 cases had significantly more dyspnoea and bronchiolitis compared to EV-D68-negative patients with ILI or ARI notified in the same week [5]. In the Dutch national enterovirus surveillance aimed at exclusion of poliovirus circulation, EV-D68 was rarely detected, mainly because the focus has been on enteroviruses detected in stool specimens

[7]. Since 2010, we continued to monitor EV-D68 circulation in the Netherlands through both surveillance schemes.

Specimen collection

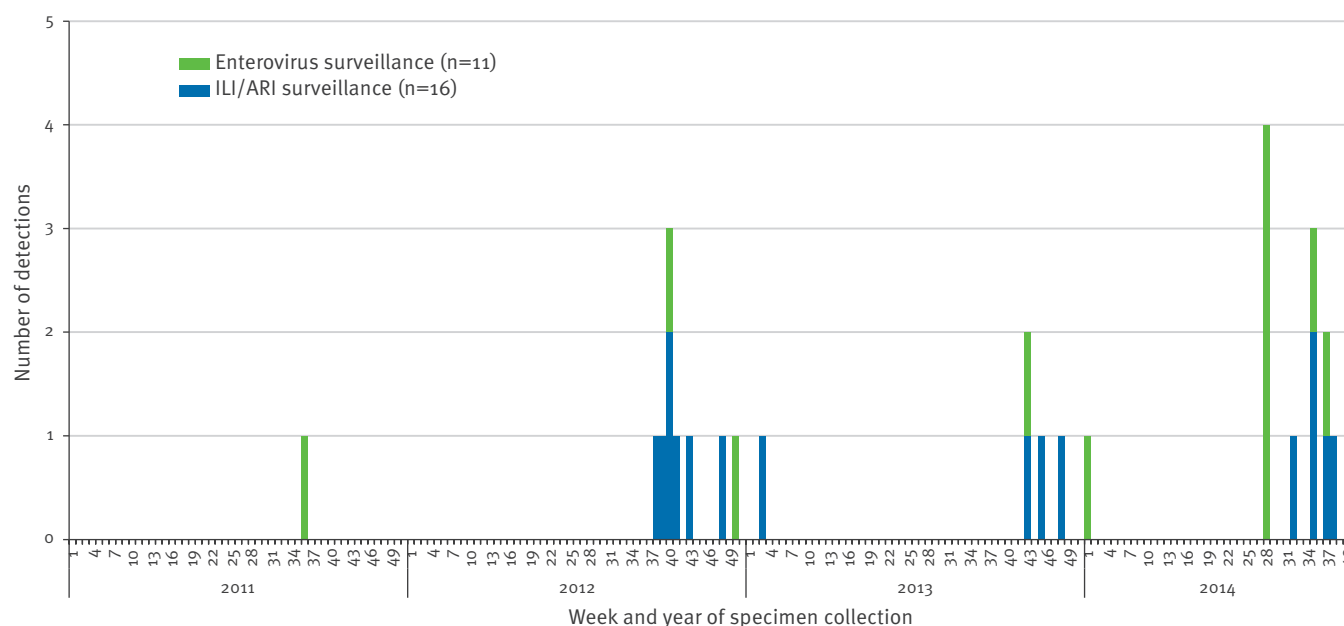
The methods used for specimen collection and for enterovirus detection and VP1 genomic region sequence analysis have been described [5,7,8]. For phylogenetic analysis using MEGA6 [9] all available VP1 sequences (covering nucleotides 132 through 471 relative to the VP1 gene of the Fermon strain) as of 12 October 2014 were downloaded from GenBank. The phylogeny was reconstructed using maximum likelihood and 1,000 bootstrap iterations with new Dutch sequences included (GenBank accession numbers KM975324–KM975350). Numbering of the major clusters (1, 2 and 3) has been described [5] and is synonymous to major clusters B, C and A respectively described by Tokarz et al. [10].

Results

Figure 1 and Table 1 summarise EV-D68 detections through the GP-based sentinel ILI and other ARI surveillance and the national enterovirus surveillance in the Netherlands, in specimens with collection dates from week 1 2011 through week 40 2014. Over the whole period, 27 EV-D68 cases were identified in a seasonal pattern; one in autumn 2011, 10 in autumn–winter period 2011/12, five in autumn–winter period 2012/13, and 11 since summer 2014 (Figure 1). The start of detections in 2014 was earlier compared to the start of detections in 2012 (12 and six weeks earlier in the enterovirus and ILI/ARI surveillance respectively) and in 2013 (15 and 11 weeks earlier in the enterovirus and ILI/ARI surveillance respectively) (Figure 1). By year, the proportion EV-D68 among enteroviruses analysed was much higher (median 25%; range 0–38%) in the ILI/ARI surveillance compared to the enterovirus surveillance (median 0.5%; range 0.3–1.4% (Table 1). However, by year, the percentage of enterovirus detections among ILI/ARI cases was low, on average 1.7% (range 1.4–2.1%) (Table 1).

FIGURE 1

Enterovirus D68 detections by source, the Netherlands, week 1 2011–week 40 2014



ARI: acute respiratory infections; ILI: influenza-like illness.

Due to increased awareness of the importance of enteroviruses in respiratory infections, laboratories participating in the Dutch national enterovirus surveillance also submitted enteroviruses associated with respiratory illness for typing after 2010; all 11 EV-D68 detections were in respiratory specimens. The age distribution in outpatients over the whole period was not different from that reported before, over the period 1996 through 2010 [5]; cases occurred mainly in the under 20 and in the 50–59 years age groups (Table 2). The male/female ratio was 1.3 (Table 2). In the national

enterovirus surveillance, however, EV-D68 was mainly detected in the under 10 years age group and the male/female ratio was 0.8 (Table 2).

The age distribution in 2014 was similar to that for the whole period for both surveillance schemes (data not shown). EV-D68 positive outpatients presented with ILI as well as other ARI, with most prominent symptoms being fever and cough (Table 2). Similar to the situation in Northern America in 2014, the hospitalised cases experienced severe respiratory disease (Table 2).

TABLE 1

Detections of enterovirus D68 in general practitioner sentinel influenza-like illness and other acute respiratory infection surveillance and in enterovirus surveillance, the Netherlands, week 1 2011–week 40 2014

Year	Number of clinical specimens tested	Number of enterovirus positive specimens (% of specimens tested) ^a	Number of enterovirus D68 positive specimens (% of enterovirus positive specimens)
ILI/ARI surveillance			
2011	1,369	19 (1.4)	0
2012	1,126	24 (2.1)	7 (29)
2013	1,292	19 (1.5)	4 (21)
2014 (through week 40)	792	13 (1.6)	5 (38)
Enterovirus surveillance			
2011	Unknown	362	1 (0.3)
2012	Unknown	498	2 (0.4)
2013	Unknown	309	2 (0.6)
2014 (through week 40)	Unknown	414	6 (1.4)

ARI: acute respiratory infection; ILI: influenza-like illness.

^a In enterovirus surveillance the number of enterovirus isolates or enterovirus positive clinical specimens submitted to the National Institute for Public Health and the Environment (RIVM) for VP1 typing is represented.

TABLE 2

Demographic and clinical characteristics of enterovirus D68 positive patients from the general practitioner sentinel influenza-like illness and other acute respiratory infection surveillance and from the national enterovirus surveillance, the Netherlands, week 1 2011–week 40 2014

Parameter	ILI/ARI surveillance (N = 16) n	Enterovirus surveillance (N = 11) n
Age groups (years)		
<10	5	8
10–19	3	0
20–29	1	2
30–39	0	0
40–49	1	0
50–59	4	1
60–69	1	0
70–79	1	0
≥80	0	0
Sex		
Female	7	6
Male	9	5
Diagnosis^a		
ILI	8	0
Bronchitis	4	2
Common cold	3	0
Tonsillitis	1	0
Pneumonia	0	2
Symptoms^a		
Acute ^b	14	0
Cough	13	1
Fever	13	0
Rhinorrhoea	8	0
Sore throat	8	0
Fatigue	6	0
Headache	5	0
Myalgia	4	0
Dyspnoea	4	2
Diarrhoea	1	0
Underlying disease ^c	5	2
No clinical data reported	0	5

ARI: acute respiratory infection; ILI: influenza-like illness.

^a In ILI/ARI surveillance, diagnosis and symptoms are checkable items on the specimen form; in the enterovirus surveillance they are reported in a free text item.

^b A prodromal stage of three or four days.

^c In ILI/ARI and enterovirus surveillance, underlying disease is reported in a free text item on the specimen form.

EV-D68 cases were detected all over the country; no localised outbreak was detected. Phylogenetic analysis of the VP1 genomic region showed that the Dutch EV-D68 from 2014, and from 2012 and 2013 as well, clustered with the US 2014 outbreak sequences in major group 1 in two sublineages and in major group 3 in one of the sublineages (Figure 2). Other Dutch EV-D68 from 2011 through 2014 clustered in other sublineages of major group 3. The sequences in the two

sublineages of major group 1 had highly similar amino acid signatures, whereas sequences in the sublineages of major group 3 had clearly different amino acid signatures, with most differences located in the immunogenic BC and DE loops (Figure 3).

Discussion

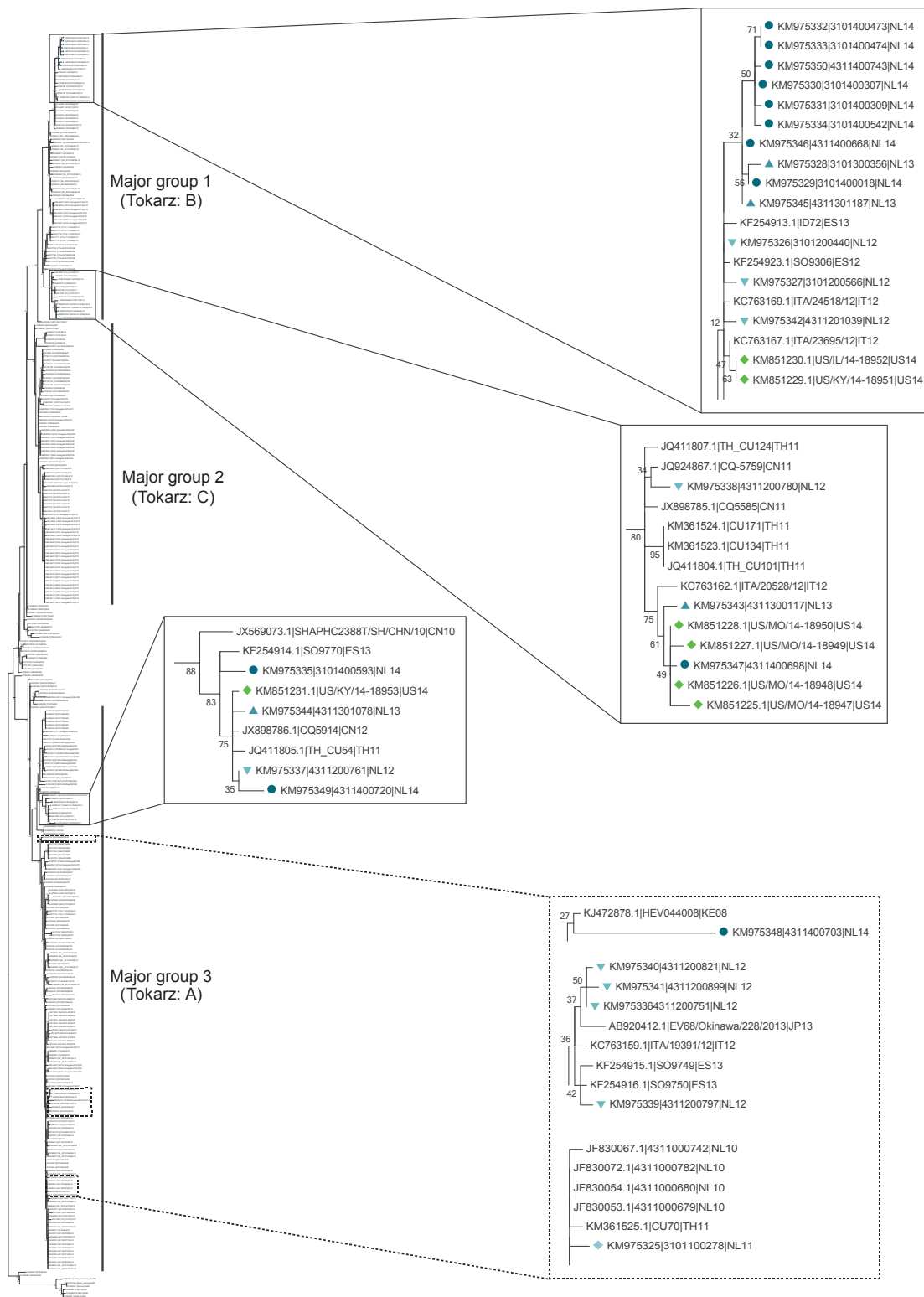
Although rarely detected worldwide, our combined previous and current results over the period 1996–2014 show that EV-D68 seems to circulate every year in a seasonal pattern in the northern hemisphere in predominantly the autumn through early winter period, causing relatively mild respiratory illness in a number of individuals large enough to be picked up by the GP ILI/ARI surveillance [5]. The national enterovirus surveillance shows that a number of EV-D68 cases are admitted to hospital each year with more severe respiratory disease. Clinical presentation ranging from mild to severe respiratory disease is in line with our previous findings, and has been described before [1–6]. None of the patients described in this paper had symptoms of neurological disease or paralysis. A causative link between EV-D68 infection and paralysis has not been established to date [11]. Given the acute flaccid paralysis rate (AFP) indicator used by the World Health Organization for optimal polio surveillance (1–2 cases per 100,000 children below 15 years of age) one can expect that during a large EV-D68 outbreak, also several AFP patients will be shedding EV-D68. The present outbreak in Northern America provides an opportunity to investigate the link.

The difference in age distribution of EV-D68 cases between the ILI/ARI surveillance and the national enterovirus surveillance in our dataset is biased by the fact that 95% of enteroviruses identified by enterovirus surveillance are from children [7]. The male/female ratio of 1.3 among EV-D68 cases in the ILI/ARI surveillance was slightly lower compared to 1.5 over the period 1996 through 2010, but showing the usual male predominance among enterovirus infected persons [5]. Hence, the female predominance among EV-D68 cases in the national enterovirus surveillance is unusual, but likely the result of the low number of cases.

The number of hospitalised EV-D68 cases identified through the national enterovirus surveillance in the Netherlands is likely underestimated. When first described, EV-D68 was found to be relatively acid resistant and was distinguished from the acid-sensitive human rhinovirus type 87 (HRV87) on this basis [12]. However, in 2002, HRV87 was reclassified as EV-D68 based on phylogenetic analysis [13]. Many RT-PCR diagnostic tests for enteroviruses as well as rhinoviruses are targeted at the 5' untranslated region of the genome [8]. Many of these tests are capable of detecting EV-D68 despite mismatches in primers and probes with the EV-D68 target sites, although with varying sensitivity depending on reagents and equipment used for RT-PCR [8]. This might also result in a false negative or a false rhinovirus-positive result [8]. Performed

FIGURE 2

Phylogenetic analysis of partial VP1 genomic region sequences of enterovirus D68, nucleotides 132 through 471 relative to the VP1 genomic region of the Fermon strain^a, covering the BC and DE immunogenic loops in the VP1 protein^b



^a GenBank ID: AF081348.1.

^b Figure 3.

^c One enterovirus D68 from 2013 could only be identified by sequencing of the 5' untranslated region diagnostic RT-PCR product and is therefore not included in Figures 2 and 3.

The maximum likelihood tree is shown with the percentage bootstrap support for branching events after 1,000 iterations indicated at the nodes. Major phylogenetic groups as described in references 5 and 10 are indicated on the right of the tree. Dutch sequences covering the period 2011–2014 and sequences from the 2014 outbreak in the US are enlarged.

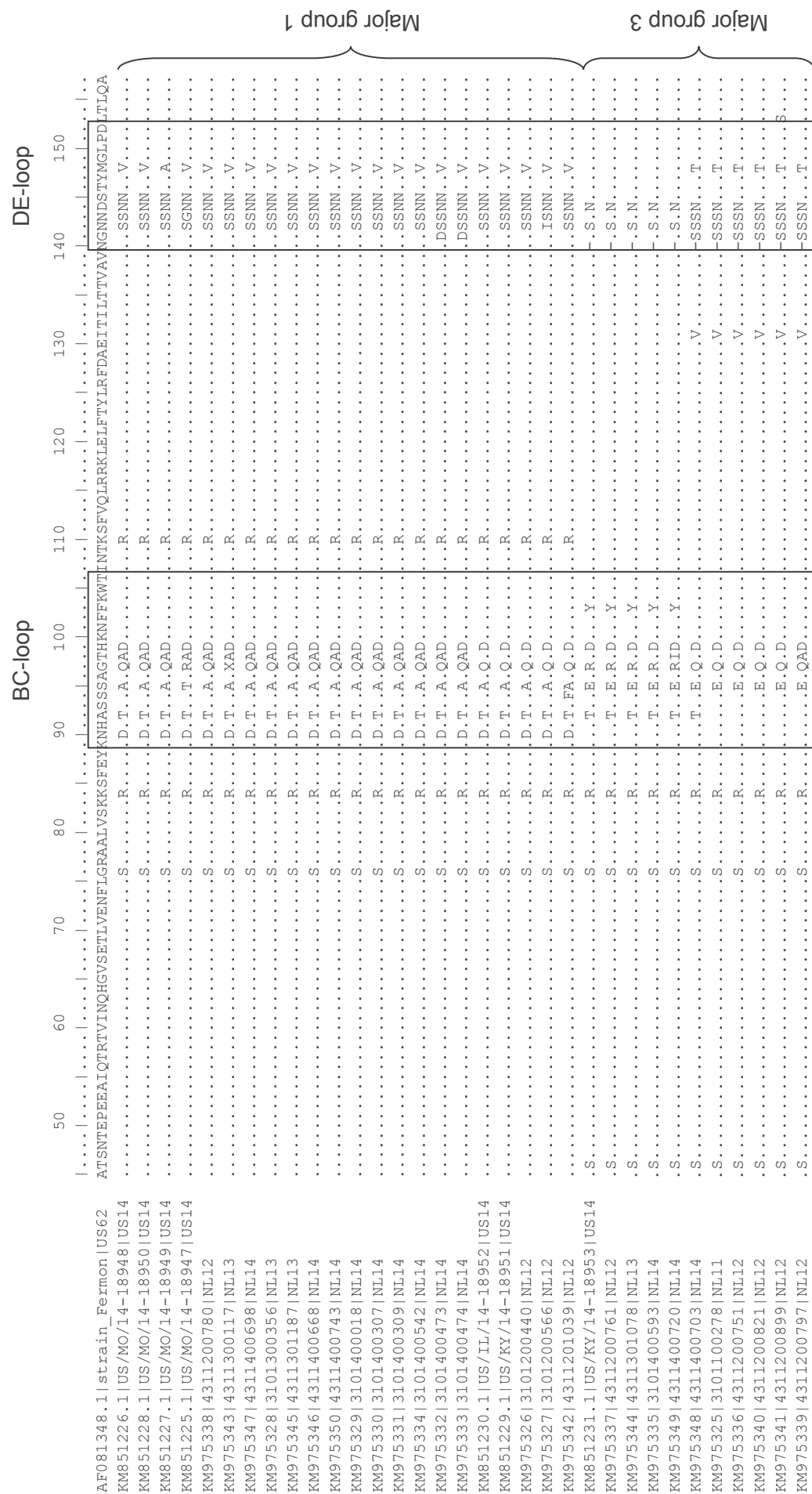
◆ Sequences from the US, 2014

Blue labels indicate sequences from the Netherlands:

- ◆ 2011 (n=1)
- ▼ 2012 (n=9)
- ▲ 2013 (n=4)
- 2014 (n=12)

FIGURE 3

Analysis of partial VP1 amino acid sequences of enterovirus D68 in the Netherlands covering the period 2011–2014 and of enterovirus D68 from the 2014 outbreak in the United States



X: mixed Q/R amino acids.

Major groups as identified in Figure 2 are indicated on the right of the alignment. Numbering of amino acid residues is relative to the start of the VP1 reading frame of the Fermon strain. Amino acids common to the Fermon strain are indicated with a dot in the alignment. The putative BC and DE loops are indicated by boxes on the aligned amino acid sequences.

One enterovirus D68 from 2013 could only be identified by sequencing of the 5' untranslated region diagnostic RT-PCR product and is therefore not included in Figures 2 and 3.

on respiratory specimens, these tests might therefore wrongly identify an EV-D68 virus as a rhinovirus, and further investigation by typing in the national enterovirus surveillance protocol will not be performed [7,8]. Furthermore, a number of Dutch laboratories have started to type enteroviruses themselves and share data through the national enterovirus surveillance, although this is done with delay and infrequently. These laboratories participate in VIRO-TypeNed (formerly called TYPENED) [14] to provide a year-round surveillance and current efforts are directed at updating VIRO-TypeNed with EV-D68 detections.

Previous work has indicated that co-circulation of the different phylogenetic lineages of EV-D68 is the result of increased variability of the VP1 genomic region, i.e. the BC and DE loops, leading to reduced cross-neutralising antibodies raised against viruses of the major groups [5,10,15]. Variation of the highly conserved internal ribosome entry site in the 5' untranslated region, present in major group 1 and 2 viruses, has been suggested to be associated with increased virulence [10]. However, the US 2014 outbreak viruses are located in major groups 1 and 3, and similar viruses have been detected in the Netherlands, but associated with mild disease. Nevertheless, underlying disease like asthma seems to be an important factor for development of severe disease following EV-D68 infection [6]. Further in depth analysis of the EV-D68 full genomes from mild and severe cases and linked virological, clinical and epidemiological information should provide further insight in the factors determining severity of EV-D68 infection.

Acknowledgements

We thank Anne-Marie van den Brandt, Sharon van den Brink, Jeroen Cremer, Edin Jusic, Pieter Overduin, Bas van der Veer from the National Institute for Public Health and the Environment, Bilthoven, The Netherlands for laboratory support and Marianne Heshusius-van Valen from NIVEL Netherlands Institute for Health Services Research, Utrecht, the Netherlands for excellent assistance with data collection. We thank the participating Dutch clinical virological laboratories for submitting enteroviruses for typing, and general practitioners and their patients for participation in the ILI/ARI sentinel surveillance. This work was partly funded by the Ministry of Health, Welfare and Sport, the Netherlands.

Conflict of interest

None declared.

Authors' contributions

Adam Meijer, Harrie van der Avoort and Kimberley Benschop collected data. Gé Donker coordinated the sentinel GP network collecting specimens. Adam Meijer performed the analysis of the data and wrote the first draft of the paper. All other authors reviewed the manuscript critically, and comments and suggestions were incorporated in the final version by Adam Meijer.

References

1. Khetsuriani N, Lamonte-Fowlkes A, Oberst S, Pallansch MA; Centers for Disease Control and Prevention. Enterovirus surveillance--United States, 1970-2005. *MMWR Surveill Summ*. 2006;55(8):1-20.
2. Centers for Disease Control and Prevention (CDC). Clusters of acute respiratory illness associated with human enterovirus 68--Asia, Europe, and United States, 2008-2010. *MMWR Morb Mortal Wkly Rep*. 2011;60(38):1301-4.
3. European Centre for Disease Prevention and Control (ECDC). Rapid Risk Assessment – Enterovirus 68 detections in the USA and Canada. Stockholm: ECDC. 26 Sep 2014. Available from: <http://www.ecdc.europa.eu/en/publications/Publications/enterovirus-68-USA-Canada-rapid-risk-assessment.pdf>
4. Rahamat-Langendoen J, Riezebos-Brilman A, Borger R, van der Heide R, Brandenburg A, Schölvinc E, et al. Upsurge of human enterovirus 68 infections in patients with severe respiratory tract infections. *J Clin Virol*. 2011;52(2):103-6. <http://dx.doi.org/10.1016/j.jcv.2011.06.019>
5. Meijer A, van der Sanden S, Snijders BE, Jaramillo-Gutierrez G, Bont L, van der Ent CK, et al. Emergence and epidemic occurrence of enterovirus 68 respiratory infections in The Netherlands in 2010. *Virology*. 2012;423(1):49-57. <http://dx.doi.org/10.1016/j.virol.2011.11.021>
6. Midgley CM, Jackson MA, Selvarangan R, Turabelidze G, Obringer E, Johnson D, et al. Severe respiratory illness associated with enterovirus D68 - Missouri and Illinois, 2014. *MMWR Morb Mortal Wkly Rep*. 2014;63(36):798-9.
7. van der Sanden SM, Koopmans MP, van der Avoort HG. Detection of human enteroviruses and parechoviruses as part of the national enterovirus surveillance in the Netherlands, 1996-2011. *Eur J Clin Microbiol Infect Dis*. 2013;32(12):1525-31. Epub 2013 Jun 19.
8. Jaramillo-Gutierrez G, Benschop KS, Claas EC, de Jong AS, van Loon AM, Pas SD, et al. September through October 2010 multi-centre study in the Netherlands examining laboratory ability to detect enterovirus 68, an emerging respiratory pathogen. *J Virol Methods*. 2013;190(1-2):53-62. <http://dx.doi.org/10.1016/j.jviromet.2013.02.010>
9. Tamura K, Stecher G, Peterson D, Filipski A, Kumar S. MEGA6: Molecular Evolutionary Genetics Analysis version 6.0. *Mol Biol Evol*. 2013;30(12):2725-9. <http://dx.doi.org/10.1093/molbev/mst197>
10. Tokarz R, Firth C, Madhi SA, Howie SR, Wu W, Sall AA, et al. Worldwide emergence of multiple clades of enterovirus 68. *J Gen Virol*. 2012;93(Pt 9):1952-8. <http://dx.doi.org/10.1099/vir.0.043935-0>
11. Pastula DM, Aliabadi N, Haynes AK, Messacar K, Schreiner T, Maloney J, et al. Acute neurologic illness of unknown etiology in children - Colorado, August-September 2014. *MMWR Morb Mortal Wkly Rep*. 2014;63(40):901-2.
12. Schieble JH, Fox VL, Lennette EH. A probable new human picornavirus associated with respiratory diseases. *Am J Epidemiol*. 1967;85(2):297-310.
13. Ishiko H, Miura R, Shimada Y, Hayashi A, Nakajima H, Yamazaki S, et al. Human rhinovirus 87 identified as human enterovirus 68 by VP4-based molecular diagnosis. *Intervirology*. 2002;45(3):136-41. <http://dx.doi.org/10.1159/000065866>
14. Niesters HG, Rossen JW, van der Avoort H, Baas D, Benschop K, Claas EC, et al. Laboratory-based surveillance in the molecular era: the TYPENED model, a joint data-sharing platform for clinical and public health laboratories. *Euro Surveill*. 2013;18(4):20387. Available from: <http://www.eurosurveillance.org/ViewArticle.aspx?ArticleId=20387>
15. Imamura T, Okamoto M, Nakakita S, Suzuki A, Saito M, Tamaki R, et al. Antigenic and receptor binding properties of enterovirus 68. *J Virol*. 2014;88(5):2374-84. Epub 2013 Dec 26. <http://dx.doi.org/10.1128/JVI.03070-13>

Assessing the impact of travel restrictions on international spread of the 2014 West African Ebola epidemic

Poletto^{1,2}, M F Gomes³, A Pastore y Piontti³, L Rossi⁴, L Bioglio^{1,2}, D L Chao⁵, I M Longini⁶, M E Halloran⁵, V Colizza (vittoria.colizza@inserm.fr)^{1,2,4}, A Vespignani³

1. INSERM, UMR-S 1136, Institut Pierre Louis d'Epidémiologie et de Santé Publique, Paris, France

2. Sorbonne Universités, UPMC Univ Paris 06, UMR-S 1136, Institut Pierre Louis d'Epidémiologie et de Santé Publique, Paris, France

3. Laboratory for the Modeling of Biological and Socio-Technical Systems, Northeastern University, Boston, Massachusetts, United States

4. Institute for Scientific Interchange (ISI), Turin, Italy

5. Vaccine and Infectious Disease Division, Fred Hutchinson Cancer Research Center, Seattle, Washington, United States

6. Department of Biostatistics, University of Florida, Gainesville, Florida, United States

Citation style for this article:

Poletto C, Gomes MF, Pastore y Piontti A, Rossi L, Bioglio L, Chao DL, Longini IM, Halloran ME, Colizza V, Vespignani A. Assessing the impact of travel restrictions on international spread of the 2014 West African Ebola epidemic. *Euro Surveill*. 2014;19(42):pii=20936. Available online: <http://www.eurosurveillance.org/ViewArticle.aspx?ArticleId=20936>

Article submitted on 26 September 2014 / published on 23 October 2014

The quick spread of an Ebola outbreak in West Africa has led a number of countries and airline companies to issue travel bans to the affected areas. Considering data up to 31 Aug 2014, we assess the impact of the resulting traffic reductions with detailed numerical simulations of the international spread of the epidemic. Traffic reductions are shown to delay by only a few weeks the risk that the outbreak extends to new countries.

Introduction

The 2014 Ebola outbreak currently involves three countries with widespread and intense transmission in the West African region (Guinea, Liberia and Sierra Leone) and four others where initial case(s) or localised transmission have been reported (Nigeria, Senegal, Spain and the United States), reaching a total of 8,997 cases and 4,493 deaths in the official report of 15 October 2014 [1].

With the number of cases exponentially increasing in the affected area, several agencies and governments are calling for massive coordinated interventions aimed at the surveillance and containment of the epidemic [2]. Scaling up the international response appears necessary for providing financial support, supply of technical resources and expertise, and delivery of essential services to the affected area [2]. The need to consider an international framework lies also in the possible further international spread of the epidemic [3]. In response to such concerns and in an attempt to reduce the risk of case importation, several countries and airlines have adopted travel restrictions to and from the affected area. These include the suspension of flights by a number of carriers, air/sea/land border closures,

restrictions for non-residents, suspension of visa issuance, and entry screening. Travel bans could potentially hamper the delivery of medical supplies and the deployment of specialised personnel to manage the epidemic [4]. Although international public health and relief agencies and representatives have been urgently calling for lifting such travel bans [4-6], these disease-avoidance mechanisms remain in place at the time of writing, and more are being considered. In light of their potentially harmful effects, the benefits of travel restrictions need to be carefully evaluated.

Air travel data is a critical source of information that has been recently analysed to characterise the degree of connectivity of the affected area to the rest of the world [7,8]. Air travel and human mobility data have also been integrated in large-scale computer micro-simulations that, taking explicitly into account the local evolution of the epidemic in the affected countries, quantify the risk for international spread of Ebola virus disease (EVD) out of Africa in the short term [9]. Hypothetical simulation scenarios considering an 80% reduction of passenger traffic flow out of the region indicate that further international spread is delayed by only a few weeks. Here, we use the model to quantify the effect that the travel restrictions implemented during August 2014 by countries and airlines have on the global spread of Ebola. By comparing the differences between simulations with and without travel restrictions, we can make quantitative estimates of the effectiveness of such restrictions on reducing the importation of new Ebola cases to countries outside of West Africa. Our goal is to inform the debate over the utility of travel bans to slow the spread of Ebola.

TABLE

Travel restrictions to and from Ebola-affected areas implemented by authorities and companies as of 31 August 2014

Travel-related measure	Travel-related measure Authorities/ Companies	Starting date of intervention ^a	Target area	Additional details ^{b,c}
Flight suppression	Three European airlines	From 6 Aug 2014 to 28 Aug 2014	Liberia Sierra Leone	See SI
	Two Asian airlines	From 6 Aug 2014 to 14 Aug 2014	Guinea Kenya	See SI
	Six African airlines	From 6 Aug 2014 to 26 Aug 2014	Guinea Liberia Nigeria Sierra Leone	See SI
Travel ban and/or border closure	Ghana	1 Aug 2014	Liberia Nigeria Sierra Leone	Ban of all flights from the affected countries
	Zambia	8 Aug 2014	Liberia Nigeria Sierra Leone	Ban on entry for citizens of the target countries
	Mauritania	11 Aug 2014	Liberia Nigeria Sierra Leone	Ban on entry for citizens of the target countries
	Chad	11 Aug 2014	Liberia Sierra Leone	Ban of all flights
	Cote D'Ivoire	13 Aug 2014	Nigeria	Ban of all flights, closure of land borders
	Nigeria	13 Aug 2014	Guinea Liberia Sierra Leone	Ban of all flights from the affected countries
	Botswana	14 Aug 2014	Guinea Liberia Sierra Leone	Banned travellers from affected countries
	Equatorial Guinea	15 Aug 2014	Guinea Liberia Sierra Leone	Suspended the issuance of visas
	Gambia	15 Aug 2014	Guinea Liberia Sierra Leone	Ban of all flights
	Kenya	16 Aug 2014	Guinea Liberia Sierra Leone	Ban of all flights
	Cape Verde Islands	19 Aug 2014	Guinea Liberia Sierra Leone	Border closure
	South Africa	21 Aug 2014	Guinea Liberia Sierra Leone	Ban on entry for citizens of target countries
	Cameroon	21 Aug 2014	Guinea Liberia Sierra Leone	Border closure
	Senegal	21 Aug 2014	Guinea Liberia Sierra Leone	Closure of land borders
	Rwanda	24 Aug 2014	Guinea Liberia Sierra Leone	Border closure
	Gabon	26 Aug 2014	Guinea Liberia Sierra Leone	Border closure
	Namibia	26 Aug 2014	Guinea Liberia Sierra Leone	Border closure
	Guinea Bissau	Before 26 Aug 2014	Guinea Liberia Sierra Leone	Ban of all flights, closure of land borders
	Togo	Before 26 Aug 2014	Guinea Liberia Sierra Leone	Ban of all flights

SI: supplementary information.

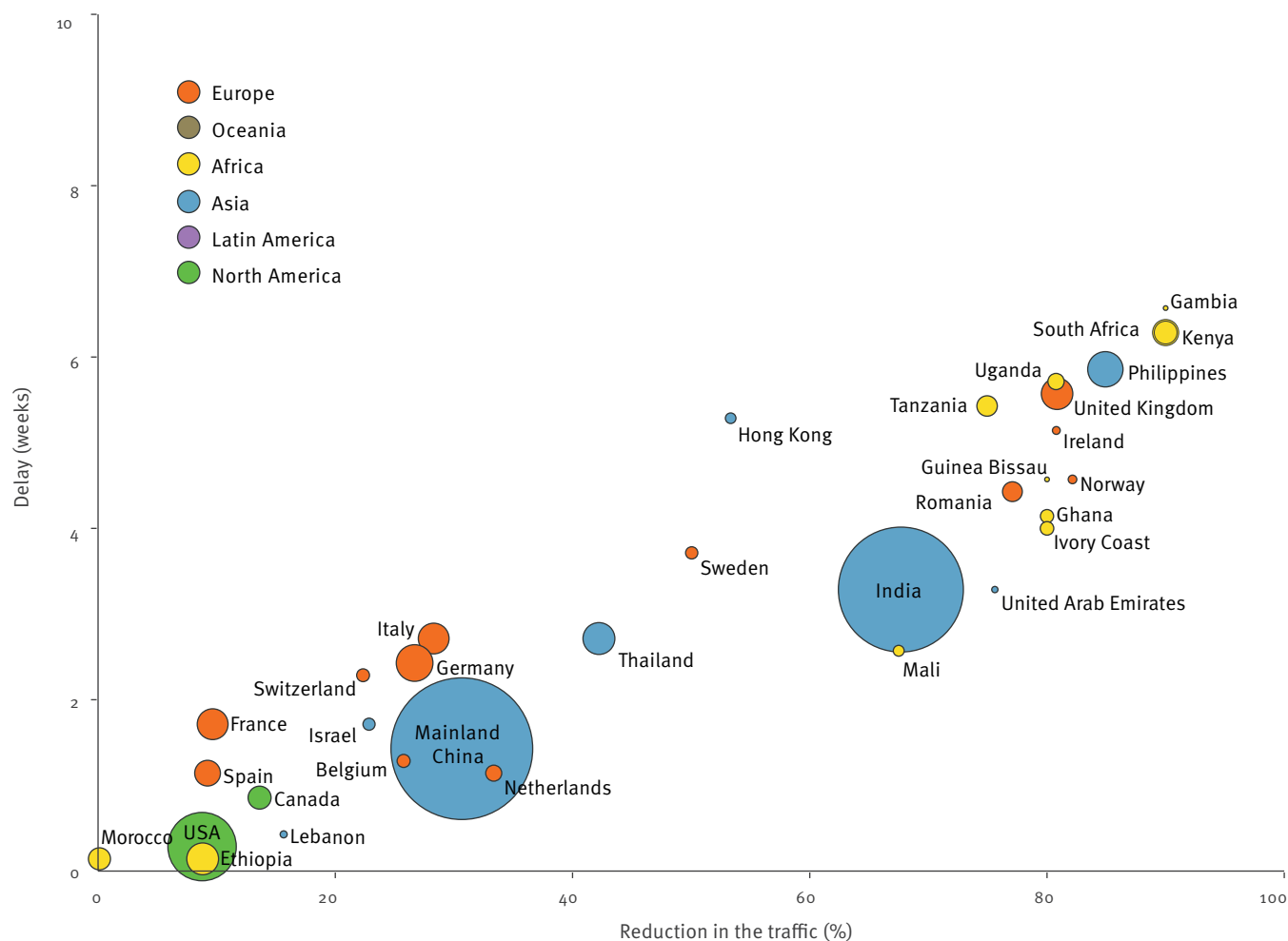
^a Depending on the information available, this can be either the date of intervention or the date of the bulletin/news.^b Closure of land borders is for all travellers irrespective of citizenship.^c Border closure is generally for citizens of the target countries and travellers coming from the affected area, with the exception of nationals of the destination country.

The list is obtained from publicly available sources extracted from the search ["ebola" AND "travel"] on Twitter on 1 September 2014.

Additional searches of news published on the Internet were performed to confirm and complement the initial list. More detailed information and references are provided in the supplementary information* available at <http://www.mobs-lab.org/ebola-eurosurvsup.html>

FIGURE 1

Modelled effect of travel restrictions on the risk of Ebola case importation for individual countries



The delay in the risk of case importation induced by the applied travel restrictions is shown for each country versus the overall reduction of the country's air traffic. The delay was calculated as the time after which the risk of case importation in the scenario with travel restrictions was equal to the value reached on 30 September 2014 in the baseline case. For clarity, only countries having a non-negligible risk of importation ($> 0.5\%$) are shown in the plot. The size of the dots is proportional to the country's population. Colours indicate the continents.

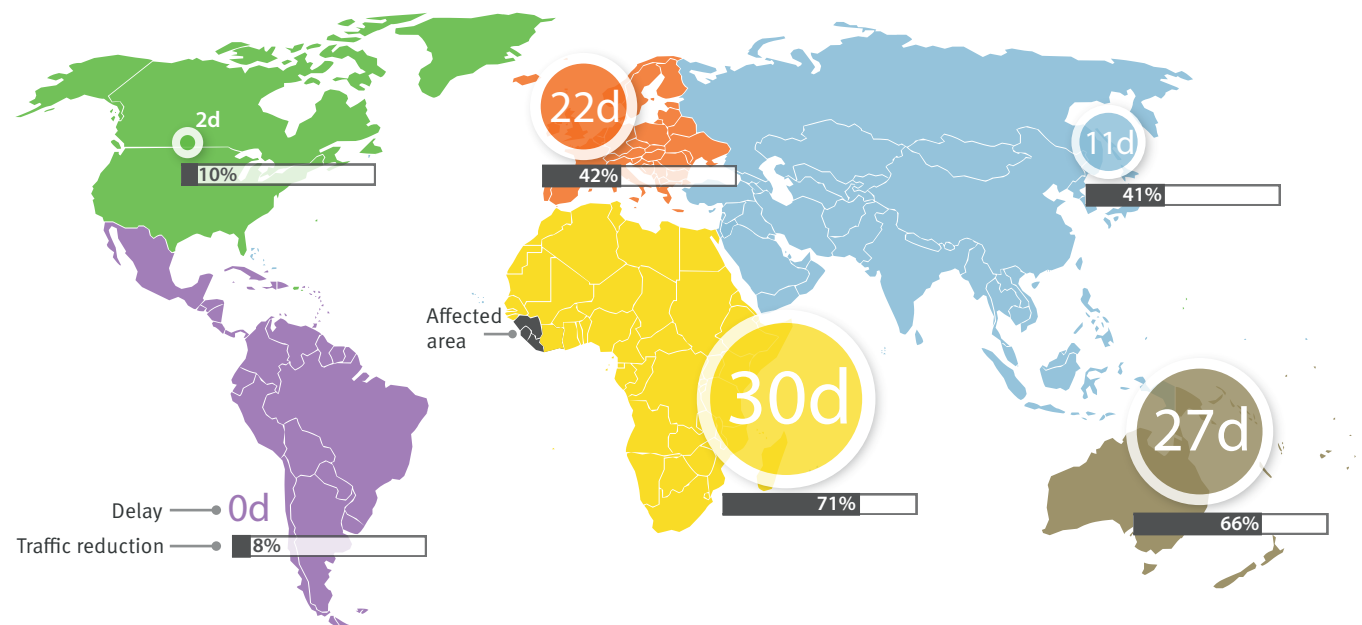
Methods

We used 2013 flight itinerary data providing travel volumes of passengers flying between any origin–destination pair of commercial airports in the world (International Air Transport Association (IATA), www.iata.org; Official Airline Guide (OAG), www.oag.com). Starting from the airport of origin, each itinerary reports all connecting airports to reach the final destination and the airline companies handling the connecting flights along the given route. We collected publicly available information on the travel restrictions related to Ebola-affected regions up to 31 August 2014. We considered both travel bans implemented by national authorities and flight discontinuations by individual airlines (Table). Restrictions are heterogeneous in terms of start date and target country in the affected area (e.g. some concern the entire Western Africa area and others just one of its countries). Flight suspensions by airline company *A* targeting the set of countries *C* were considered by removing from the flight database all

itineraries (and associated travel volumes) to *C* where *A* was the dominant airline. Then, travel bans and border closures implemented by country *B* targeting the set of countries *C* were considered by singling out all itineraries connecting *B* with *C* (in both directions) and reducing by a factor *r* the associated travel volumes, with $r_{neighbours} = 80\%$ for the affected area's neighbouring countries and $r_{others} = 90\%$ for all other countries, to model residual human mobility and non-compliance to policies. The resulting overall traffic reduction for each country was obtained by combining the effect of flight discontinuation and country level travel bans. We further required that the overall reduction could not be larger than *r*. This additional constraint is meant to model additional types of possible movements not captured by the air travel data (e.g. cross-border ground movement) and also adaptation to the restrictions (e.g. rearrangements of flight itineraries to other airline companies) for which detailed data are not currently available.

FIGURE 2

Modelled overall delays predicted for Ebola case importation by continent, following the application of the travel restrictions



Grey bars below the circles indicate the overall travel reduction per continent resulting from the currently applied travel restrictions. The size of the discs is related to the duration of the delay.

We used the Global Epidemic and Mobility model [10,11] applied to the EVD outbreak [9] to simulate case importation events in 220 countries around the world. The model [9] accounts for EVD transmission in the general community, in hospital settings, and during funeral rites [12]. Basic reproductive numbers for each of these settings were inferred through a Monte Carlo likelihood analysis considering more than 3,500,000 simulations that sampled the disease model parameter space and the case data on the EVD outbreak up to 27 August 2014. Other epidemiological parameters were taken from the literature [9,12,13]. The spatio-temporal epidemic evolution is modelled using individual-level dynamics where transitions are mathematically defined by chain binomial and multinomial processes to preserve the discrete and stochastic nature of the processes. Individuals in the latent state are allowed to follow the same mobility patterns and international travel behaviour as those who are not infected. Travel probabilities are calculated based on the integrated flight database and mechanistically simulated travel and commuting patterns. More details on the model and on the parameters' inference procedure are provided in [9] and in the supplementary information* (<http://www.mobs-lab.org/ebola-eurosurvsup.html>).

To assess the effect of current travel restrictions on the risk of case importation, we compared the international spread of the EVD epidemic obtained from numerical simulations of the model with and without the travel reductions. We focus on short-term

projections and calculate the probability of case importation per country (and per continent) predicted for 30 September 2014 in the baseline scenario without travel restrictions. The probability of importation at that date is still relatively small for most of the countries and detailed values for different dates can be found in [9]. We then compute the time delay needed to reach the same value of case importation probability per country (or continent) once the travel restrictions shown in the Table are implemented.

Results

The modelled travel restrictions impacted airline passenger volume to countries worldwide in a very heterogeneous manner (Figure 1, reporting results for countries with a case importation probability larger than 0.5% as of 30 September 2014). Notably, flight suppressions and border closures did not affect solely the countries implementing such measures but they also had considerable repercussions on others (e.g. India and the Philippines following the suppression of Emirates Airline flights). With few exceptions, African countries were predicted to experience traffic reductions greater than 70% due to generalised travel bans.

The total estimated reduction of 60% of airline passenger traffic connecting the West Africa region currently most affected by Ebola to the rest of the world was shown to be insufficient to prevent the exportation of Ebola cases. The observed traffic reductions were shown to delay the risk of case importation per country

from a few days to a few weeks (Figure 1). The majority of the countries (56%, mainly in Central Europe, Asia and the Americas) would not experience a delay longer than one month. At the continental level, the delay was predicted to be negligible for the Americas, and at most one month for the African continent (Figure 2). Results confirmed previous empirical evidence from past epidemics of other infectious diseases and were in agreement with mathematical modelling studies of the relationship between the exponential growth rate of an epidemic in a source region and the exportation to other regions [14-18]. Those can be summarised with the simple rule of thumb that a 50% travel reduction produces a delay equal to the doubling time of the number of cases.

Discussion

Although the current travel restrictions postpone the spread of EVD to other continents by at most a few weeks, they can impose heavy logistical constraints on the management of the epidemic in the countries severely hit by the disease and ill-equipped to cope with its alarming rapid spread [4-6]. If not offset by massive humanitarian operations, they can cause major shortages of food, energy and essential resources, with the potential to severely compromise local economies [19].

Similar to what happened during the severe acute respiratory syndrome (SARS) outbreak in 2003 [20], adverse effects on local economies of the same countries implementing the bans may also occur, as a reduced connectivity and the increased apprehension may induce a considerable reduction in the demand for service industries (business travel, tourism and associated services).

International agencies suggest that currently unaffected countries should invest in health system preparedness, strengthening their own capacity to detect and contain newly imported cases [21]. These measures are expected to substantially reduce the risk of importation. Indeed, while the relatively long latency period of EVD may allow exposed individuals to travel long distances, infectiousness occurs at symptom onset only, so that potentially infectious individuals can be clinically recognised. The mode of transmission is expected to minimise the risk of spread during a flight [21].

It is also worth mentioning that delays in the global spread of the outbreak may have to be evaluated with respect to the development timeline of pharmaceutical interventions. For instance, Ebola vaccines are being fast-tracked, and field trials are planned, probably in healthcare workers at high risk of exposure to the virus in the affected areas [22].

The results presented here need to be considered in light of the assumptions and limitations of the modelling approach used. We considered all travel restrictions obtained from publicly available sources that were implemented up to the end of August 2014, but

this list may not be complete and not all information could be verified with the original sources. In the presence of uncertainty (e.g. vague information or inconsistency between different news) we assumed the scenario with the strongest traffic reduction in order to provide the best-case scenario in terms of resulting delay. An additional world-wide fear-induced decrease of tourist and business travel to the region has been observed [23,24] in September and has probably further increased the delay in case importation, although only logarithmically with the magnitude of the traffic reduction [15,16].

The simulation presented was based on the study of the current West African outbreak described in Gomes et al. [9], which contains estimates of the incubation period and generation time based on past Ebola outbreaks. Recent estimates for the current outbreak have been published by Hollingsworth et al., and Althaus et al. [13,25]. Updated results on the risk of the epidemic spread are regularly posted on our website <http://www.mobs-lab.org/ebola.html> to account for the most recently published epidemiological information. We note that, although these parameters affect the absolute value of the probability of importation, they do not affect the relative delay depending on the epidemic growth rate [15,16].

Detailed data on unmeasured movements during the epidemic and on possible rearrangements of air travel volumes following decisions of airline companies to suspend flights are not available to be implemented directly into the model. For this reason, we took these aspects into account by considering a maximum of 90% overall traffic reduction (80% for countries bordering the currently affected area), representing the maximum ability of a country to implement the border closures. A sensitivity analysis exploring smaller values of these upper bounds (70% for neighbouring countries and 80% for the others) yielded delays in the risk of case importations reduced to five weeks for the African countries with the largest overall reductions (supplementary information*).

Conclusion

This study indicates that travel bans are only delaying the further international spread of the Ebola outbreak in West Africa for a limited time, at the risk of compromising connectivity to the region, mobilisation of resources to the affected area and sustained response operations, all actions of critical value for the immediate local control of EVD and for preventing its further geographical spread. Any decision making process on this issue must take into account complex cost-benefit analyses of travel bans.

*Note

Supplementary information made available by the authors on an independent website is not edited by *Eurosurveillance*, and *Eurosurveillance* is not responsible for the content. The

material can be accessed at: <http://www.mobs-lab.org/ebola-eurosurvsup.html>

Acknowledgements

This work has been partially supported by the EC-Health contract no. 278433 (PREDEMICS) and the ANR contract no. ANR-12-MONU-0018 (HARMSFLU). We acknowledge also funding from DTRA-1-0910039 and MIDAS-National Institute of General Medical Sciences U54GM111274. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Conflict of interest

None declared.

Authors' contributions

CP, VC, MG, AP, AV provided the data. CP, LR performed the computational experiments. CP VC AV conceived and designed the study. All authors discussed the results, edited and commented the manuscript draft. All authors read and approved the final manuscript.

References

- World Health Organization (WHO). WHO: Ebola response roadmap situation report. Geneva: WHO; 15 October 2014. Available from: <http://apps.who.int/iris/bitstream/10665/136508/1/roadmapsitrep15Oct2014.pdf?ua=1>
- World Health Organization (WHO). Ebola response roadmap. Geneva: WHO; 28 August 2014. Available from: <http://apps.who.int/iris/bitstream/10665/131596/1/EbolaResponseRoadmap.pdf?ua=1>
- World Health Organization (WHO). WHO Statement on the meeting of the International Health Regulations Emergency Committee regarding the 2014 Ebola outbreak in West Africa. Geneva: WHO; 8 August 2014. Available from: <http://www.who.int/mediacentre/news/statements/2014/ebola-20140808/en/>
- World Health Organization (WHO). UN senior leaders outline needs for global Ebola response. Geneva: WHO; 3 September 2014. Available from: <http://www.who.int/mediacentre/news/releases/2014/ebola-response-needs/en/>
- United Nations (UN) News Center. Interview with David Nabarro, UN System Coordinator on Ebola. New York: UN; 21 August 2014. Available from: <http://www.un.org/apps/news/newsmakers.asp?NewsID=109>
- African Union's executive council urges lifting of travel restrictions related to Ebola outbreak. Addis Ababa: African union; 16 September 2014. Available from: <http://pages.au.int/ebola/news/african-union%E2%80%99s-executive-council-urges-lifting-travel-restrictions-related-ebola-outbreak>
- The Disease Daily. Ebola 2014: a rapid threat assessment. HealthMap; 5 August 2014. Available from: <http://healthmap.org/site/diseasedaily/article/ebola-2014-rapid-threat-assessment-8514>
- Brockmann D, Shaade L, Verbeek L. 2014 Ebola outbreak: worldwide air-transportation, relative import risk and most probable spreading routes. Berlin: Robert Koch institute; 4 August 2014. Available from: <http://rocs.hu-berlin.de/projects/ebola/>
- Gomes MFC, Pastore y Piontti A, Rossi L, Chao D, Longini I, Halloran ME, et al. Assessing the international spreading risk associated with the 2014 West African Ebola outbreak. PLoS Curr. 2014;1:pii= pii: ecurrents.outbreaks.cd818f63d40e24aef769dda7df9e0da5. <http://dx.doi.org/10.1371/currents.outbreaks.cd818f63d40e24aef769dda7df9e0da5>
- Balcan D, Hu H, Gonçalves B, Bajardi P, Poletto C, Ramasco JJ, et al. Seasonal transmission potential and activity peaks of the new influenza A(H1N1): a Monte Carlo likelihood analysis based on human mobility. BMC Med. 2009;7(1):45. <http://dx.doi.org/10.1186/1741-7015-7-45> PMID:19744314
- Balcan D, Colizza V, Gonçalves B, Hu H, Ramasco JJ, Vespignani A. Multiscale mobility networks and the spatial spreading of infectious diseases. Proc Natl Acad Sci USA. 2009;106(51):21484-9. <http://dx.doi.org/10.1073/pnas.0906910106> PMID:20018697
- Legrand J, Grais RF, Boelle PY, Valleron AJ, Flahault A. Understanding the dynamics of Ebola epidemics. Epidemiol Infect. 2007;135(4):610-21. <http://dx.doi.org/10.1017/S0950268806007217> PMID:16999875
- WHO Ebola Response Team. Ebola virus disease in West Africa—the first 9 months of the epidemic and forward projections. N Engl J Med. 2014;371(16):1481-95. <http://dx.doi.org/10.1056/NEJMoa1411100> PMID:25244186
- Hollingsworth TD, Ferguson NM, Anderson RM. Will travel restrictions control the international spread of pandemic influenza? Nat Med. 2006;12(5):497-9. <http://dx.doi.org/10.1038/nm0506-497> PMID:16675989
- Scalia Tomba G, Wallinga J. A simple explanation for the low impact of border control as a countermeasure to the spread of an infectious disease. Math Biosci. 2008;214(1-2):70-2. <http://dx.doi.org/10.1016/j.mbs.2008.02.009> PMID:18387639
- Gautreau A, Barrat A, Barthélemy M. Global disease spread: statistics and estimation of arrival times. J Theor Biol. 2008;251(3):509-22. <http://dx.doi.org/10.1016/j.jtbi.2007.12.001> PMID:18222486
- Cowling BJ, Lau LL, Wu P, Wong HW, Fang VJ, Riley S, et al. Entry screening to delay local transmission of 2009 pandemic influenza A (H1N1). BMC Infect Dis. 2010;10(1):82. <http://dx.doi.org/10.1186/1471-2334-10-82> PMID:20353566
- Bajardi P, Poletto C, Ramasco JJ, Tizzoni M, Colizza V, Vespignani A. Human mobility networks, travel restrictions, and the global spread of 2009 H1N1 pandemic. PLoS ONE. 2011;6(1):e16591. <http://dx.doi.org/10.1371/journal.pone.0016591> PMID:21304943
- Reuters. Ebola threatens food security in West Africa: FAO. 2 September 2014. Available from: <http://www.reuters.com/article/2014/09/02/us-health-ebola-food-idUSKBN0GXX0HB20140902>
- Lee J-W, McGibbin WJ. Estimating the global economic costs of SARS. In Learning from SARS: Preparing for the next disease outbreak: workshop summary. Institute of Medicine (US) Forum on Microbial Threats; Knobler S, Mahmoud A, Lemon S, et al., editors. Washington (DC): National Academies Press (US); 2004.
- World Health Organization (WHO). Travel and transport risk assessment: interim guidance for public health authorities and the transport sector. Geneva: WHO; September 2014. Available from: http://apps.who.int/iris/bitstream/10665/132168/1/WHO_EVD_Guidance_TravelTransportRisk_14.1_eng.pdf?ua=1&ua=1%20
- World Health Organization (WHO). Media center. Experimental Ebola vaccines. Geneva: WHO. [Accessed: 17 October 2014. Available from: <http://www.who.int/mediacentre/news/ebola/01-october-2014/en/index1.html>
- International Monetary Fund Survey Magazine. Affected countries working on post-Ebola recovery plan. Washington, DC: International Monetary Fund; 11 October 2014. Available from: <http://www.imf.org/external/pubs/ft/survey/so/2014/CAR101114B.htm>
- World Bank. 2014. The economic impact of the 2014 Ebola epidemic : short and medium term estimates for West Africa. Washington, DC : The World Bank.[Accessed: 17 October 2014]. Available from: <http://documents.worldbank.org/curated/en/2014/10/20270083/economic-impact-2014-ebola-epidemic-short-medium-term-estimates-west-africa>
- Althaus CL. Estimating the Reproduction Number of Ebola Virus (EBOV) During the 2014 Outbreak in West Africa. PLoS Currents Outbreaks. 2014. doi: 10.1371/currents.outbreaks.91afb5e0f279e7f29e7056095255b288.

Colistin resistance superimposed to endemic carbapenem-resistant *Klebsiella pneumoniae*: a rapidly evolving problem in Italy, November 2013 to April 2014

M. Monaco^{1,2}, T. Giani^{2,3}, M. Raffone^{1,4}, F. Arena³, A. Garcia-Fernandez¹, S. Pollini³, Network EuSCAPE-Italy⁵, H. Grundmann⁶, A. Pantosti (annalisa.pantosti@iss.it)¹, G. M. Rossolini^{3,7,8}

1. Department of Infectious, Parasitic and Immune-mediated Diseases, Istituto Superiore di Sanità, Rome, Italy

2. MM and TG have equally contributed to this work

3. Department of Medical Biotechnologies, University of Siena, Siena, Italy

4. Federico II University Hospital, Naples, Italy

5. The network EuSCAPE-Italy participants are listed at the end of this article

6. Department of Medical Microbiology, University of Groningen, University Medical Center Groningen, the Netherlands

7. Department of Experimental and Clinical Medicine, University of Florence, Florence, Italy

8. Clinical Microbiology and Virology Unit, Florence Careggi University Hospital, Florence, Italy

Citation style for this article:

Monaco M, Giani T, Raffone M, Arena F, Garcia-Fernandez A, Pollini S, Network EuSCAPE-Italy, Grundmann H, Pantosti A, Rossolini GM. Colistin resistance superimposed to endemic carbapenem-resistant *Klebsiella pneumoniae*: a rapidly evolving problem in Italy, November 2013 to April 2014. Euro Surveill. 2014;19(42):pii=20939. Available online: <http://www.eurosurveillance.org/ViewArticle.aspx?ArticleId=20939>

Article submitted on 08 October 2014 / published on 23 October 2014

Consecutive non-rotate clinical isolates (n=191) of carbapenem non-susceptible Enterobacteriaceae were collected from 21 hospital laboratories across Italy from November 2013 to April 2014 as part of the European Survey on Carbapenemase-producing Enterobacteriaceae (EuSCAPE) project. *Klebsiella pneumoniae* carbapenemase-producing *K. pneumoniae* (KPC-KP) represented 178 (93%) isolates with 76 (43%) respectively resistant to colistin, a key drug for treating carbapenemase-producing Enterobacteriaceae. KPC-KP colistin-resistant isolates were detected in all participating laboratories. This underscores a concerning evolution of colistin resistance in a setting of high KPC-KP endemicity.

We report the widespread and rapid dissemination of resistance against colistin, a key drug for treatment of carbapenemase-producing Enterobacteriaceae, among *Klebsiella pneumoniae* carbapenemase (KPC)-producing *K. pneumoniae* (KPC-KP) in Italy. As part of the European Survey on Carbapenemase-producing Enterobacteriaceae (EuSCAPE) project, consecutive non-rotate clinical isolates of carbapenem non-susceptible (resistant or intermediate) Enterobacteriaceae (n=191) were collected from 21 Italian hospital laboratories between November 2013 and April 2014. Most isolates 178 (93%) were KPC-KP, with 76 (43%) respectively resistant to colistin. This report details the findings and discusses potential implications for infection control.

Background

Carbapenem-resistant Enterobacteriaceae (CRE) emerged in recent years as one of the most challenging group of antibiotic-resistant pathogens. Related

mortality rates are high due to limited treatment options, and some strains have the potential for rapid dissemination in healthcare settings [1,2]. In Europe, CRE have been reported from virtually all countries, but in some countries, namely Greece and Italy, they have spread rapidly and are presently endemic in many hospitals [3,4]. Resistance to carbapenems in Enterobacteriaceae is largely due to production of enzymes (carbapenemases) inactivating these antibiotics, hence the definition of carbapenemase-producing Enterobacteriaceae (CPE).

In Italy, the dramatic increase of carbapenem-resistant *Klebsiella pneumoniae* has been documented by the European Antimicrobial Resistance Surveillance Network (EARS-Net) which showed that the percentage of invasive isolates of carbapenem-resistant *K. pneumoniae*, that was until 2009 lower than one to 2%, increased to 15% in 2010 to reach 35% in 2013 ([5] and unpublished data). Data provided by Micronet (<http://www.simi.iss.it/micronet.htm>), a sentinel epidemiological surveillance network based on computerised daily collection of microbiological data from the laboratory information systems of 27 laboratories nationwide, confirmed the increase in the percentage of carbapenem-resistant *K. pneumoniae* in samples from different anatomical sites, including lower respiratory secretions and urine [6]. In addition, analysis of resistance determinants and clonality, revealed that the Italian CRE epidemic was mostly sustained by KPC-KP of clonal complex 258, with only a minority of different clones and resistance mechanisms [7].

Polymyxins (colistin and polymyxin B), together with tigecycline and gentamicin, are among the few agents

TABLE

Carbapenemase determinants detected in the confirmed carbapenem non-susceptible isolates collected as part of the EuSCAPE survey, Italy, November 2013–April 2014 (n=191)

Species	Number of isolates per type of carbapenemase				
	<i>bla</i> _{KPC}	<i>bla</i> _{VIM}	<i>bla</i> _{NDM}	<i>bla</i> _{OXA-48}	None detected
<i>Klebsiella pneumoniae</i>	178 ^a	3	1	1	4
<i>Escherichia coli</i> ^b	3	1	–	–	–

EuSCAPE: European Survey on Carbapenemase-producing Enterobacteriaceae.

K. pneumoniae carbapenemase-producing *K. pneumoniae* (KPC-KP) were reported from all peripheral laboratories.

^a Detected from all the 21 peripheral laboratories.

^b The four carbapenemase-producing *E. coli* isolates were from different peripheral laboratories.

that retain activity against KPC-KP, and are key components of the combination antimicrobial regimens that are recommended for treatment of these pathogens [8,9]. Therefore, the emergence of resistance to these last line drugs among KPC-KP is important to monitor.

Implementation of European Survey on Carbapenemase-producing Enterobacteriaceae in Italy

EuSCAPE is funded by the European Centre for Disease Prevention and Control (ECDC) and coordinated by the Department of Medical Microbiology of the University Medical Center Groningen in the Netherlands. This initiative aims to foster active surveillance of CPE through improving the diagnostic capacity of microbiological laboratories in Europe [10]. A crucial part of EuSCAPE consisted of a structured survey that between November 2013 and April 2014 involved hospital laboratories from 35 countries across Europe. In each participating country the National Expert Laboratory (NEL) collected and characterised clinical isolates of suspected carbapenem non-susceptible *K. pneumoniae* or *Escherichia coli* obtained from a sentinel network of peripheral laboratories (PLs). Each PL was asked to collect the first 10 consecutive non-replicate isolates of suspected carbapenem non-susceptible *K. pneumoniae* or *E. coli* obtained from clinical samples (blood, lower respiratory tract secretions, urine, puncture fluids and wound secretions) and to provide also relevant demographic and clinical data (age, sex, location of patient in hospital, previous hospital admission in the last six months, previous stay or travel abroad within the last six months).

In Italy, a total of 21 PLs that served 45 hospitals or outpatients clinics distributed across the country participated in the survey. PLs identified suspected carbapenem non-susceptible *K. pneumoniae* or *E. coli* by automated systems Vitek 2 (bioMérieux, Marcy l'Etoile, France) or Phoenix (Becton Dickinson Diagnostic Systems, Sparks, MD, USA). Subsequently these isolates were sent to the NEL in Rome, who in collaboration with the NEL in Siena, performed confirmation and further characterisation. NELs confirmed species identification by matrix-assisted laser desorption/ionization

time-of-flight (MALDI-TOF) mass spectrometry (Vitek MS, bioMérieux), and carried out susceptibility testing against carbapenems and other antimicrobial agents by reference broth microdilution [11] using commercial microtitre plates (Alere Technologies, GmbH, Jena, Germany) and manually prepared plates for colistin testing. Results were interpreted according to the European Committee on Antimicrobial Susceptibility Testing (EUCAST) clinical breakpoints [12]. The presence of carbapenemase genes of the *bla*_{KPC}, *bla*_{NDM}, *bla*_{VIM}, and *bla*_{OXA-48} types was investigated by polymerase chain reaction (PCR) using the protocol recommended by EuSCAPE (available upon request from the EuSCAPE Coordinator, Prof. Hajo Grundmann).

Results of the survey

A total of 197 suspected carbapenem non-susceptible *K. pneumoniae* or *E. coli* isolates were collected by the PLs in the study period. Of these, 187 *K. pneumoniae* and four *E. coli* were confirmed as non-susceptible to at least one carbapenem antibiotic (imipenem, meropenem or ertapenem). The *bla*_{KPC} determinant was found to be the most prevalent among carbapenem non-susceptible isolates, being detected in 178 *K. pneumoniae* and in three *E. coli*, while other carbapenemase genes were infrequently found (Table).

KPC-KP were obtained from urine (67 isolates), blood (61 isolates), lower respiratory tract (21 isolates), wound secretions (10 isolates), and other specimens (19 isolates). Patients with KPC-KP had a median age of 72 years (range: 16–94 years); 106 (60%) were males and 72 (40%) were females. Of these patients, 41 (23%) had KPC-KP isolates detected while in intensive care unit (ICU), 127 (71%) were found while in a medical or surgical ward, and 10 (6%) were outpatients or patients seen at the emergency department. Another hospital admission in the previous six months was reported for 96 (64%) of patients for whom the information was available (n=150). Travelling abroad during the last six months was reported for only 3 (3%) of the patients for whom the information was available (n=111). Thus, 97% (108/111) of KPC-KP infections are endemic cases.

FIGURE

Distribution of peripheral laboratories reporting KPC-producing *K. pneumoniae* isolates in the EuSCAPE Italian survey, Italy, November 2013–April 2014 (n=191 isolates)



EuSCAPE: European Survey on Carbapenemase-producing Enterobacteriaceae; KPC: *Klebsiella pneumoniae* carbapenemase; KPC-KP: KPC-producing *K. pneumoniae*.

The peripheral laboratories are numbered on the map according to alphabetical order.

Proportions of colistin-resistant isolates among KPC-KP per peripheral laboratory: 1, Alessandria: 1/10; 2, Ancona: 8/10; 3, Ferrara: 1/4; 4, Florence: 5/10; 5, Foggia: 4/10; 6, Lecco: 2/9; 7, Milan: 1/10; 8, Modena: 3/7; 9, Naples: 3/8; 10, Perugia: 5/10; 11, Reggio Calabria: 4/10; 12, Rome: 4/9; 13, Rome: 2/4; 14, Rome: 6/7; 15, San Remo: 4/8; 16, Siena: 6/8; 17, Treviso: 1/7; 18, Turin: 5/9; 19, Udine: 2/8; 20, Venice: 8/10; 21, Vercelli: 1/10.

Antimicrobial susceptibility data for the 178 KPC-KP isolates revealed that 76 (43%) were resistant to colistin, 11 (6%) resistant or intermediate to tigecycline, 29 (16%) resistant or intermediate to gentamicin, and 146 (82%) resistant or intermediate to trimethoprim-sulfamethoxazole (SXT). Two isolates (1%) were resistant or intermediate to all four antibiotics. Colistin-resistant KPC-KP isolates were detected from all PLs, although at variable percentages (Figure).

Discussion and conclusions

Although most recent data from April 2014 to date are not available at this time, the results of this survey confirmed the widespread endemicity of KPC-KP in Italian healthcare facilities, and their predominant role among CPE. Infections with KPC-KP affect mostly older

patients hospitalised in medical or surgical wards with a known history of previous hospital admission in the country. The results of this present study also reveal a concerning percentage of resistance to colistin, which is a matter of major concern given the dearth of treatment options against CPE.

In Italy, the emergence of colistin-resistant KPC-KP has been reported since 2010 [13] and, in the first Italian nationwide cross-sectional survey on CRE, carried out in mid-2011, the overall percentage of colistin resistance among KPC-KP was found to be 22.4%, with colistin-resistant isolates reported from 13 of 25 participating hospital laboratories [7]. In the EuSCAPE study, the colistin resistance percentage found among KPC-KP was almost double, and colistin-resistant KPC-KP isolates were detected from all 21 PLs in the study. We did not have information to derive the total number of affected hospitals among the 45 served by the 21 PLs, however the PLs were distributed all across the country. A similar situation of nationwide dissemination of colistin-resistant KPC-KP has not yet been reported in other settings of high KPC-KP endemicity [14].

According to data available from the European Surveillance of Antimicrobial Consumption Network (ESAC-NET) database [15], consumption of polymyxins in the hospital sector in Italy increased from 0.0017 to 0.0194 Defined Daily Dose (DDD) per 1,000 inhabitants per day in the period from 2007 to 2012. This 10-fold increase reflects the increasing dissemination of multidrug-resistant Gram-negative infections for which colistin remains one of the few therapeutic options and most likely contributed to selection of colistin-resistant strains among KPC-KP.

To control the spread of KPC-KP in Italy, in February 2013 the Ministry of Health issued a circular letter [16] asking the Italian regions to report all cases of bloodstream infections due to CPE of the species *K. pneumoniae* or *E. coli* and recommending control measures to limit the spread in healthcare settings. These control measures consist of: (i) active screening of selected patient groups including patients who have been in contact with CPE-colonised or infected patients, and patients coming from countries with high CPE endemicity and, if feasible, patients admitted to ICU or other high-risk wards and patients with a history of previous hospitalisation; (ii) isolation or cohorting of infected/colonised patients, separate cohort nursing care, and implementation of contact precautions, according to the recommendations issued at national and international level [17–20].

These measures require huge efforts and resources in an endemic situation like the one highlighted in this study, since patients with KPC-KP infection or colonisation are not confined to ICUs, but can be found in normal hospital wards. It seems therefore urgent to develop and implement a national plan for the prevention and control of CPE infections in Italy that includes

an extensive surveillance system and more comprehensive guidelines on infection control measures. Sufficient resources should be allocated to contain the further dissemination of CPE in healthcare institutions.

Acknowledgements

We thank Alessandra Carattoli for helpful discussion and support to this study.

Funding: This study is part of the European Survey on Carbapenemase-Producing Enterobacteriaceae (EuSCAPE) project coordinated by the University Medical Center Groningen and funded by ECDC through a specific framework contract (ECDC/2012/055) following an open call for tender (OJ/25/04/2012-PROC/2012/036). NEL in Rome was supported in part by a grant from the Italian Ministry of Health (CCM 2013 "Sorveglianza di laboratorio di infezioni batteriche da patogeni antibiotico-resistenti sottoposti a sorveglianza europea). NEL in Siena was partially supported by a research grant from EvoTAR (no. HEALTH-F3-2011-2011-282004) to G.M.R.

Conflict of interest

MM, MR, AGF, HG and AP have nothing to declare; GMR has received research grants from Pfizer, Astra-Zeneca, Cubist, Angelini, Becton-Dickinson, bioMérieux, Biotest, VenatoRx, has served as consultant for Pfizer, Astra-Zeneca, Cubist, Angelini, Menarini, Achaogen, Rempex, Durata, Medivir, Biotest, and has served in the Speaker's Bureau for Pfizer, Astra-Zeneca, Novartis, Angelini, Curetis, Biotest and Basilea. TG has served in the Speaker's Bureau for bioMérieux.

Author contributions

MM, TG, AP and GMR contributed to the design of the study, to draft and finalise the manuscript; TG, SP, FA performed the phenotypic characterisation of the isolates; MM, MR, AGF performed the detection of the carbapenemase genes by PCR; MM, MR, AGF, TG, SP, FA entered and analysed data; HG planned and coordinated the EuSCAPE study and revised the final manuscript; PLs provided isolates and clinical and demographic data of patients.

Members of the Network EuSCAPE-Italy

A. Barbaro, Ospedali Riuniti Melacrino-Morelli, Reggio Calabria; L. Campion, Ospedale S. Maria di Ca' Foncello, Treviso; M. R. Catania, Azienda Ospedaliera Università Federico II, Napoli; A. De Bernochi, Ospedale San Giovanni Bosco, Torino; A. M. Di Taranto, Azienda Mista Ospedaliera-Universitaria, Foggia; P. A. Dusi, Ospedale di Sanremo, Sanremo (IM); S. Grandesso, Ospedale Dell' Angelo, Mestre (VE); F. Luzzaro, Ospedale A. Manzoni, Lecco; E. Manso, Ospedale Torrette Umberto I, Ancona; M. Meledandri, Azienda Ospedaliera San Filippo Neri, Rome; A. Mencacci, Ospedale Santa Maria della Misericordia, Perugia; F. Milano, Ospedale Sant'Andrea, Vercelli; G. Parisi, Azienda Ospedaliera San Camillo-Forlanini, Rome; P. Pecile, Azienda Ospedaliera-Universitaria Careggi, Firenze; A. Restelli, Fondazione IRCCS Cà Granda Ospedale Maggiore Policlinico, Milano; A. Rocchetti, Azienda Ospedaliera Nazionale Santi Antonio e Biagio e C. Arrigo, Alessandria; M. R. Rossi, Ospedale Universitario Sant' Anna di Cona, Ferrara; I. Santino, Azienda Policlinico S. Andrea, Rome; M. Sarti, Nuovo Ospedale Civile S. Agostino-Estense di Baggiovara,

Modena; A. Sartor, Azienda Ospedaliero-Universitaria Santa Maria della Misericordia, Udine.

References

1. Tzouveleakis LS, Markogiannakis A, Psychogiou M, Tassios PT, Daikos GL. Carbapenemases in *Klebsiella pneumoniae* and other Enterobacteriaceae: an evolving crisis of global dimensions. *Clin Microbiol Rev.* 2012;25(4):682-707. <http://dx.doi.org/10.1128/CMR.05035-11>
2. Nordmann P, Naas T, Poirel L. Global spread of carbapenemase-producing Enterobacteriaceae. *Emerg Infect Dis.* 2011;17(10):1791-8. <http://dx.doi.org/10.3201/eid1710.110655>
3. Glasner C, Albiger B, Buist G, Tambić Andrašević A, Canton R, Carmeli Y, et al. Carbapenemase-producing Enterobacteriaceae in Europe: a survey among national experts from 39 countries, February 2013. *Euro Surveill.* 2013;18(28):pii=20525.
4. Cantón R, Akóva M, Carmeli Y, Giske CG, Glupczynski Y, Gniadkowski M, et al. Rapid evolution and spread of carbapenemases among Enterobacteriaceae in Europe. *Clin Microbiol Infect.* 2012;18(5):413-31. <http://dx.doi.org/10.1111/j.1469-0691.2012.03821.x>
5. European Antimicrobial Resistance Surveillance Network (EARS-Net). Stockholm: ECDC. [Accessed 21 Oct 2014]. Available from: <http://www.ecdc.europa.eu/en/activities/surveillance/EARS-Net/Pages/index.aspx>
6. Sisto A, D' Ancona F, Meledandri M, Pantosti A, Rossolini GM, Raglio A, et al. Carbapenem non-susceptible *Klebsiella pneumoniae* from Micronet network hospitals, Italy, 2009 to 2012. *Euro Surveill.* 2012;17(33):pii=20247.
7. Giani T, Pini B, Arena F, Conte V, Bracco S, Migliavacca R, et al. Epidemic diffusion of KPC carbapenemase-producing *Klebsiella pneumoniae* in Italy: results of the first countrywide survey, 15 May to 30 June 2011. *Euro Surveill.* 2013;18(22):pii=20489.
8. Petrosillo N, Giannella M, Lewis R, Viale P. Treatment of carbapenem-resistant *Klebsiella pneumoniae*: the state of the art. *Expert Rev Anti Infect Ther.* 2013;11(2):159-77. <http://dx.doi.org/10.1586/eri.12.162>
9. Tzouveleakis LS, Markogiannakis A, Piperaki E, Souli M, Daikos GL. Treating infections caused by carbapenemase-producing Enterobacteriaceae. *Clin Microbiol Infect.* 2014;20(9):862-72. <http://dx.doi.org/10.1111/1469-0691.12697>
10. European Centre for Disease Prevention and Control (ECDC). Carbapenemase-producing bacteria in Europe: interim results from the European Survey on carbapenemase-producing Enterobacteriaceae (EuSCAPE) project. Stockholm: ECDC; 2013.
11. Clinical and Laboratory Standards Institute (CLSI). Methods for dilution antimicrobial susceptibility tests for bacteria that grow aerobically; approved 255 Standard. Eighth Edition. Wayne, PA: CLSI; document M7-A9.
12. European Committee on Antimicrobial Susceptibility Testing (EUCAST). Clinical breakpoints. Växjö: EUCAST. [Accessed 21 Oct 2014]. Available from: http://www.eucast.org/clinical_breakpoints/
13. Mezzatesta ML, Gona F, Caio C, Petrolito V, Sciortino D, Sciacca A, et al. Outbreak of KPC-3-producing, and colistin-resistant, *Klebsiella pneumoniae* infections in two Sicilian hospitals. *Clin Microbiol Infect.* 2011;17(9):1444-7.
14. Ah YM, Kim AJ, Lee JY. Colistin resistance in *Klebsiella pneumoniae*. *Int J Antimicrob Agents.* 2014;44(1):8-15. <http://dx.doi.org/10.1016/j.ijantimicag.2014.02.016>
15. European Centre for Disease Prevention and Control (ECDC). Consumption of antimicrobials of Antibacterials For Systemic Use (ATC group J01) in the community (primary care sector) in Europe, reporting year 2012. Stockholm: ECDC. [Accessed 21 Oct 2014]. Available from: http://www.ecdc.europa.eu/en/healthtopics/antimicrobial_resistance/esac-net-database/Pages/Antimicrobial-consumption-rates-by-country.aspx
16. Ministero della Salute. Circolare 'Sorveglianza e controllo delle infezioni da batteri produttori di carbapenemasi (CPE)'. [Circular letter 'Surveillance and control of infections due to carbapenemase-producing bacteria (CPE)']. Rome: Ministero della Salute; Feb 2014. Italian. [Accessed 21 Oct 2014]. Available from: <http://www.trovanorme.salute.gov.it/norme/renderNormsanPdf?anno=0&codLeg=45499&parte=1%20&serie=>
17. Grundmann H, Livermore DM, Giske CG, Canton R, Rossolini GM, Campos J, et al. Carbapenem-non-susceptible Enterobacteriaceae in Europe: conclusions from a meeting of national experts. *Euro Surveill.* 2010;15(46):pii=19711.
18. Schwaber MJ, Lev B, Israeli A, Solter E, Smollan G, Rubinovitch B, et al. Containment of a country-wide outbreak

- of carbapenem-resistant *Klebsiella pneumoniae* in Israeli hospitals via a nationally implemented intervention. *Clin Infect Dis*. 2011;52(7):848-55. <http://dx.doi.org/10.1093/cid/cir025>
19. Akova M, Daikos GL, Tzouveleakis L, Carmeli Y. Interventional strategies and current clinical experience with carbapenemase-producing Gram-negative bacteria. *Clin Microbiol Infect*. 2012;18(5):439-48. <http://dx.doi.org/10.1111/j.1469-0691.2012.03823.x>
 20. Tacconelli E, Cataldo MA, Dancer SJ, De Angelis G, Falcone M, Frank U, et al. ESCMID guidelines for the management of the infection control measures to reduce transmission of multidrug-resistant Gram-negative bacteria in hospitalized patients. *Clin Microbiol Infect*. 2014;20(Suppl 1):1-55. <http://dx.doi.org/10.1111/1469-0691.12427>

Interim estimates of the effectiveness of seasonal trivalent inactivated influenza vaccine in preventing influenza hospitalisations and primary care visits in Auckland, New Zealand, in 2014

N Turner (n.turner@auckland.ac.nz)¹, N Pierse², Q S Huang³, S Radke^{1,3}, A Bissielo³, M G Thompson⁴, H Kelly^{5,6}, on behalf of the SHIVERS investigation team⁷

1. The University of Auckland, Auckland, New Zealand

2. University of Otago, Wellington, New Zealand

3. Institute of Environmental Science and Research, Wellington, New Zealand

4. Influenza Division, United States Centers for Disease Control and Prevention, Atlanta, GA, United States

5. Australian National University, Canberra, Australia

6. Victorian Infectious Diseases Reference Laboratory, Melbourne, Australia

7. Members of the team are listed at the end of the article

Citation style for this article:

Turner N, Pierse N, Huang QS, Radke S, Bissielo A, Thompson MG, Kelly H, on behalf of the SHIVERS investigation team. Interim estimates of the effectiveness of seasonal trivalent inactivated influenza vaccine in preventing influenza hospitalisations and primary care visits in Auckland, New Zealand, in 2014. *Euro Surveill.* 2014;19(42):pii=20934. Available online: <http://www.eurosurveillance.org/ViewArticle.aspx?ArticleId=20934>

Article submitted on 07 October 2014 / published on 23 October 2014

We present preliminary results of influenza vaccine effectiveness (VE) in New Zealand using a case test-negative design for 28 April to 31 August 2014. VE adjusted for age and time of admission among all ages against severe acute respiratory illness hospital presentation due to laboratory-confirmed influenza was 54% (95% CI: 19 to 74) and specifically against A(H1N1) pdm09 was 65% (95% CI: 33 to 81). For influenza-confirmed primary care visits, VE was 67% (95% CI: 48 to 79) overall and 73% (95% CI: 50 to 85) against A(H1N1) pdm09.

Introduction

The SHIVERS (Southern Hemisphere Influenza and Vaccine Effectiveness, Research and Surveillance) study [1] has allowed estimation of vaccine effectiveness (VE) against influenza illness requiring hospitalisation since 2012 and against influenza illness requiring a primary care consultation (sentinel general practices) since 2013. The study captures an ethnically diverse urban population of approximately 838,000 people in Auckland, New Zealand. Patients in the 16 sentinel general practices are part of the population served by the four participating hospitals. VE estimates for 2012 from the hospital arm of the study [2] and from both hospital and community arms in 2013 [3] have been reported previously. Here we report the 2014 influenza season interim estimates of VE against laboratory-confirmed influenza general practice (primary care) visits and hospitalisations in Auckland, New Zealand.

In New Zealand, seasonal trivalent inactivated influenza vaccine is offered annually free of charge to all

adults aged 65 years and over, pregnant women and all individuals over six months of age with chronic medical conditions that are likely to increase the severity of the infection. Influenza vaccines are also available on the private market for all other individuals over six months of age. The influenza season usually occurs between March and September and the vaccine is available from late February.

The influenza strains in the southern hemisphere vaccine in 2014 were A/California/7/2009 (H1N1)-like virus, A/Texas/50/2012 (H3N2)-like virus and B/Massachusetts/2/2012-like virus (B/Yamagata lineage) as recommended by the World Health Organization for trivalent influenza vaccines [4].

Methods

Using the case test-negative design to estimate VE as previously described [3], we estimated the effectiveness of seasonal trivalent inactivated influenza vaccine against laboratory-confirmed influenza in patients hospitalised with severe acute respiratory infections (SARI) and in patients presenting to a sentinel general practice with an influenza-like illness (ILI) during the 2014 influenza season. Ethics approval was obtained from the Northern A Health and Disability Ethics Committee (NTX/11/11/102 AMo2).

Patients with SARI or ILI were defined as requiring hospitalisation (SARI) or attending a general practice (ILI) with a history of fever or measured temperature $\geq 38^{\circ}\text{C}$, cough and onset within the past 7 days.

Hospitalised patients were recruited from individuals aged six months and older who were admitted to one of the four public hospitals covering all the population in the study catchment area in south, central and east Auckland. Community cases were identified from 16 sentinel general practices with 103,884 enrolled patients selected to be broadly representative of the population.

Data collection began on 28 April 2014. Analysis was restricted to the influenza season, which defined as being from the start of the first two consecutive weeks with two or more influenza cases (2 June 2014). The interim data collection was until 31 August 2014, based on the requirements to complete the analysis in time for the World Health Organization strain selection meeting in September.

Hospitalised patients were identified following screening by research nurses of all patients admitted with respiratory illness. Patients who gave verbal consent completed a case report form and provided a nasopharyngeal swab or aspirate for influenza virus testing.

All ILI patients presenting to one of the sentinel general practices were screened by the general practitioner or practice nurse, and data for all consenting patients were entered on an electronic form in the practice management system. A nasopharyngeal or throat swab was collected for influenza virus testing.

A confirmed case of influenza was defined as a patient with SARI or ILI with a positive laboratory result for any influenza virus detected by real-time reverse transcription polymerase chain reaction (rRT-PCR). Nasopharyngeal and throat swabs were tested using the United States Centers for Disease Control and Prevention (CDC) rRT-PCR protocol [5] or the AusDiagnostic PCR protocol [6]. The two assays perform very similarly [3]. rRT-PCR assays detected influenza virus types A and B and subtyped. A convenience sample was characterised antigenically using established methods [7].

For ILI cases, vaccination status was based on the presence or absence of documentation in the general practice electronic records of receiving one or more doses of the 2014 influenza vaccine, depending on age of the participant. Vaccination status in SARI patients before hospitalisation was determined by self-report of receipt of one or more doses of the 2014 seasonal influenza vaccine.

Patients excluded were infants less than 6 months of age who are not recommended to be vaccinated, those vaccinated less than 14 days before admission or presentation and those with symptom onset more than seven days before admission or presentation. For patients with multiple episodes, the first influenza virus-positive episode was used for the analysis or the

first illness episode if there was no influenza virus-positive episode.

For all patients, covariates included age, sex, ethnicity, current smoking status and chronic medical conditions. Further data collected on SARI patients included a patient- or caregiver-reported measure of dependence (classified as the requirement for assistance with normal activities or full dependency on nursing care), long-term use of oxygen, low income (using a small neighbourhood measure reflecting eight dimensions of deprivation [8]), a clinical judgement of obesity and a standard self-rated health item scored dichotomously as fair or poor versus good, very good or excellent overall health [9].

VE is presented for all influenza viruses and A(H1N1)pdm09. For the SARI dataset, less than 1% (3/519) of data were missing for any variable. The ILI dataset had no missing values. Interim VE estimates were calculated from all participants enrolled between 28 April and 31 August 2014. Standard logistic regression was used to compare the odds of vaccination among influenza-positive versus influenza-negative participants for both ILI and SARI, with VE estimated as $100\% \times (1 - \text{odds ratio})$. VE was also calculated adjusting for age and the week of the admission or presentation. As a sensitivity analysis for the SARI data, a more comprehensive adjustment was also carried out, similar to the previously reported analysis in 2013 [3]. For this adjustment, we used 2013 data to model the propensity to be vaccinated based on all potential confounders. The VE was then calculated adjusted for each individual's propensity to be vaccinated.

Results

The number of ILI and SARI patients in this study are shown by influenza virus status in Figure 1.

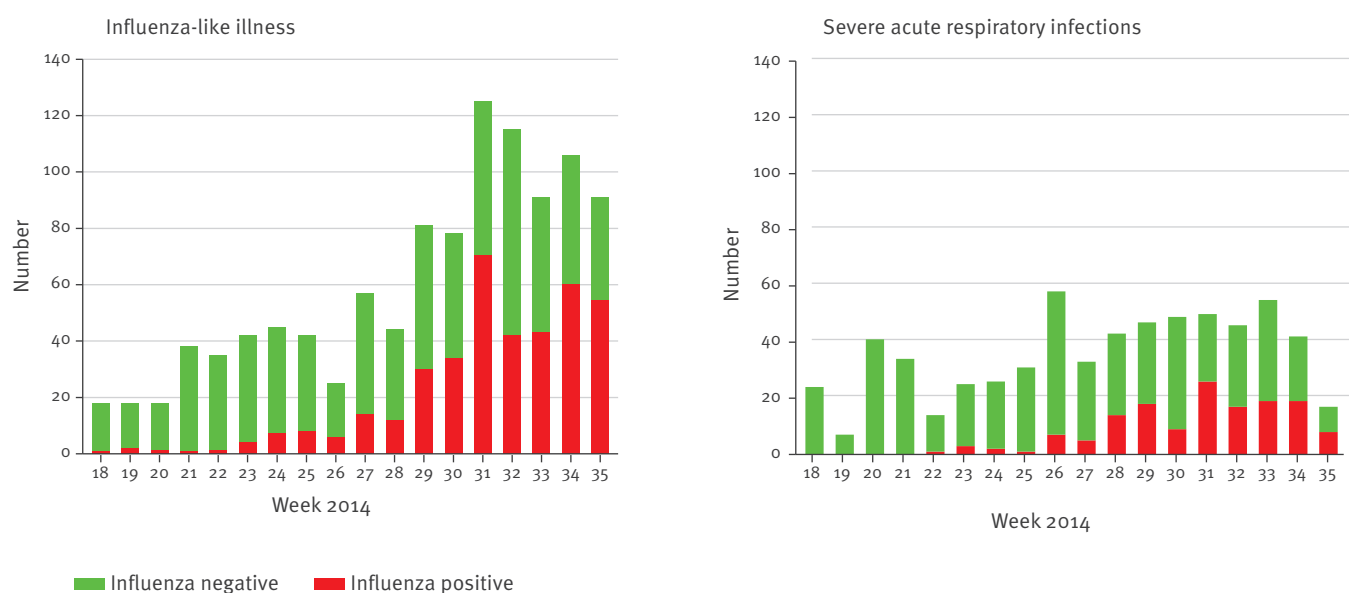
A total of 1,272 SARI patients were eligible: all were recruited and swabbed for influenza. A total of 1,226 ILI patients were recruited, of whom 1,221 were swabbed (99.6%). A total of 519 SARI and 919 ILI patients were included in the analysis, of whom 148 (29%) and 384 (42%) were influenza virus positive, respectively (Figure 2).

Of the 532 influenza cases detected in both SARI and ILI patients, 466 (88%) were type A, with 339 (64%) A(H1N1)pdm09, 32 (6%) A(H3N2) and 95 (18%) not subtyped (Table 1).

There were 66 (12%) type B detections. Among the 66 influenza B viruses, 48 were Yamagata lineage, one was Victoria lineage, and lineage was not determined in 17. Of the 48 Yamagata lineage, 25 were antigenically typed as B/Massachusetts/2/2012 oo-like viruses and 23 were not antigenically typed. The one B/Victoria lineage virus was antigenically typed as B/Brisbane/60/2008-like virus.

FIGURE 1

Study participants with influenza-like illness (n=1,069) and severe acute respiratory infections (n=642) who were influenza positive or negative, by week, New Zealand, 28 April–31 August 2014



The influenza season began on 2 June 2014 for both influenza-like illness and severe acute respiratory infections. During the season, 384 of 919 influenza-like illness and 148 of 519 severe acute respiratory infections tested influenza positive.

Vaccine effectiveness

Of the 148 SARI patients who tested influenza virus positive, 35 (24%) were vaccinated, compared with 113 (30%) of the 371 who tested negative. Of the 384 ILI patients who tested influenza virus positive, 37 (10%) were vaccinated, compared with 116 (22%) of the 535 who tested negative (Figure 2).

The proportion vaccinated did not change throughout the season. For influenza-confirmed SARI, the crude VE for one or more vaccine doses against all circulating influenza virus strains was 34% (95% confidence interval (CI): –3 to 57) (Table 2).

After adjustment for age and week of admission, the estimated VE was 54% (95% CI: 19 to 74). The adjusted VE for the prevailing circulating subtype, influenza A(H1N1)pdm09, was 65% (95% CI: 33 to 81). VE was not calculated for other subtypes, or for individuals 6 months to 17 years of age because of sparse data. Adjusted VE against all influenza hospitalisation in the 18–49-year age group was 46% (95% CI: –42 to 80); in the 50–64 year-olds, 74% (95% CI: 23 to 91) and in the 65 and over age group, 58% (95% CI: –36 to 87). SARI influenza-positive cases were significantly more likely to be young (under five years of age) or old (65 years and older) and smokers than were SARI influenza-negative patients. There was no significant difference by chronic disease, sex, income, pregnancy or self-reported health status. In the SARI sensitivity analysis adjusted for the propensity to be vaccinated, the VE for all ages was 50% (95% CI: 19 to 69).

For influenza-confirmed ILI cases, the crude VE was 61% (95% CI: 43 to 74). After adjustment for age and week of presentation, the estimated VE was 67% (95% CI: 48 to 79). The adjusted VE for the prevailing circulating subtype, influenza A(H1N1)pdm09, was 73% (95% CI: 50 to 85). VE was not calculated for younger people or those aged 65 years and over because of sparse data. For the 18–49-year age group, the adjusted VE was 66% (95% CI: 30 to 84) and in the 50–64 year-olds, it was 57% (95% CI: –1 to 82).

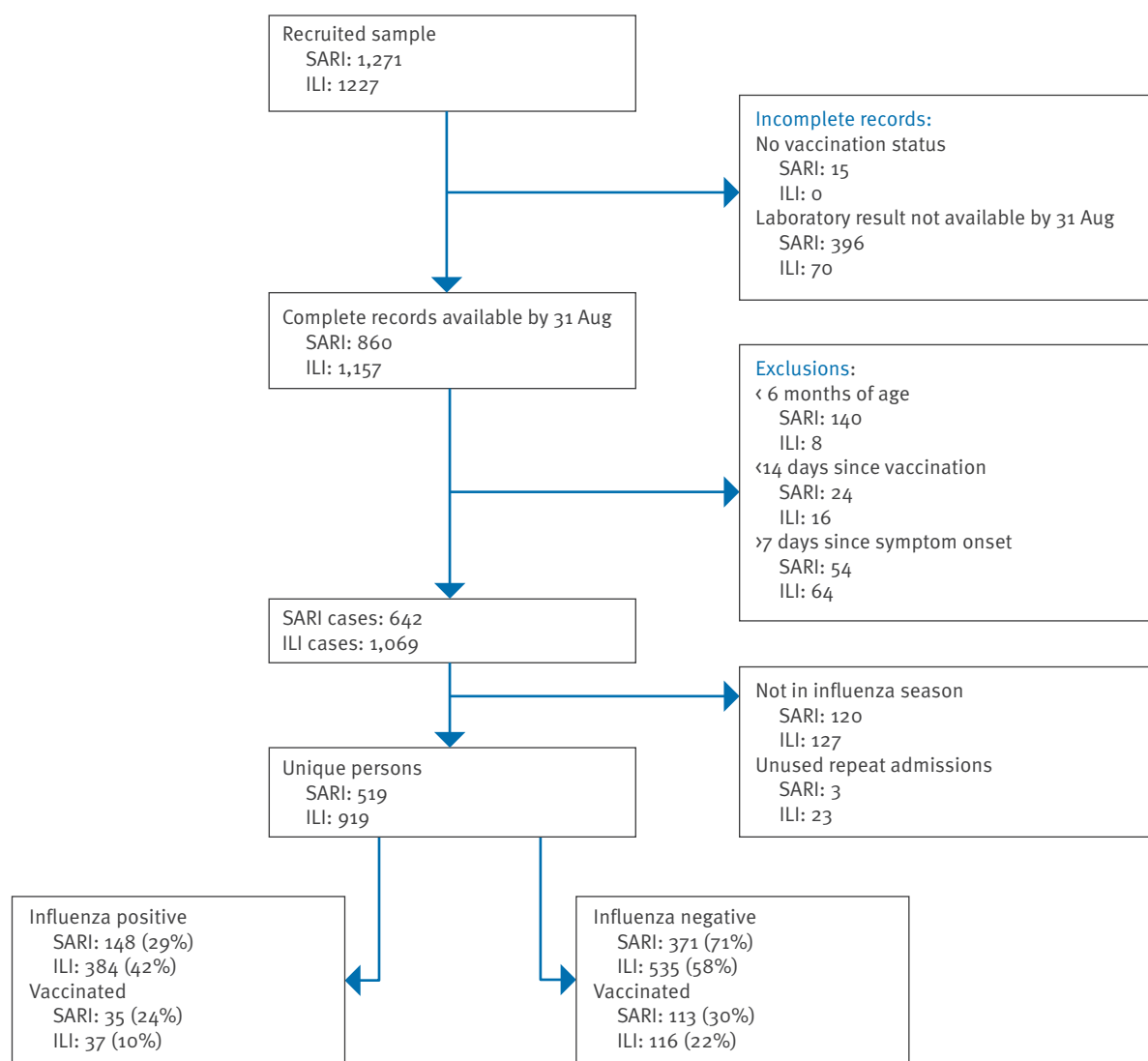
Discussion

The SHIVERS study allows timely estimation of the protective effect of seasonal influenza vaccine in the southern hemisphere season. These preliminary results suggest that the 2014 vaccine was 54% effective in preventing hospitalisation for influenza and 67% effective against presentations to sentinel general practices. The 2014 season has been dominated to date by the influenza A(H1N1)pdm09 virus. VE was similar across adult age groups, although numbers were too small for accurate estimates in children and elderly people.

The New Zealand seasonal experience is very similar to interim VE estimates reported from Canada and the United States for the 2013/14 influenza season, when the dominant circulating virus was also A(H1N1)pdm09: the VE point estimate was 59% for preventing hospitalisation [10] and 74% for preventing medically attended influenza [11] in Canada, while in the United States, the interim VE was 61% against medically attended

FIGURE 2

Flowchart of all selected, recruited and tested patients with influenza-like illness and severe acute respiratory infection for interim influenza vaccine effectiveness analysis, New Zealand, 28 April–31 August 2014



ILI: influenza-like illness; SARI: severe acute respiratory infections.

TABLE 1

Vaccinated and unvaccinated influenza cases by virus type and subtype among hospital (n=519) and general practice participants (n=919), New Zealand, 2 June–31 August 2014

Influenza virus type	Hospitalised with severe acute respiratory infection		General practice visits for influenza-like illness	
	Number vaccinated (%)	Number unvaccinated (%)	Number vaccinated (%)	Number unvaccinated (%)
All	35 (100)	113 (100)	37 (100)	347 (100)
Any A ^a	30 (86)	108 (96)	30 (81)	298 (86)
A(H1N1)pdm09	22 (63)	97 (86)	14 (38)	206 (59)
A(H3N2)	7 (20)	7 (6)	4 (11)	14 (4)
All B ^a	5 (14)	5 (4)	7 (19)	49 (14)
B/Victoria ^b	0	0	0	1 (1)
B/Yamagata lineage	1 (3)	2 (2)	5 (14)	40 (12)

^a Not all cases of influenza A and B were subtyped. The number of subtypes does not add up to the number of all influenza A viruses identified.

^b B/Victoria = B/Victoria lineage-B/Brisbane/60/2008-like.

TABLE 2

Estimated influenza vaccine effectiveness, by participant age group and by influenza virus type and subtype: crude plus age- and time-adjusted models, New Zealand, 2 June–31 August 2014

Influenza type by age group	Influenza positive			Influenza negative			Vaccine effectiveness			
	Number vaccinated	Total	%	Number vaccinated	Total	%	Unadjusted		Adjusted ^a	
							%	95% CI	%	95% CI
SARI										
Overall	35	148	24	118	371	32	34	−3 to 57	54	19 to 74
6 months–17 years	4	42	10	15	193	8	NA	NA	NA	NA
18–49	9	58	16	13	52	25	45	−42 to 79	46	−42 to 80
50–64	10	29	34	29	51	57	60	−3 to 84	74	23 to 91
≥65	12	19	63	61	75	81	61	−18 to 87	58	−36 to 87
A(H1N1)pdm09	22	119	18	118	371	32	51	19 to 71	65	33 to 81
ILI										
Overall	37	384	10	116	535	22	61	43 to 74	67	48 to 79
6 months–17 years	2	143	1	26	226	12	NA	NA	NA	NA
18–49 years	12	168	7	32	195	16	61	21 to 81	66	30 to 84
50–64 years	12	60	20	26	75	35	53	−4 to 79	57	−1 to 82
≥65 years	11	13	85	32	39	82	NA	NA	NA	NA
A(H1N1)pdm09 all	14	220	6	116	535	22	75	56 to 86	73	50 to 85
A(H1N1)pdm09 ≥65years	1	2	50	32	39	82	NA	NA	NA	NA

CI: confidence interval; ILI: influenza-like illness; NA: not applicable, as there were insufficient data to report VE estimates, SARI: severe acute respiratory infections.

^a Adjusted for six age groups: 6 months–5 years, 6–17, 18–44, 45–64, 65–79 and ≥80 years and week in the season.

influenza [12]. In contrast, the interim VE point estimate from Spain was 44% against all influenza strains, and even lower (33%) for the dominant circulating virus, A(H1N1)pdm09 [13].

Our interim report has several limitations. Similar to other interim VE reports [11], we relied on self-reported vaccination status for hospitalised patients. Not all laboratory results were available as of 31 August 2014 (70 ILI, 356 SARI). In addition, the analysis is adjusted for only two potential confounders (age and week of admission or presentation), although a propensity-adjusted sensitivity analysis for SARI patients produced a similar VE estimate. For this interim estimate, we were unable to estimate VE for young children with two doses of vaccine. We expect to be able to examine this and produce stratified VE estimates by age in our final season report.

This is the third year we have reported the effectiveness of trivalent seasonal influenza vaccine in the New Zealand setting. We have shown the continued predominance of circulating influenza A(H1N1)pdm09 virus and a continued moderate vaccine effectiveness against this strain, similar in magnitude to the North American estimates for the 2013/14 season. The 2014/15 northern hemisphere seasonal vaccine will contain the same components as the 2014 southern hemisphere vaccine [14]. These results may thus add useful information to consider in preparing for the upcoming northern

hemisphere influenza season and in selecting strains for the next southern hemisphere season.

Southern Hemisphere Influenza Vaccine Effectiveness, Research and Surveillance (SHIVERS) investigation team (listed in an alphabetical order)

Bruce Adlam, Debbie Aley, Michael Baker, Don Bandaranayake, John Cameron, Kirstin Davey, Gillian Davies, Jazmin Duque, LeaneEls, Cameron C. Grant, Rosemary Gordon, Diane Gross, Marion Howie, Shirley Lawrence, Graham Mackereth, Barbara McArdle, Colin McArthur, Thomas Metz, Gary Reynolds, Sally Roberts, Ruth Seeds, Susan Taylor, Paul Thomas, Adrian Trenholme, Richard Webby, Deborah A. Williamson, Marc-Alain Widdowson, Conroy Wong, Tim Wood, Sam Wong.

Acknowledgements

The SHIVERS (Southern Hemisphere Influenza and Vaccine Effectiveness Research and Surveillance) project is funded by the United States Department of Health and Human Services, Centers for Disease Control and Prevention (CDC) (1U01P000480). The findings and conclusions in this report are those of the authors and do not necessarily represent the view of the United States CDC.

WHO Collaborating Centre for Research and Surveillance of Influenza, Melbourne, and National Influenza Centre at the Institute of Environmental Science and Research for supplying antigenic typing results for influenza isolates.

The 16 participating sentinel general practices from Auckland Primary Health Organisation, East Tamaki Health Care and ProCare.

Conflict of interest

None declared.

Authors' contributions

Nikki Turner: principal investigator, involved in study design, implementation, analysis, manuscript development. Nevil Pierse: involved in study design, methodological design, data analysis, interpretation and manuscript development. Q Sue Huang: principal investigator for the larger SHIVERS study, involved in study design, implementation and manuscript development. Sarah Radke: involved in study design, data collection and analysis and manuscript development. Ange Bissielo: involved in data collection and analysis. Mark Thompson: involved in study design, interpretation and manuscript development. Heath Kelly: involved in study design, methodological analysis, data analysis and interpretation, manuscript development and editing.

References

1. Huang QS, Baker M, McArthur C, Roberts S, Williamson D, Grant C, et al. Implementing hospital-based surveillance for severe acute respiratory infections caused by influenza and other respiratory pathogens, New Zealand. *Western Pac Surveill Response*. 2014;5(2):23-30. <http://dx.doi.org/10.5365/wpsar.2014.5.1.004>
2. Turner N, Pierse N, Bissielo A, Huang QS, Baker MG, Widdowson MA, et al. The effectiveness of seasonal trivalent inactivated influenza vaccine in preventing laboratory confirmed influenza hospitalisations in Auckland, New Zealand in 2012. *Vaccine*. 2014;32(29):3687-93. <http://dx.doi.org/10.1016/j.vaccine.2014.04.013>
3. Turner N, Pierse N, Bissielo A, Huang Q, Radke S, Baker M, et al. Effectiveness of seasonal trivalent inactivated influenza vaccine in preventing influenza hospitalisations and primary care visits in Auckland, New Zealand, in 2013. *Euro Surveill*. 2014;19(34):pii=20884.
4. World Health Organization (WHO). Recommended composition of influenza virus vaccines for use in the 2014 southern hemisphere influenza season. 26 September 2013. Geneva: WHO; 2013. Available from: http://www.who.int/influenza/vaccines/virus/recommendations/2014_south/en/
5. Shu B, Wu KH, Emery S, Villanueva J, Johnson R, Guthrie E, et al. Design and performance of the CDC real-time reverse transcriptase PCR swine flu panel for detection of 2009 A (H1N1) pandemic influenza virus. *J Clin Microbiol*. 2011;49(7):2614-9. <http://dx.doi.org/10.1128/JCM.02636-10>
6. Szewczuk E, Thapa K, Anninos T, McPhie K, Higgins G, Dwyer DE, et al. Rapid semi-automated quantitative multiplex tandem PCR (MT-PCR) assays for the differential diagnosis of influenza-like illness. *BMC Infect Dis*. 2010;10:113. <http://dx.doi.org/10.1186/1471-2334-10-113>
7. World Health Organization (WHO). WHO_global_influenza_surveillance_network: manual for the laboratory diagnosis and virological surveillance of influenza. Geneva: WHO; 2011.p. 153. Available from: http://whqlibdoc.who.int/publications/2011/9789241548090_eng.pdf
8. Crampton P, Salmon C, Kirkpatrick R. Degrees of deprivation in New Zealand: an atlas of socioeconomic difference. 2nd ed. Auckland: David Bateman Ltd; 2004.
9. Jenkinson C, Coulter A, Wright L. Short form 36 (SF36) health survey questionnaire: normative data for adults of working age. *BMJ*. 1993;306(6890): p. 1437-40. <http://dx.doi.org/10.1136/bmj.306.6890.1437>
10. McNeil S, Shinde V, Andrew M, Hachette T, Leblanc J, Ambrose A, et al. Interim estimates of 2013/14 influenza clinical severity and vaccine effectiveness in the prevention of laboratory-confirmed influenza-related hospitalisation, Canada, February 2014. *Euro Surveill*. 2014;19(9):pii=20729.
11. Skowronski DM, Chambers C, Sabaiduc S, De Serres G, Dickinson J, Winter A, et al. Interim estimates of 2013/14 vaccine effectiveness against influenza A (H1N1) pdm09 from Canada's sentinel surveillance network, January 2014. *Euro Surveill*. 2014;19(5):pii=20690.
12. Flannery B, Thaker SN, Clippard J, Monto AS, Ohmit SE, Zimmerman RK, et al. Interim estimates of 2013-14 seasonal influenza vaccine effectiveness-United States, February 2014. *MMWR Morb Mortal Wkly Rep*. 2014;63(7):137-42.
13. Jimenez-Jorge S, Pozo F, de Mateo S, Delgado-Sanz C, Casas I, Garcia-Cenoz M, et al. Influenza vaccine effectiveness in Spain 2013/14: subtype-specific early estimates using the cycEVA study. *Euro Surveill*. 2014;19(9):pii=20727.
14. World Health Organization (WHO). WHO recommendations on the composition of influenza virus vaccines. Geneva: WHO. [Accessed 22 Oct 2014]. Available from: <http://www.who.int/influenza/vaccines/virus/recommendations/en/>

Surveillance of invasive *Neisseria meningitidis* with a serogroup Y update, Sweden 2010 to 2012

B Törös (nora-bianka.toros-vig@orebroll.se)¹, S Thulin Hedberg¹, S Jacobsson¹, H Fredlund¹, P Olcén¹, P Mölling¹

1. National Reference Laboratory for Pathogenic *Neisseria*, Department of Laboratory Medicine, Clinical Microbiology/Molecular diagnostics R&D, Örebro University Hospital, Örebro, Sweden

Citation style for this article:

Törös B, Thulin Hedberg S, Jacobsson S, Fredlund H, Olcén P, Mölling P. Surveillance of invasive *Neisseria meningitidis* with a serogroup Y update, Sweden 2010 to 2012. Euro Surveill. 2014;19(42):pii=20940. Available online: <http://www.eurosurveillance.org/ViewArticle.aspx?ArticleId=20940>

Article submitted on 10 June 2013 / published on 23 October 2014

An increase of invasive meningococcal disease caused by *Neisseria meningitidis* serogroup Y has been noted in Sweden since 2005, and to a lower extent throughout Europe. The present study describes the epidemiology of invasive *N. meningitidis* isolates in Sweden in the period between 2010 and 2012, with a focus on serogroup Y. We also aimed to find an optimal molecular typing scheme for both surveillance and outbreak investigations. All invasive *N. meningitidis* isolates in Sweden during the study period (n=208) were genetically characterised. Serogroup Y predominated with 22/57, 31/61 and 44/90 of all invasive isolates (incidence 0.23, 0.33 and 0.46 per 100,000 population) in 2010, 2011 and 2012 respectively. In each of these years, 15/22, 22/31 and 19/44 of serogroup Y isolates were genetically clonal (Y: P1.5–2,10–1,36–2: F4–1: ST-23(cc23), 'porB' allele 3–36, fHbp allele 25 and penA allele 22). Our findings further support those of others that currently recommended FetA typing could be replaced by FHbp. Moreover, in line with a previous study that we conducted, the current results indicate that highly variable multilocus variable-number tandem repeat analysis (HV-MLVA) can be used as a first-hand rapid method for small outbreak investigations.

Introduction

Neisseria meningitidis (the meningococcus) is a Gram-negative diplococcus carried asymptomatically in the pharynx by approximately 10% of the population [1]. It is also a potentially devastating pathogen causing meningitis and septicaemia. Invasive meningococcal disease (IMD) occurs mainly in sporadic cases but also as outbreaks and epidemics. Meningococcal populations are genetically and antigenically highly diverse [2] and vary greatly globally and over time, but the majority of IMD is caused by a limited number of clonal complexes, known as hyper-virulent lineages [3]. Therefore, detailed characterisation of circulating meningococcal strains is important in terms of vaccination policy decisions, outbreak management, as well as monitoring antibiotic susceptibility and vaccine coverage.

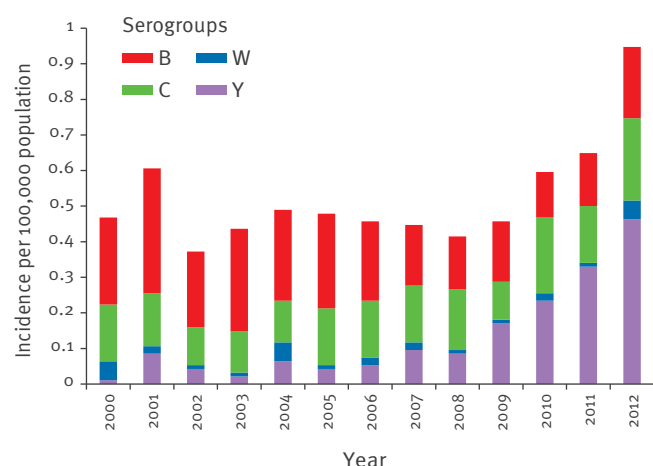
The polysaccharide capsule surrounding the bacterium defines the meningococcal serogroup. The capsule is an important virulence factor and IMD is mainly restricted to encapsulated meningococci belonging to serogroups A, B, C, W, X and Y. The capsule is also a polysaccharide vaccine component in available conjugate vaccines for serogroups A, C, W and Y [4]. The serogroup distribution is highly regional [5]. In Europe, the main circulating strains belong to serogroups B and C [6]. As previously described, serogroup Y has increased in Sweden from 0.04 per 100,000 population in 2005 to 0.23 per 100,000 population in 2010 [7]. An emergence of serogroup Y has also been noted in some other European countries, however, the highest relative proportions are found in Scandinavia [8,9].

In addition to serogroup designation, it is currently recommended by the European Meningococcal Disease Society (EMGM) that meningococcal strains are designated by variable regions (VR) in the Porin A (*PorA*) and the Ferric enterobactin transport protein A (*FetA*) proteins as well as multilocus sequence typing (MLST) sequence type (ST) and clonal complex (CC) [10]. *PorA* and *FetA* are two surface antigens, which are recommended for rapid investigation of disease outbreaks. MLST, based on seven housekeeping genes, is ideal for studying population biology and evolution of the organism on a national and international level. For enhanced resolution, genotyping of a third surface antigen, Porin B (*PorB*), may also be performed [11]. Finally, further characterisation can be achieved with the *penA* gene encoding the penicillin-binding protein 2 (used in surveillance of penicillin susceptibility) [12] and *fHbp* encoding the serogroup B vaccine component Factor H binding protein (*FHbp*) [13,14].

Another molecular method that has been proposed for an alternative typing paradigm, mainly suited for investigating localised outbreaks, is multilocus variable-number tandem repeat analysis (MLVA) [15–18]. MLVA is a polymerase chain reaction (PCR)-based technique, which uses the variability in the numbers of short tandem repeats to create DNA fingerprints used

FIGURE 1

Incidence of invasive meningococcal disease caused by *Neisseria meningitidis* serogroups B, C, W and Y in Sweden, 2000–2012 (n=642)



in epidemiological studies. The highly variable MLVA (HV-MLVA) developed by Schouls et al. [18] has shown high discriminatory capacity for serogroup C isolates and has been considered suitable for outbreak identification [19].

The aims of the present study were to describe the current epidemiology of invasive *N. meningitidis* isolates including the dominating serogroup Y in Sweden, and to find an optimal molecular typing scheme with appropriate resolution power for both surveillance and outbreak investigations.

Methods

Bacterial isolates and phenotypic characterisation

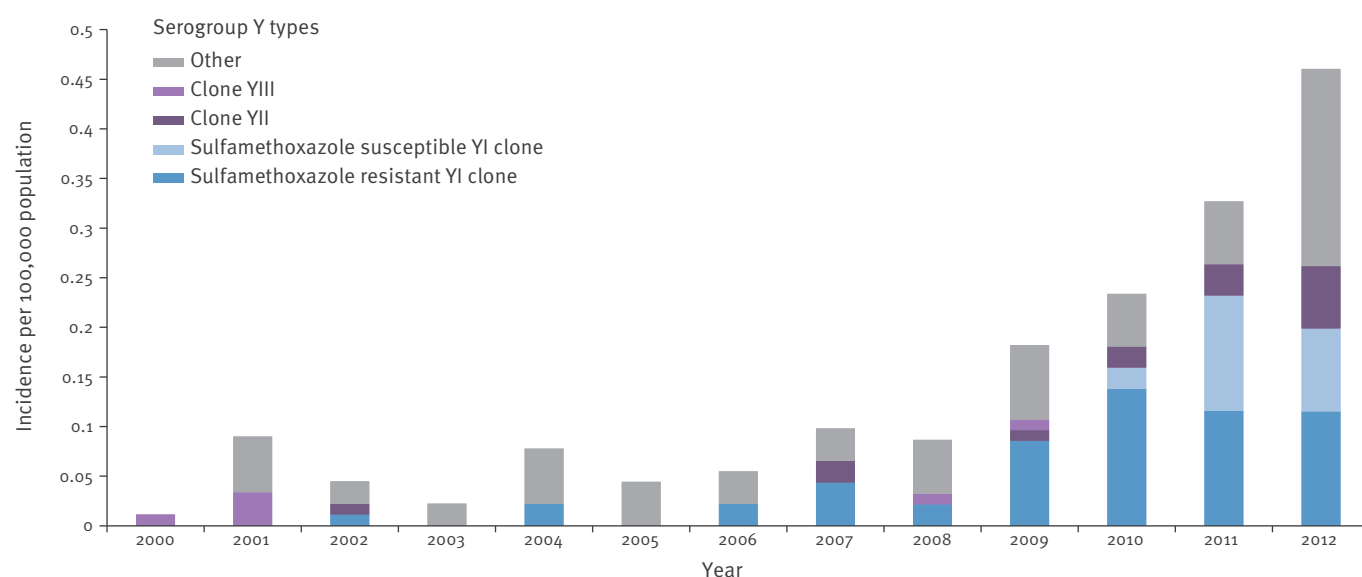
In Sweden, all invasive cases of meningococcal disease according to the European Union case definition are mandatorily reported by clinicians to the Swedish Institute for Infectious Disease Control (SMI) [20]. The corresponding isolates are sent to the Public Health Agency of Sweden, where they are routinely cultured on chocolate agar at 37°C with 5% CO₂ overnight and subsequently serogrouped by co-agglutination [21]. Further genosubtyping (*PorA* typing) is then conducted as previously described [22] and antibiotic susceptibility determined using the EpsilonMeter (E)test method (bioMérieux, Marcy l'Etoile, France). Basic epidemiological data (age, sex, area of residence, clinical site of isolation and date of sample collection) are gathered for all isolates from cases. This study included all invasive *N. meningitidis* isolates in Sweden between 2010 and 2012. The serogroup B strain MC58 [23] was included in all analyses as a reference.

Nucleic acid extraction

The DNA used for sequence-based typing methods and MLVA was extracted with a NorDiag Bullet instrument (DiaSorin, Dublin, Ireland). For the automatic extraction, 20 colonies from each cultured organism were suspended in 2 ml NaCl (0.85%) and 100 µl of this solution were subsequently processed with the NorDiag Bullet with the Bullet BUGS'n BEADS kit according to the manufacturer's recommendation (DiaSorin). All DNA preparations were stored at 4°C prior to the PCR.

FIGURE 2

Distribution of the genetically defined predominant *Neisseria meningitidis* clone YI with different sulfamethoxazole susceptibilities, the second and third most common serogroup Y clones (YII and YIII) and all other invasive *N. meningitidis* serogroup Y isolates in Sweden, 2000–2012 (n=163)

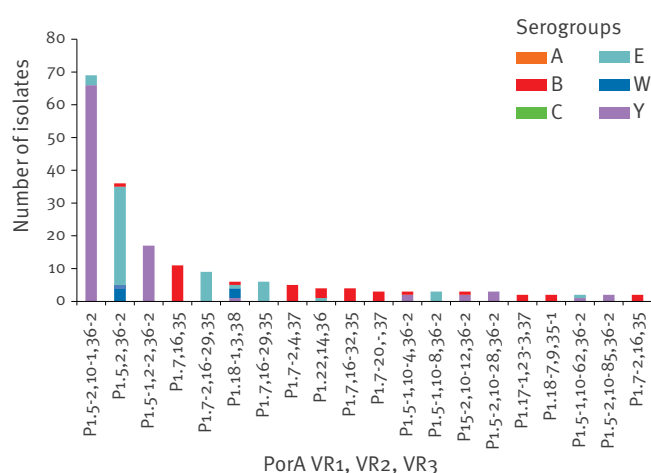


Data for this Figure originate both from this study and a previous one [7].

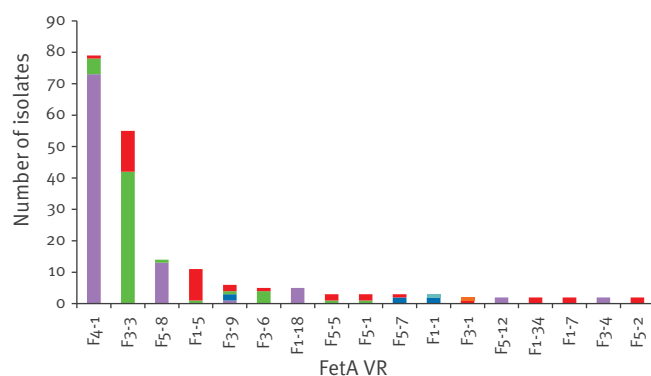
FIGURE 3

Serogroup distribution in the most frequent *PorA*, *FetA* and *fHbp* variable regions (VRs) or alleles of invasive *Neisseria meningitidis* isolates in Sweden, 2010–2012

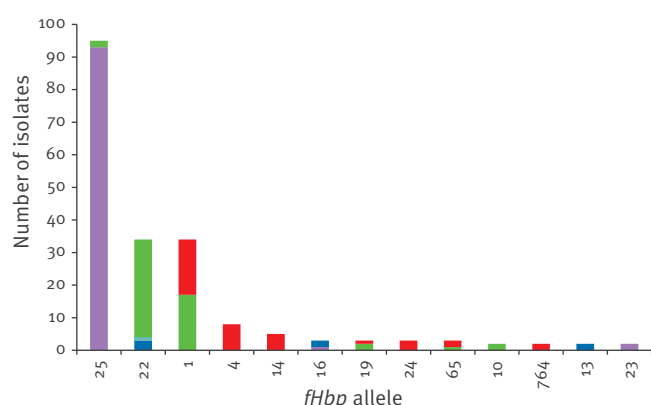
A. Serogroup distribution by *PorA* VR



B. Serogroup distribution by *FetA* VR



C. Serogroup distribution by *fHbp* allele



FetA: Ferric enterobactin transport protein A; *PorA*: Porin A.
Only the types represented by at least two isolates are displayed.

Polymerase chain reaction and DNA sequencing

The MLST genes: *abcZ*, *adk*, *aroE*, *fumC*, *gdh*, *pdhC* and *pgm* together with *fetA*, *fHbp*, *porB* and *penA* were amplified and sequenced as previously described [7,19]. In short, the PCR was performed using a Rotor-Gene Q real-time PCR system (Qiagen, Hilden, Germany) and detected with SYBR green I. The nucleotide sequences were determined by capillary electrophoresis using an ABI PRISM 3130xl Genetic Analyzer (Applied Biosystems, Foster City, CA, USA) using BigDye Terminator v3.1 (Applied Biosystems, Warrington, UK). The sequence alignments for the MLST genes were assembled using the Bionumerics software version 6.6 (Applied Maths, Sint-Martens-Latem, Belgium, <http://www.applied-maths.com/bionumerics>) and all other genes were assembled using the ChromasPro software version 1.33 (Technelysium Pty Ltd, Brisbane, Australia, <http://technelysium.com.au/>). The different sequences were assigned allele numbers using the *N. meningitidis* sequence query database [24].

Multilocus variable-number tandem repeat analysis

The HV-MLVA with four highly variable variable-number tandem repeat (VNTR) loci (VNTR4–4, VNTR9–2, VNTR4–2, and VNTR4–3) was performed as previously described by Törös et al. [19] with the primers from Schouls et al. [18]. In short, the PCR was performed on an Applied Biosystems 9700 or 2720 PCR machine and the fragments were separated on an ABI PRISM 3130xl Genetic Analyzer using the GeneScan LIZ 500 size standard and GeneScan module with filter set G5 (Applied Biosystems). The sizing of the fragments was performed with the GeneMapper software v4.0 (Applied Biosystems). All isolates were run in duplicates in separate runs.

Data analysis

The ability of each method to discriminate between strains was evaluated on the basis of their discrimination index, using Simpson's index of diversity (ID) [25]. The ID determines the probability that two randomly picked strains are allocated to different types. Confidence intervals (CI) of 95% were calculated [26]. Cluster analysis of the MLST data was performed using a categorical coefficient and displayed in a minimum spanning tree (MST) created with the Bionumerics software version 7.1, with the priority rule of first linking types which have the highest number of single-locus variants. For the HV-MLVA, a dendrogram was generated using a categorical coefficient and unweighted pair group method with arithmetic average (UPGMA).

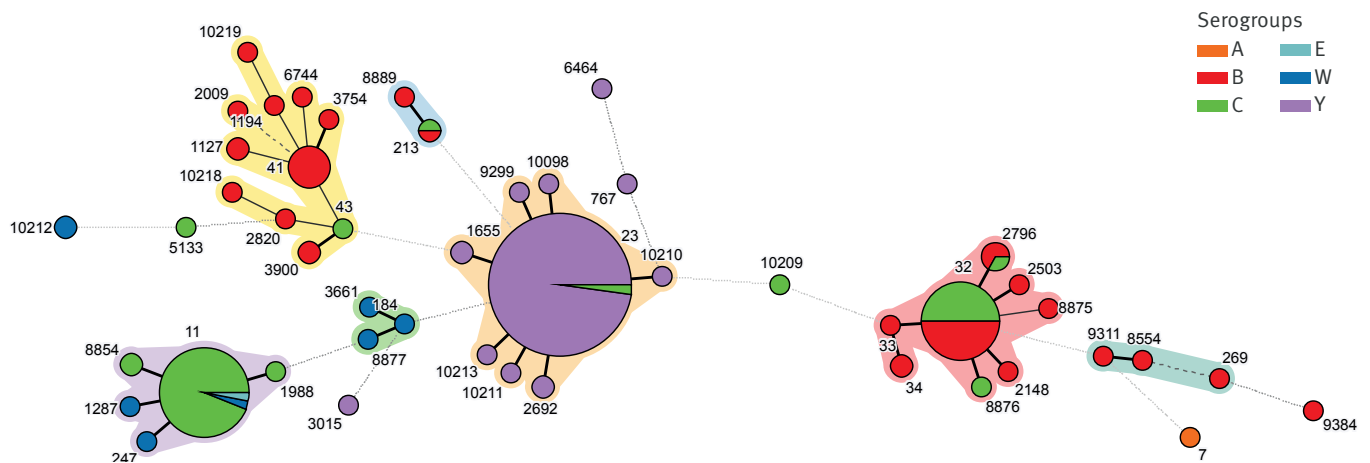
Results

Epidemiology

A total of 208 invasive *N. meningitidis* isolates were characterised during the study period, including 57 in 2010, 61 in 2011 and 90 in 2012. The isolates originated from clinical specimens of cerebrospinal fluid (n=44),

FIGURE 4

Minimum spanning tree from multilocus sequence typing profile data for *Neisseria meningitidis* isolates in Sweden, 2010–2012 (n=208)



Each circle represents a sequence type (ST), and the serogroup distribution in each ST is represented by the respective colour.

Lines connecting the STs that are thick and solid, thin and solid, dashed and dotted denote 1, 2, 3 and 4 or more loci differences, respectively. Halos surrounding the circles denote different clonal complexes. Purple: clonal complex ST-11; yellow: clonal complex ST-41/44; green: clonal complex ST-22; blue: clonal complex ST-213; peach: clonal complex ST-23; pink: clonal complex ST-32; turquoise: clonal complex ST-269.

tissue (n=1), joint fluid (n=4) and blood (n=159). Among all isolates, 97 belonged to serogroup Y, 57 to serogroup C, 44 to serogroup B, eight to serogroup W, one to serogroup A and one to serogroup E. The annual incidences of all meningococcal serogroups in Sweden from 2000 to 2012 are described in Figure 1. The figure also shows that the total incidence of IMD in Sweden has increased prominently in 2012, from approximately 0.6 per 100,000 population in 2010 and 2011 to 0.95 per 100,000 population in 2012. The predominant serogroup in the period between 2010 and 2012 was serogroup Y, which accounted for 22/57 (39%, incidence 0.23 per 100,000 population), 31/61 (51%, incidence 0.33 per 100,000 population) and 44/90 (49%, incidence 0.46 per 100,000 population) of all invasive isolates in Sweden in 2010, 2011 and 2012, respectively.

The clonal pattern of the invasive serogroup Y isolates is presented in Figure 2. Of all serogroup Y isolates, 15/22 (68%) in 2010, 22/31 (71%) in 2011 and 19/44 (43%) in 2012 were genetically identical to clone YI as previously described by Thulin Hedberg et al. [7] (Y: P1.5–2,10–1,36–2; F4–1; ST-23(cc23), 'porB' allele 3–36, fHbp allele 25 and penA allele 22). However, a sulfamethoxazole susceptible variant of the clone seems to have appeared in 2010, which in 2011 corresponded to 11/22 (50%) of the predominant clone, and 8/19 (42%) in 2012. As seen in Figure 2, the previously described clone YII [7] (sulfamethoxazole susceptible, with type Y: P.5–1,2–2,36–2, F5–8, ST 23(cc23), 'porB' allele 2–55, fHbp allele 25 and penA allele 22) is still the second most frequent serogroup Y clone corresponding to 3/22 (14%) in 2010, 3/31 (10%) in 2011 and 6/44 (14%) in 2012.

The current clonal pattern among serogroup Y isolates is further outlined in Figure 3 and 4 where the *PorA* types P1.5–2,10–1,36–2 and P1.5–1,2–2,36–2, FetA VR F4–1 and 5–8, fHbp allele 25 and ST-23 are the most frequent. Furthermore, 29/57 (51%) of all serogroup C isolates have *PorA* type P1.5,2,36–2, FetA VR F3–3, fHbp allele 22 and ST-11 (Figures 3 and 4).

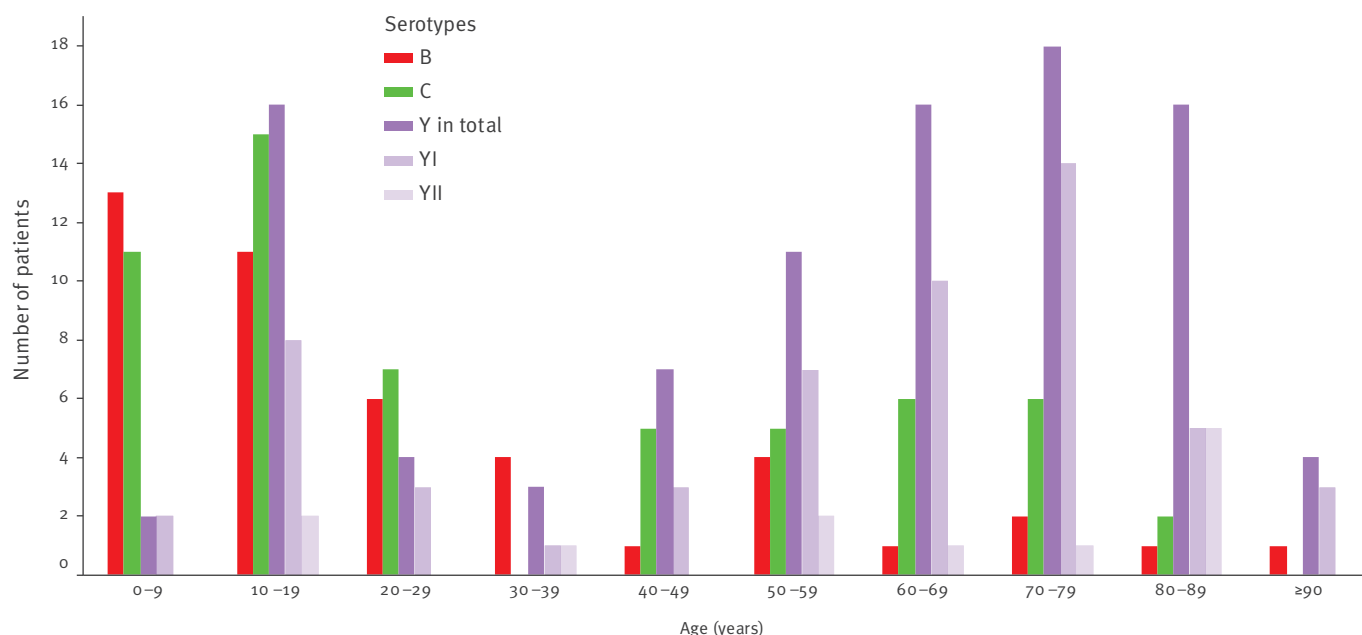
The age distribution of patients with IMD during 2010 to 2012 is shown in Figure 5. Serogroup B was most common among children (median age: 19 years; inter quartile range (IQR): 7–33), serogroup C among young adults (median age: 20 years; IQR: 17–59) and serogroup Y among older adults (median age: 64 years; IQR: 37–77). The figure shows that among IMD caused by serogroup Y during this time period, the genetically defined predominant clone YI and clone YII are mainly common among an older age group compared to the other serogroup Y isolates. The mortality rate among patients with IMD caused by serogroup Y from 2010 to 2012 was 6/97 (6%; 95% CI: 1.4–11%) compared to the total mortality rate due to all serogroups combined during the same time period which was 18/208 (9%; 95% CI: 5–12%). The IMD mortality rate in Sweden during 2000 to 2009 was 67/569 (12%; 95% CI: 9–14%).

Resolving power of molecular typing methods used for surveillance

The discriminative ability of a MLST and the *porA*, *porB*, *fetA*, *fHbp* and *penA* genes shows that as single targets *PorA* VR 1, 2, and 3 had the highest Simpson's ID (0.849; 95% CI: 0.811–0.886) and serogroup had the lowest (0.664; 95% CI: 0.628–0.700). Among combinations of four, on the basis of serogroup, *porA* and MLST, this combination including *fetA* had the highest

FIGURE 5

Age distribution of patients with invasive meningococcal disease caused by *Neisseria meningitidis* serogroups B, C, Y, the genetically defined predominant serogroup Y clone YI and the second most common serogroup Y clone (YII) in Sweden, 2010–2012 (n=208)



ID (0.956; 95% CI: 0.940–0.972) and serogroup, *porA* and MLST including *fHbp* had the lowest (0.950; 95% CI: 0.933–0.967).

Outbreak investigations

A spatiotemporal association was defined as isolates of the same serogroup, collected in the same central county within a timeframe of one month. Of the 208 *N. meningitidis* invasive isolates from 2010 to 2012, 35 isolates were spatiotemporally associated in 16 different clusters and represented potential outbreaks. There were 13 clusters of two isolates and three clusters of three isolates. Eight of the spatiotemporal clusters (cluster nr 1–8 in Figure 6) did not share a common *PorA* type within the clusters and the cases had not been in direct contact with each other and therefore there were no further outbreak investigations. In spatiotemporal clusters nr 10–13, the isolates were identical within each cluster regarding serogroup and all 12 target genes (Figure 6), but no connection between cases was found and the isolates were separated by HV-MLVA. Within each of the three spatiotemporal serogroup B clusters 14–16 (Figure 6) the isolates were identical regarding all 12 sequenced genes (the isolates in cluster 14 all had one insertion in *aroE* allele 9 but were still regarded as belonging to ST-41). In addition, the isolates were clustered together in the HV-MLVA when MLVA types did not differ in more than one VNTR locus (single-locus variant, SLV). However, spatiotemporal cluster 14 and 16 were the only spatiotemporal clusters which had confirmed connections between cases. The HV-MLVA results from all 208 isolates showed another seven HV-MLVA clusters comprising fifteen isolates

in total (if SLVs were allowed), of which four did have identical genetic profiles, but none of them shared a spatiotemporal link and were therefore not included in Figure 6.

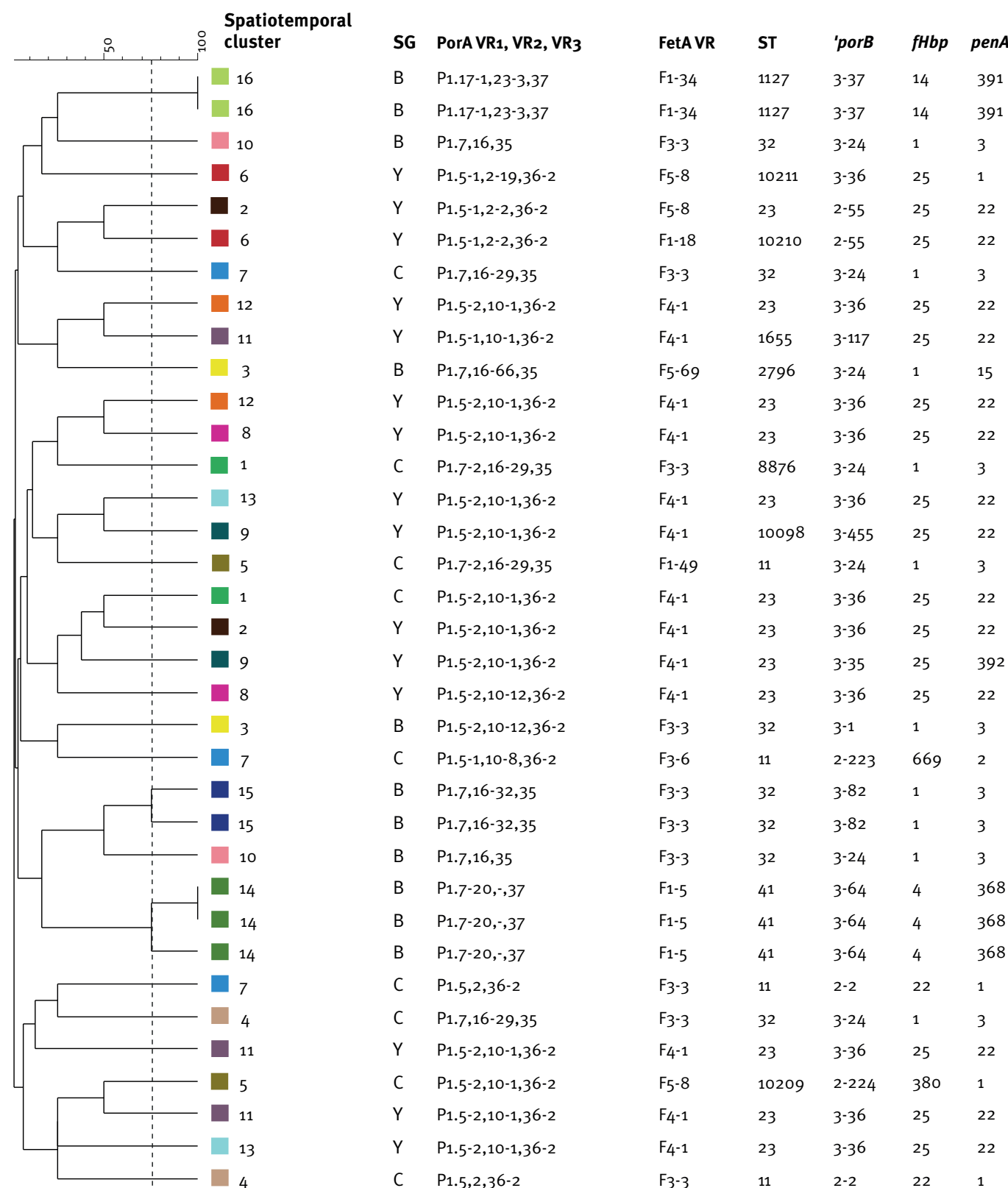
Discussion

This study aimed to describe the epidemiology of invasive *N. meningitidis* isolates in Sweden between 2010 and 2012, specifically the dominating serogroup Y, and to identify an optimal molecular typing scheme with appropriate resolution power for both surveillance and outbreak investigations in low-endemic areas. Although whole genome sequencing is becoming more cost beneficial than traditional Sanger sequencing per isolate, optimal molecular typing schemes will still be important when fast results are needed, and for smaller laboratories that lack the need of a next generation sequencer. All isolates causing IMD in Sweden during 2010, 2011 and 2012 were characterised by capsular group, MLST, sequencing of the *porA*, *fetA*, *porB*, *penA*, *fHbp* genes and a MLVA using four highly variable loci.

The epidemiology in Sweden has changed most notably with an increase of IMD between 2010 and 2012. IMD caused by serogroup C has declined slightly from 2010 to 2011 and 2012, and serogroup B somewhat increased (Figure 1). The genetic characterisation of circulating *N. meningitidis* causing IMD shows that serogroup Y was the most prevalent in Sweden, and the previously genetically described predominant clone YI [7] was still dominating among serogroup Y strains. However, the overall IMD incidence is still low and although a vaccine against serogroup Y is available, it is probably not

FIGURE 6

Dendrogram of invasive *Neisseria meningitidis* isolates generated from a highly variable multilocus variable-number tandem repeat analysis, Sweden, 2010–2012 (n=35 isolates)



FetA: Ferric enterobactin transport protein A; PorA: Porin A; SG: serogroup; ST: sequence type; VR: variable region.

A similarity line has been drawn at 75% (maximum one single-locus variant). Only spatiotemporally associated isolates (Sweden, 2010–2012) are included and have been designated a spatiotemporal cluster number 1–16. Additional information about serogroup and allele or VR from sequencing the *porA*, *fetA*, *'porB* (partial fragment), *fHbp* and *penA* genes and the ST from the multilocus sequence typing is provided for each strain.

justifiable to change the vaccine policy in Sweden which currently does not include any vaccines against IMD in the general vaccination programme. Moreover, a shift of sulfamethoxazole susceptibility has occurred sometime around 2010 where isolates otherwise identical to the predominant serogroup Y clone instead are susceptible to sulfamethoxazole. Sequencing of the *folP* gene in a representative collection of the serogroup Y isolates in this study has not shown any of the mutations previously associated with sulphonamide resistance (data not shown) [27,28]. Investigating larger parts of the genome, including differences in expression, can possibly elucidate the mechanisms responsible for this sulfamethoxazole susceptibility shift. Like serogroup Y, serogroup C also presented a fairly clonal pattern whereas serogroup B isolates were rather genetically heterogeneous (Figure 3 and 4).

The age distribution of patients with IMD in Sweden during 2010 to 2012 regarding serogroups B and C is similar to the age incidence pattern during the period from 1995 to 2009 where serogroup B is most common among patients under 10 years of age and serogroup C is most common in the age group including 10 to 19 year-olds (data not shown). The mean age among patients with IMD caused by serogroup Y in 2010 to 2012, 58.9 years, has somewhat increased compared to the mean age of 54.5 years for this serogroup in 2000 to 2009 (data not shown). A considerably lower average age of serogroup Y patients in 2011 has been reported in Denmark (26 years), France (20 years), Italy (26.9 years), Portugal (15.5 years) and Spain (31 years) [9]. Further studies need to be performed to give a clearer picture as to whether the virulence or transmissibility is increased in the predominant serogroup Y clone. Moreover, the mortality rate for IMD has somewhat decreased during 2010 to 2012 compared to the mortality rate during 2000 to 2009, however this was not statistically significant. The mortality rate among serogroup Y cases has also decreased slightly between the periods 2000 to 2010 and 2010 to 2012, however the difference was again not statistically significant. The decrease may be partly due to the recent small decrease in median age among patients with IMD caused by meningococci belonging to this serogroup.

The resolving power of a molecular typing method is recommended to have a discrimination level of at least 0.90 [25]. Serogroup:*porA*:*fetA* typing has previously shown a value of 0.963 [29] which is similar to the discrimination index achieved in this study (0.952; 95% CI: 0.935–0.969) with the same typing targets (data not shown). Although serogroup:*porA*:*fetA*:ST had the highest index of diversity achieved in this study, our results show that replacing the *fetA* gene with *fHbp* only reduces the discrimination ability by 0.006 (no statistical significant difference). Concurrently, Lucidarme et al. [30] investigated the comparability between *fetA* and *fHbp* in terms of diversity, after it had been recommended in England and Wales to additionally incorporate *fHbp* for routine genotypic surveillance.

Their study (on 613 invasive isolates) actually showed that *fHbp* had significantly (non-overlapping 95% CIs) better resolving power than *fetA*. These findings indicate that the level of discrimination gained from *fHbp* is partially complementary to that of *fetA*. This could strengthen the argument that considering labour and cost, and with regard of the new serogroup B vaccines, it would be beneficial to substitute the current routine marker *fetA* with *fHbp*.

In terms of outbreak investigations, HV-MLVA detected three small clusters with spatiotemporal connections and identical genetic profiles (spatiotemporal clusters 14–16 in Figure 6), which further supports that these strains were truly involved in small outbreaks. Although no connection could be confirmed between cases in spatiotemporal cluster 15, the cases were both of similar age and from the same county and thus the cases could still have been related.

Fifteen isolates in the present study were clustered in seven HV-MLVA clusters without having a spatiotemporal connection (data not shown). However, without a spatiotemporal connection, these would normally never have been subjected to a HV-MLVA analysis. Moreover, the results of the HV-MLVA suggest that, after identifying the capsular group and receiving clinical data, it could have been sufficient to perform only the HV-MLVA to get indications of potential outbreaks. In an outbreak situation where time is of high importance, not having to await the results of *PorA* typing or whole genome sequencing for the subsequent HV-MLVA analysis would be beneficial.

We have previously compared HV-MLVA to rep-PCR with the DiversiLab system and DNA sequencing on invasive serogroup C isolates which showed that HV-MLVA helped strengthen all of the spatiotemporal linkages [19]. The use of MLVA to trace transmission has been deemed questionable due to the low stability of VNTRs. Transmission-dependent variation of tandem repeats in meningococci has been investigated by Elias et al. [31] in a study using four highly variable VNTR loci together with another eight standard MLVA loci. The observed overall variation was considerably smaller than predicted and the method was considered most useful for outbreaks containing few transmissions. Considering this, HV-MLVA could be valuable in low-endemic areas such as Sweden where outbreaks are fairly rare and connected cases usually only consists of no more than three contacts, as shown by our results. A fast detailed typing of spatiotemporally linked cases which can separate outbreaks from sporadic cases is important to inform public health measures to control IMD, such as deciding whether or not to offer prophylaxis in the form of antibiotics or vaccines.

In summary, serogroup Y was found to be the most prevalent serogroup in Sweden, and the previously genetically described predominant clone YI [7] (Y: P1.5–2,10–1,36–2: F4–1: ST-23(cc23), '*porB* allele 3–36,

fHbp allele 25 and *penA* allele 22) is still dominating. However, a sulfamethoxazole susceptibility subvariant of the clone appears to have emerged in 2010, and in 2011 represented 11/22 (50%) of the genetically defined predominant clone YI isolates. Our study supports previous studies that suggests that the FetA typing could be replaced by FHbp in the recommended designation (serogroup: *porA:fetA:ST(CC)*), which may be more suitable in the current vaccine era. Furthermore, this study including the additional serogroups A, B, E, W and Y, strengthens previous results on serogroup C isolates [19] suggesting that HV-MLVA is a first-hand rapid method for investigating outbreaks with few transmission events, where isolates have an identical serogroup and a common spatiotemporal connection.

Acknowledgements

This work was funded by grants from the Örebro County Council Research Committee and the Foundation for Medical Research at Örebro University Hospital, Örebro, Sweden.

Conflict of interest

None declared.

Author's contributions

Bianca Törös, Sara Thulin Hedberg and Paula Mölling were mainly responsible for the design and supervision of the study. Bianca Törös and Susanne Jacobsson performed the laboratory work. Bianca Törös analysed the results and all authors were involved in the discussion of the results. Bianca Törös drafted the paper and Sara Thulin Hedberg, Susanne Jacobsson, Hans Fredlund, Per Olcén and Paula Mölling revised the paper.

References

- Cartwright KA, Stuart JM, Jones DM, Noah ND. The Stonehouse survey: nasopharyngeal carriage of meningococci and *Neisseria lactamica*. *Epidemiol Infect.* 1987;99(3):591-601. <http://dx.doi.org/10.1017/S0950268800066449>
- Caugant DA. Population genetics and molecular epidemiology of *Neisseria meningitidis*. *APMIS.* 1998;106(5):505-25. <http://dx.doi.org/10.1111/j.1699-0463.1998.tb01379.x>
- Maiden MC, Bygraves JA, Feil E, Morelli G, Russell JE, Urwin R, et al. Multilocus sequence typing: a portable approach to the identification of clones within populations of pathogenic microorganisms. *Proc Natl Acad Sci USA.* 1998;95(6):3140-5. <http://dx.doi.org/10.1073/pnas.95.6.3140>
- Pace D, Pollard AJ. Meningococcal A, C, Y and W-135 polysaccharide-protein conjugate vaccines. *Arch Dis Child.* 2007;92(10):909-15. <http://dx.doi.org/10.1136/adc.2006.111500>
- Stephens DS, Greenwood B, Brandtzaeg P. Epidemic meningitis, meningococcaemia, and *Neisseria meningitidis*. *Lancet.* 2007;369(9580):2196-210. [http://dx.doi.org/10.1016/S0140-6736\(07\)61016-2](http://dx.doi.org/10.1016/S0140-6736(07)61016-2)
- Harrison LH, Trotter LC, Ramsay ME. Global epidemiology of meningococcal disease. *Vaccine.* 2009;27(Suppl 2):B51-63. <http://dx.doi.org/10.1016/j.vaccine.2009.04.063>
- Hedberg ST, Törös B, Fredlund H, Olcén P, Mölling P. Genetic characterisation of the emerging invasive *Neisseria meningitidis* serogroup Y in Sweden, 2000 to 2010. *Euro Surveill.* 2011;16(23):pii=19885.
- Bröker M, Jacobsson S, DeTora L, Pace D, Taha MK. Increase of meningococcal serogroup Y cases in Europe: a reason for concern? *Hum Vaccin Immunother.* 2012;8(5):685-8. <http://dx.doi.org/10.4161/hv.20098>
- Bröker M, Jacobsson S, Kuusi M, Pace D, Simões MJ, Skoczynska A, et al. Meningococcal serogroup Y emergence in Europe: Update 2011. *Hum Vaccin Immunother.* 2012;8(12). <http://dx.doi.org/10.4161/hv.21794>
- Jolley K. Meningococcal typing. [Accessed 5 November 2012]. Available from: <http://neisseria.org/nm/typing/>
- Jolley KA, Brehony C, Maiden MC. Molecular typing of meningococci: recommendations for target choice and nomenclature. *FEMS Microbiol Rev.* 2007;31(1):89-96. <http://dx.doi.org/10.1111/j.1574-6976.2006.00057.x>
- Taha MK, Vazquez JA, Hong E, Bennett DE, Bertrand S, Bukovski S, et al. Target gene sequencing to characterize the penicillin G susceptibility of *Neisseria meningitidis*. *Antimicrob Agents Chemother.* 2007;51(8):2784-92. <http://dx.doi.org/10.1128/AAC.00412-07>
- Beernink PT, Granoff DM. The modular architecture of meningococcal factor H-binding protein. *Microbiology.* 2009;155(Pt 9):2873-83. <http://dx.doi.org/10.1099/mic.0.029876-0>
- Pajon R, Beernink PT, Harrison LH, Granoff DM. Frequency of factor H-binding protein modular groups and susceptibility to cross-reactive bactericidal activity in invasive meningococcal isolates. *Vaccine.* 2010;28(9):2122-9. <http://dx.doi.org/10.1016/j.vaccine.2009.12.027>
- Yazdankhah SP, Lindstedt BA, Caugant DA. Use of variable-number tandem repeats to examine genetic diversity of *Neisseria meningitidis*. *J Clin Microbiol.* 2005;43(4):1699-705. <http://dx.doi.org/10.1128/JCM.43.4.1699-1705.2005>
- Yazdankhah SP, Lindstedt BA. Variable number tandem repeat typing of bacteria. *Methods Mol Biol.* 2007;396:395-405. http://dx.doi.org/10.1007/978-1-59745-515-2_25
- Lindstedt BA. Multiple-locus variable number tandem repeats analysis for genetic fingerprinting of pathogenic bacteria. *Electrophoresis.* 2005;26(13):2567-82. <http://dx.doi.org/10.1002/elps.200500096>
- Schouls LM, van der Ende A, Damen M, van de Pol I. Multiple-locus variable-number tandem repeat analysis of *Neisseria meningitidis* yields groupings similar to those obtained by multilocus sequence typing. *J Clin Microbiol.* 2006;44(4):1509-18. <http://dx.doi.org/10.1128/JCM.44.4.1509-1518.2006>
- Törös B, Hedberg ST, Jacobsson S, Fredlund H, Olcén P, Mölling P. Evaluation of molecular typing methods for identification of outbreak-associated *Neisseria meningitidis* isolates. *APMIS.* 2013;121(6):503-10. <http://dx.doi.org/10.1111/apm.12022>
- European Commission. Commission Decision of 28 April 2008 amending Decision 2002/253/EC laying down case definitions for reporting communicable diseases to the Community network under Decision No 2119/98/EC of the European Parliament and of the Council. *Official Journal of the European Union.* Luxembourg: Publications Office of the European Union. 18.6.2008:L159/46. Available from: <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:159:0046:0090:EN:PDF>
- Olcén P, Danielsson D, Kjellander J. The use of protein A-containing staphylococci sensitized with anti-meningococcal antibodies for grouping *Neisseria meningitidis* and demonstration of meningococcal antigen in cerebrospinal fluid. *Acta Pathol Microbiol Scand B.* 1975;83(4):387-96.
- Mölling P, Jacobsson S, Bäckman A, Olcén P. Direct and rapid identification and genogrouping of meningococci and *porA* amplification by LightCycler PCR. *J Clin Microbiol.* 2002;40(12):4531-5. <http://dx.doi.org/10.1128/JCM.40.12.4531-4535.2002>
- Tettelin H, Saunders NJ, Heidelberg J, Jeffries AC, Nelson KE, Eisen JA, et al. Complete genome sequence of *Neisseria meningitidis* serogroup B strain MC58. *Science.* 2000;287(5459):1809-15. <http://dx.doi.org/10.1126/science.287.5459.1809>
- Jolley K. *Neisseria* Multi Locus Sequence Typing website. [Accessed 5 November 2012]. Available from: <http://pubmlst.org/neisseria/>
- Hunter PR, Gaston MA. Numerical index of the discriminatory ability of typing systems: an application of Simpson's index of diversity. *J Clin Microbiol.* 1988;26(11):2465-6.
- Grundmann H, Hori S, Tanner G. Determining confidence intervals when measuring genetic diversity and the discriminatory abilities of typing methods for microorganisms. *J Clin Microbiol.* 2001;39(11):4190-2. <http://dx.doi.org/10.1128/JCM.39.11.4190-4192.2001>
- Rådström P1, Fermér C, Kristiansen BE, Jenkins A, Sköld O, Swedberg G. Transformational exchanges in the dihydropteroate synthase gene of *Neisseria meningitidis*: a novel mechanism for acquisition of sulfonamide resistance. *J Bacteriol.* 1992;174(20):6386-93.

28. Fiebelkorn KR, Crawford SA, Jorgensen JH. Mutations in *folP* associated with elevated sulfonamide MICs for *Neisseria meningitidis* clinical isolates from five continents. *Antimicrob Agents Chemother*. 2005;49(2):536-40. <http://dx.doi.org/10.1128/AAC.49.2.536-540.2005>
29. Elias J, Harmsen D, Claus H, Hellenbrand W, Frosch M, Vogel U. Spatiotemporal analysis of invasive meningococcal disease, Germany. *Emerg Infect Dis*. 2006;12(11):1689-95. <http://dx.doi.org/10.3201/eid1211.060682>
30. Lucidarme J, Newbold LS, Findlow J, Gilchrist S, Gray SJ, Carr AD, et al. Molecular targets in meningococci: efficient routine characterization and optimal outbreak investigation in conjunction with routine surveillance of the meningococcal group B vaccine candidate, *fHBP*. *Clin Vaccine Immunol*. 2011;18(2):194-202. <http://dx.doi.org/10.1128/CVI.00401-10>
31. Elias J, Kritz P, Musilek M, Claus H, Frosch M, Vogel U. Diversity of Multiple-Locus-VNTR-Analysis-(MLVA)-types in meningococcal strains from different epidemiological settings. Oral presentation O59, given at: 18th International Pathogenic *Neisseria* Conference; 2012 Sep 9-14; Würzburg, Germany; p 133.

Multiple human-to-human transmission from a severe case of psittacosis, Sweden, January–February 2013

A Wallensten (anders.wallenstein@folkhalsomyndigheten.se)^{1,2}, H Fredlund³, A Runeheden⁴

1. Public Health Agency of Sweden, Solna, Sweden

2. Department of Medical Sciences, Infectious Diseases, Uppsala University, Uppsala, Sweden

3. Department of Laboratory Medicine/ Clinical Microbiology, Communicable Disease Control Unit, Örebro University Hospital, Örebro, Sweden

4. Communicable Diseases Control Unit, Kronoberg County Council, Växjö, Sweden

Citation style for this article:

Wallensten A, Fredlund H, Runeheden A. Multiple human-to-human transmission from a severe case of psittacosis, Sweden, January–February 2013. Euro Surveill. 2014;19(42):pii=20937. Available online: <http://www.eurosurveillance.org/ViewArticle.aspx?ArticleId=20937>

Article submitted on 30 August 2013 / published on 23 October 2014

Proven transmission of *Chlamydia psittaci* between humans has been described on only one occasion previously. We describe an outbreak which occurred in Sweden in early 2013, where the epidemiological and serological investigation suggests that one patient, severely ill with psittacosis after exposure to wild bird droppings, transmitted the disease to ten others: Two family members, one hospital roommate and seven hospital caregivers. Three cases also provided respiratory samples that could be analysed by PCR. All the obtained *C. psittaci* sequences were indistinguishable and clustered within genotype A. The finding has implications for the management of severely ill patients with atypical pneumonia, because these patients may be more contagious than was previously thought. In order to prevent nosocomial person-to-person transmission of *C. psittaci*, stricter hygiene measures may need to be applied.

Introduction

Psittacosis is an infectious disease caused by *Chlamydia psittaci*, a strict intracellular bacterium. Typical symptoms include abrupt onset of fever, rigors, headache, myalgia, malaise, cough which usually is unproductive and atypical pneumonia [1–2]. Birds are the natural host for the bacterium but other animals including humans can get infected. Humans can get infected after contact with birds by inhaling dried contaminated bird secretions, dried-out droppings or dust from feathers [3]. The incubation period is approximately one to four weeks. Most infected people only experience mild influenza-like disease but severe illness can occur. The disease, which is notifiable by law in Sweden, is not common, with only five to ten cases reported yearly in the years preceding 2013 [4].

Cases are usually sporadic without epidemiological links to a common source. Between January and March 2013, there was an unusual increase in psittacosis cases in southern Sweden, when a total of 17 sporadic cases of psittacosis were reported, distributed across

four counties. The annual number of cases in these counties had ranged from one to six during the 10 preceding years. The primary case in this report was one of the sporadic cases. Investigations revealed that the main risk factor for the sporadic cases was exposure to wild birds and their droppings, as previously reported by Rehn et al. The increase in cases was suggested to have been due to weather factors that increased the secretion from affected birds, an unusual epizootic among wild birds, or a more transmissible strain [5].

Person-to-person transmission of has previously not been considered as an important pathway for transmission. It has only been described in two suspected episodes in the literature. In a report from 1977, a patient suffering from pneumonia believed to have been caused by *C. psittaci* transmitted the disease to his son, a neighbour, another patient and to eight hospital staff [6]. However, at the time of this study, the existing serological tests could not discriminate between *C. psittaci* and *C. pneumoniae*. In light of this and the fact that *C. pneumoniae* is known to spread readily between humans, it is questionable whether the outbreak was caused by *C. psittaci*. This issue has also been discussed by the United States Centers for Disease Control and Prevention [7]. There is, however, one recent documented outbreak with person-to-person transmission of psittacosis [8] that occurred in Scotland in 2012. In the outbreak, the primary case had pneumonia and transmitted the infection to five others. Four of these were family contacts and one a healthcare worker.

Outbreak description

On 23 January 2013, the communicable disease control unit in Kronoberg County, Sweden, was notified of a patient hospitalised with severe psittacosis. After two weeks, more cases of psittacosis were reported, all with an obvious epidemiological link to the primary case. An investigation was started in order to look into

the possibility and magnitude of human-to-human transmission.

The primary case, a 73 year-old man was admitted to hospital on 13 January with a three-day history of chills and fever. X-ray imaging showed signs of pneumonia and the patient received intravenous cefotaxime treatment. Despite antibiotic treatment, his condition worsened during the next couple of days. His body temperature rose to above 40°C and his oxygen saturation fell from 95% to 80%. After three days he was transferred to the intensive care unit (ICU) and given moxifloxacin as additional treatment. A bronchoscopy was performed in the ICU and samples from bronchoalveolar lavage was sent for microbiological analysis. Test results came back positive for *C. psittaci* by polymerase chain reaction (PCR) but negative for *Legionella pneumoniae*, *Mycoplasma pneumoniae*, influenza virus, respiratory syncytial virus and general bacterial culture. After only one day in ICU he had to be transferred to a university hospital for extracorporeal membrane oxygenation (ECMO) treatment where he was treated for 26 days, after which time he was moved back to the local ICU where he died a month later.

On 25 January, an assistant nurse, who had been taking care of the primary case in the ICU on 18 January, fell ill in what she believed was influenza. After four days with high fever she was admitted to hospital with atypical pneumonia and was diagnosed with psittacosis by PCR.

The same day, 25 January, a doctor who had also worked in the ICU on 18 January fell ill with similar symptoms as the assistant nurse. At that time he was off duty, and suspecting psittacosis, started to treat himself with doxycycline without taking any tests. When he came back to work on 11 February he still had a high C-reactive protein level of 230 mg/l (normal < 5 mg/l). Initial serological investigation was negative but on repeated sampling he showed evidence of past infection.

The doctor who performed the bronchoscopy also self-treated with antibiotics as soon as he learned of the diagnosis and did not develop any symptoms.

On 28 January an 89 year-old man was diagnosed with pneumonia at the same hospital after falling ill with fever and chills two days earlier. He was admitted and tested positive for psittacosis by PCR. This man had shared a hospital room with the primary case from 14 to 17 January while he was being treated for a cerebral infarction.

On 1 February both the primary case's wife and their son fell ill. Their son lived in the same house as the primary case and his wife. The son showed symptoms compatible with psittacosis, with chills, fever, headache and coughing and received treatment from his local general practitioner. Serological investigation

showed evidence of acute infection. The wife of the primary case developed more serious symptoms with high fever and syncope and was admitted to hospital. Her serological test was initially negative but after one month she showed evidence of having had a *C. psittaci* infection.

Between 28 January and 5 February, five additional staff fell ill on the ward where the primary case was treated before he was transmitted to the ICU. Four were assistant nurses who had been tending to the primary case. The fifth was an assistant nurse who could not remember if she had tended to the primary case, but it is likely that she assisted the nurse responsible for the patient on one occasion. All five at first experienced chills, fever, headache and myalgia. As influenza was circulating at this time, they initially believed they had influenza. However, they were all subsequently diagnosed with pneumonia and three of them were admitted to hospital. When tested, they were negative for influenza, but three showed an acute serological response to *C. psittaci*, one showed signs of infection in follow-up and one was negative in all testing.

Methods

Epidemiological investigation

All reported cases of psittacosis in Kronoberg County were interviewed about risk factors and exposure history. Staff working at the hospital were informed of the outbreak.

A confirmed case was defined as a person who had been exposed to the primary case while he was symptomatic and subsequently, within the incubation period for psittacosis, presented with symptoms compatible with a clinical diagnosis of psittacosis, and where no other more likely risk exposures were present. In addition, laboratory confirmation of the diagnosis should have been established. Laboratory confirmation was considered fulfilled if *C. psittaci* was detected in respiratory secretions by PCR, or if a raised IgM antibody titre was detected or an elevation of IgG in two consecutive samples was shown.

A probable case was defined as a person fulfilling the criteria of a confirmed case but lacking other laboratory proof of infection than a *C. psittaci* IgG titre.

A possible case was defined as a person fulfilling the criteria of a confirmed case but with no laboratory evidence of *C. psittaci* infection.

Incubation periods for the cases were investigated.

Laboratory investigation

C. psittaci was identified in respiratory samples by amplification of an 84-base pair (bp) fragment of the outer membrane protein A gene (*ompA*) according to Heddema et al. [9]. The assay was run as a duplex real-time PCR including screening for *Legionella* species

TABLE

Case details, laboratory investigation results and status according to case definition, psittacosis outbreak, Kronoberg County, Sweden, January–February 2013 (n=11)

Case details	PCR	Serology test 1		Serology test 2		Case status
		Ig M	Ig G	Ig M	Ig G	
Primary case	Positive	Negative	256	Not taken	Not taken	Confirmed
ICU nurse	Positive	Negative	Negative	Negative	Negative	Confirmed
ICU physician ^a	Not taken	Negative	Negative	Negative	64	Probable
Hospital roommate	Positive	Positive	256	Negative	64	Confirmed
Primary case's son	Not taken	Positive	1024	Not taken	Not taken	Confirmed
Primary case's wife	Not taken	Negative	Negative	Negative	64	Probable
Ward nurse 1	Not taken	Negative ^b	1028	Not taken	Not taken	Confirmed
Ward nurse 2	Not taken	Positive	Negative	Positive	Negative	Confirmed
Ward nurse 3	Not taken	Negative	256	Not taken	Not taken	Probable
Ward nurse 4	Not taken	Positive	256	Not taken	Not taken	Confirmed
Ward nurse 5	Not taken	Negative ^b	Negative	Negative ^b	Negative	Possible

^a Started early antibiotic treatment at first signs of illness.

^b Weak reaction, but reported as significant by the laboratory.

and an internal amplification control. In order to determine the genotype of *C. psittaci*, all PCR-positive samples were further investigated by amplification and sequence analysis of a 560 bp fragment of ompA covering variable domain I and II.

IgG and IgM antibodies specific to *C. psittaci* were shown by microimmunofluorescence performed at a laboratory accredited for this test since the 1990s [10]. The serum samples were simultaneously tested for antibodies against *C. pneumoniae*, *C. trachomatis* and *C. psittaci*. Threshold titre for positive test was for IgG 1/64 and for IgM 1/16. Parrot faecal samples were analysed for *C. psittaci* using the MagAttract Viral RNA M48 extraction kit (Qiagen, Hilden, Germany) and real-time PCR detection of the 23S gene, as previously described [11].

Environmental investigation

The possibility of recovering samples from the primary case's bird feeder was investigated. Faecal samples were taken from an ICU nurse's boyfriend's parrot.

Results

Epidemiological investigation

Interviews with the primary case and relatives regarding potential risk factors for psittacosis revealed that the primary case had cleaned a garden bird feeder indoors two weeks before signs of disease. No other connection with domestic or wild birds or their droppings could be identified. He did not live close to a poultry farm or other bird holding. The primary case's son helped to feed the birds when his father was hospitalised. When the father was diagnosed, his son removed the bird feeder and destroyed it by burning.

This took place 12 days before the son himself fell ill. The primary case's wife did not have contact with the birds or the bird feeder.

None of other cases had any history of bird exposure before falling ill except for one of the ICU nurses whose boyfriend had a parrot that she had helped to feed. They did not live close to poultry farms or similar.

In total, in addition to the primary case, six confirmed, three probable and one possible secondary case of psittacosis were identified. Three of these additional cases were male and the median age was 54 years, (range 33–89 years). Case details are summarised in the Table and Figure. Six of the secondary cases were hospitalised. No further transmission from the secondary cases was discovered.

The incubation period ranged from 7 to 20 days in affected cases (mean 12.4) when including all cases. First exposure for the wife and son could not be defined as they had multiple contacts with the primary case.

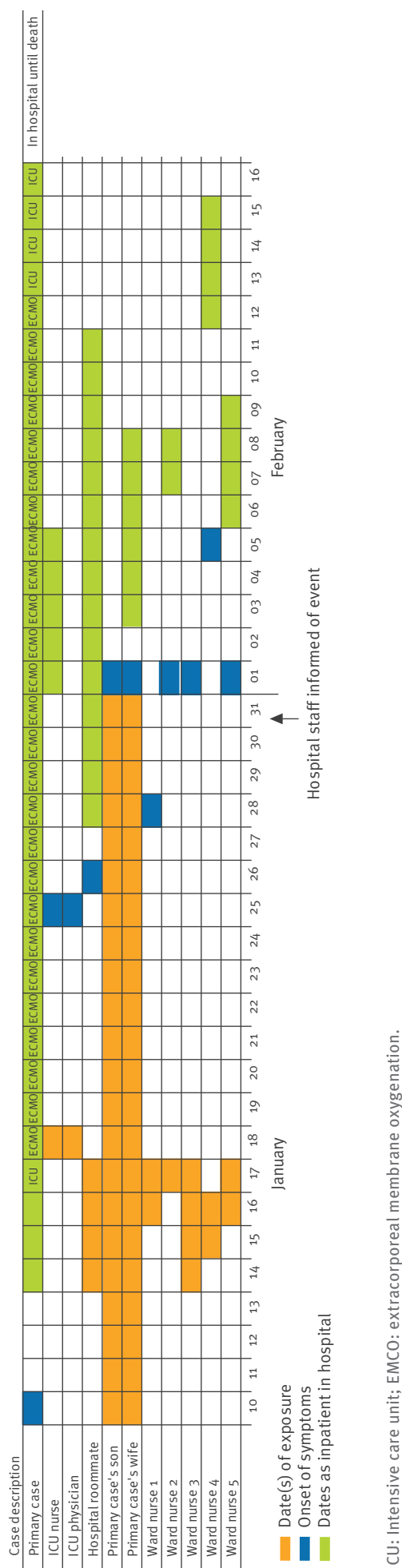
Laboratory investigation

The results of the microbiological and serological testing are summarised in the Table. The owner of the parrot was sampled but showed no serological response to psittacosis.

Three cases provided respiratory samples that could be analysed by PCR. All the obtained *C. psittaci* sequences were indistinguishable and clustered within genotype A.

FIGURE

Likely time of exposure, date of symptom onset and dates as inpatients in hospital for cases in psittacosis outbreak, Kronoberg, Sweden, January–February 2013 (n=11)



Environmental investigation

Unfortunately no samples could be taken from the bird feeder in the primary case's garden as it had been destroyed. *C. psittaci* could not be detected in bird droppings from the parrot.

Control measures

As soon as transmission of the pathogen between patients was suspected, the staff working in the ICU at the time of the incident were informed and asked to seek care should they develop symptoms. The hospital staff on the ward where the patient had initially been treated were informed on 31 January. Instructions were given that all patients with atypical pneumonia should be treated in single ward rooms. The hospital staff were instructed to use filtering face piece (FFP3) masks during procedures with high risk of aerosol-creating procedures such as respiratory training.

Discussion and conclusion

The primary case in this investigation is likely to have fallen ill from contact with wild birds as one of the many sporadic cases explained by this risk factor at the time [5]. Person-to-person transmission of psittacosis is likely to be rare, but this study clearly supports the previous limited evidence that it may occur. In this outbreak we identified three PCR-confirmed psittacosis cases and seven with less solid evidence of infection, i.e. serological indication only for *C. psittaci* infection. We presume that all these 10 cases were caused by exposure to a primary case with severe disease. All fell ill within the incubation period for the disease after having been exposed to the primary case and no other likely transmission routes could be identified. There is some uncertainty regarding the son of the primary case since he was exposed both to his father during his illness and the bird feeder believed to have been the source of his father's illness. It is therefore impossible to know if he was infected by his father or directly from the bird feeder. The ICU nurse who had a boyfriend with a parrot had most likely been infected by the primary case as the parrot tested negative for the disease and the boyfriend did not have any serological response of psittacosis. Irrespective of the total number, the finding of human-to-human transmission is of significance as it shows that the Scottish incident [8] is not unique and this may have consequences for the management of psittacosis cases.

A few of the cases did not respond with high titres in the serological tests and some only with IgG response. We believe that this may have been because they received early treatment due to high awareness of the disease in the hospital and they were aware that they had been exposed to the primary case. However, we cannot rule out the possibility that those who had only IgG findings may have been the result of past infections. Serological aetiological diagnosis of pneumonia has its limitations. For that reason the patients have been classified into confirmed, probable and possible cases. To set an aetiological diagnosis, both an acute

phase serum sample and a convalescent serum sample some weeks after the acute infection is often needed unless an IgM test is positive in the acute phase. For that reason the diagnosis may be delayed. Further, cross-reacting antibodies between *C. psittaci* and *C. pneumoniae* have been under discussion [12]. Often a late convalescent serum can be helpful to confirm the aetiology.

As we see it, there are two possibilities for why person-to-person transmission took place in this event. The primary case could have been especially contagious or he could have had a *C. psittaci* strain that was especially transmissible. It is well known that strains with the same ompA gene can differ in their virulence [13]. However, as we did not detect onward transmission from the secondary cases and as the limited genetic analysis did not show any abnormalities from other strains, we believe the first theory. In further support of this hypothesis, although it is likely that our primary case and the other sporadic cases in Sweden at the time were infected with the same strain from a wild bird source, there were no reports of onward transmission from the other sporadic cases notified at the time in Sweden. However the contacts of the other cases may not have been followed up as closely. The genotyping of a subset of the sporadic cases believed to have been infected by wild birds between January and March 2013 showed the same type A subtype as our primary and secondary cases who were positive by PCR. All had genotype A, which is mainly associated with parrots and other psittacine birds but which has also been found in passerine birds [14]. It is the genotype causing most human cases worldwide [15]. But to completely rule out the possibility of a more pathogenic strain being the reason for the increased transmissibility in this outbreak, whole genome analysis is required. This could not be performed due to lack of an isolate. We believe that the primary case was more contagious because he was very ill and therefore excreted more bacteria. In support of our theory of increased risk of transmission from severely ill patients, the data on incubation periods for infected cases shows a possible dose response association. Those who were highly exposed, like the nurse and the doctor who treated the primary case in the ICU, and the patient sharing a room with the case, had a shorter incubation time (7, 7 and 10 days respectively) than the cases who were only exposed to the patient while caring for him on the ward and who had an average of 15 days before symptoms started (range 11–20 days) (Figure). However, due to the low number of cases in the outbreak, more observational studies like this one are needed to show whether this is correct. Although the ICU nurse who attended at the bronchoscopy fell ill, we believe that the shorter incubation period had more to do with the patient having become more severely ill and thus being treated in ICU than the bronchoscopy procedure itself, as the doctor who fell ill was not present at the procedure and only examined the patient.

It seems probable that our preventative measures did not prevent any further transmission since all of the secondary cases were related to the primary case. He had already been transmitted to ECMO-treatment in a university hospital when the staff were informed and stricter hygienic measures regarding treatment of patients with atypical pneumonia were implemented. It is likely, however, that the measures may have shortened the duration of illness of some of the secondary cases as they are likely to have received treatment earlier than they would otherwise have done.

Public health implications

Our previous report of the unusual increase of psittacosis in Sweden this year concluded that psittacosis is likely to be a more common disease in Sweden than previously thought, as our study suggested that it may be overlooked by clinicians and not tested for in cases of atypical pneumonia by laboratories unless specifically requested. The fact that we have now shown that nosocomial transmission may occur from seriously ill patients increases the importance of diagnosing cases of atypical pneumonia correctly, as it has implications for the management of patients with pneumonia. In order to prevent nosocomial transmission from patients with psittacosis, enhanced protection may be needed when caring for severely ill patients with atypical pneumonia, for example, using airway protection with facemasks and treating the cases in isolation. Staff and others exposed to a psittacosis patient should also be informed of the symptoms so that they seek care should they fall ill.

Authors' contributions

A Wallensten drafted, finalised and submitted the manuscript. H Fredlund was responsible for the serological laboratory investigation during the outbreak and for writing the laboratory investigation part of the manuscript which he also helped revise. A Runeheden managed the outbreak, contributed with all epidemiological information regarding the outbreak and helped to draft and revise the manuscript.

References

1. Stewardson AJ, Grayson ML. Psittacosis. Infectious disease clinics of North America. 2010;24(1):7-25. <http://dx.doi.org/10.1016/j.idc.2009.10.003>
2. AP, Grayson ML. Psittacosis--a review of 135 cases. The Medical journal of Australia. 1988;148(5):228-33.
3. Smith KA, Bradley KK, Stobierski MG, Tengelsen LA, National Association of State Public Health Veterinarians Psittacosis Compendium C. Compendium of measures to control Chlamydophila psittaci (formerly Chlamydia psittaci) infection among humans (psittacosis) and pet birds, 2005. J Am Vet Med Assoc. 2005;226(4):532-9. <http://dx.doi.org/10.2460/javma.2005.226.532>
4. Smittskyddsinstitutet. Statistik för papegojsjuka 2013 [Accessed 21 Oct 2014]. Swedish. Available from: <http://www.folkhalsomyndigheten.se/amnesomraden/statistik-och-undersokningar/sjukdomsstatistik/papegojsjuka/>
5. Rehn M, Ringberg H, Runeheden A, Herrmann B, Olsen B, Petersson AC, et al. Unusual increase of psittacosis in southern Sweden linked to wild bird exposure, January to April 2013. Euro Surveill. 2013;18(19):20478.

6. Broholm KA, Bottiger M, Jernelius H, Johansson M, Grandien M, Solver K. Ornithosis as a nosocomial infection. *Scand J Infect Dis.* 1977;9(4):263-7.
7. Compendium of measures to control *Chlamydia psittaci* infection among humans (psittacosis) and pet birds (avian chlamydiosis), 1998. Center for Disease Control and Prevention. *MMWR Recomm Rep.* 1998;47(RR-10):1-14.
8. McGuigan CC, McIntyre PG, Templeton K. Psittacosis outbreak in Tayside, Scotland, December 2011 to February 2012. *Euro Surveill.* 2012;17(22):pii=20186.
9. Heddema ER, Beld MG, de Wever B, Langerak AA, Pannekoek Y, Duim B. Development of an internally controlled real-time PCR assay for detection of *Chlamydophila psittaci* in the LightCycler 2.0 system. *Clin Microbiol Infect.* 2006;12(6):571-5. <http://dx.doi.org/10.1111/j.1469-0691.2006.01417.x>
10. Gnarp J, Naas J, Lundback A. Comparison of a new commercial EIA kit and the microimmunofluorescence technique for the determination of IgG and IgA antibodies to *Chlamydia pneumoniae*. *APMIS.* 2000;108(12):819-24. <http://dx.doi.org/10.1111/j.1600-0463.2000.tb00004.x>
11. Ehrlich R, Slickers P, Goellner S, Hotzel H, Sachse K. Optimized DNA microarray assay allows detection and genotyping of single PCR-amplifiable target copies. *Mol Cell Probes.* 2006;20(1):60-3. <http://dx.doi.org/10.1016/j.mcp.2005.09.003>
12. Stralin K, Fredlund H, Olcen P. Labsystems enzyme immunoassay for *Chlamydia pneumoniae* also detects *Chlamydia psittaci* infections. *J Clin Microbiol.* 2001;39(9):3425-6. <http://dx.doi.org/10.1128/JCM.39.9.3425-3426.2001>
13. Miyairi I, Laxton JD, Wang X, Obert CA, Arva Tatireddigari VR, van Rooijen N, et al. *Chlamydia psittaci* genetic variants differ in virulence by modulation of host immunity. *J Infect Dis.* 2011;204(4):654-63. <http://dx.doi.org/10.1093/infdis/jir333>
14. Olsen B, Persson K, Broholm KA. PCR detection of *Chlamydia psittaci* in faecal samples from passerine birds in Sweden. *Epidemiol Infect.* 1998;121(2):481-4. <http://dx.doi.org/10.1017/S0950268898001320>
15. Harkinezhad T, Geens T, Vanrompay D. *Chlamydophila psittaci* infections in birds: a review with emphasis on zoonotic consequences. *Vet Microbiol.* 2009;135(1-2):68-77. <http://dx.doi.org/10.1016/j.vetmic.2008.09.046>