



# Eurosurveillance

Europe's journal on infectious disease epidemiology, prevention and control

Vol. 22 | Weekly issue 34 | 24 August 2017

## RAPID COMMUNICATIONS

---

**Persistent detection of dengue virus RNA in vaginal secretion of a woman returning from Sri Lanka to Italy, April 2017** 2

by M Iannetta, E Lalle, M Musso, F Carletti, L Scorzoloni, A D'Abramo, P Chinello, C Castilletti, G Ippolito, MR Capobianchi, E Nicastri

## SURVEILLANCE REPORT

---

**Large measles outbreak introduced by asylum seekers and spread among the insufficiently vaccinated resident population, Berlin, October 2014 to August 2015** 6

by D Werber, A Hoffmann, S Santibanez, A Mankertz, D Sagebiel

## RESEARCH ARTICLES

---

**The effectiveness of influenza vaccination in preventing hospitalisations of elderly individuals in two influenza seasons: a multicentre case-control study, Spain, 2013/14 and 2014/15** 14

by A Domínguez, N Soldevila, D Toledo, P Godoy, E Espejo, MA Fernandez, JM Mayoral, J Castilla, M Egurrola, S Tamames, J Astray, M Morales-Suárez-Varela, the Working Group of the Project PI12/02079

# Persistent detection of dengue virus RNA in vaginal secretion of a woman returning from Sri Lanka to Italy, April 2017

M Iannetta<sup>1</sup>, E Lalle<sup>1</sup>, M Musso<sup>1</sup>, F Carletti<sup>1</sup>, L Scorzoloni<sup>1</sup>, A D'Abramo<sup>1</sup>, P Chinello<sup>1</sup>, C Castilletti<sup>1</sup>, G Ippolito<sup>1</sup>, MR Capobianchi<sup>1</sup>, E Nicastrì<sup>1</sup>

1. National Institute for Infectious Diseases 'Lazzaro Spallanzani', IRCCS, Rome, Italy

Correspondence: Marco Iannetta (marco.iannetta@inmi.it)

## Citation style for this article:

Iannetta M, Lalle E, Musso M, Carletti F, Scorzoloni L, D'Abramo A, Chinello P, Castilletti C, Ippolito G, Capobianchi MR, Nicastrì E. Persistent detection of dengue virus RNA in vaginal secretion of a woman returning from Sri Lanka to Italy, April 2017. *Euro Surveill.* 2017;22(34):pii=30600. DOI: <http://dx.doi.org/10.2807/1560-7917.ES.2017.22.34.30600>

Article submitted on 01 August 2017 / accepted on 23 August 2017 / published on 24 August 2017

**We describe the dynamics of dengue virus (DENV) infection in a woman in her mid-30s who developed fever after returning from Sri Lanka to Italy in April 2017. Laboratory testing demonstrated detectable DENV-RNA in plasma, urine, saliva, vaginal secretion. Persistent shedding of DENV-RNA was demonstrated in vaginal secretion, and DENV-RNA was detectable in the pelleted fraction up to 18 days from symptom onset. These findings give new insights into DENV vaginal shedding and vertical transmission.**

We present a case of primary dengue fever (DF) in a Caucasian woman returning from Sri Lanka to Italy in April 2017. Dengue virus (DENV) RNA was persistently detected in vaginal secretion up to 18 days from symptom onset (FSO).

## Case report

In April 2017, a Caucasian woman in her mid-30s returning to Italy from a 19-day travel in Sri Lanka, experienced a 3-day course of fever (>38.5°C), arthralgia, weakness and headache. On admission at the National Institute for Infectious Diseases Lazzaro Spallanzani in Rome, Italy (day 3 FSO), a commercial dengue rapid test (Dengue DUO, Standard Diagnostics Inc., Kyonggi-do, Korea), detecting specific IgG, IgM and non-structural (NS)-1 protein, revealed NS-1 antigen reactivity only. Routine laboratory tests showed transient leukopenia and thrombocytopenia, with slight increase of liver enzymes and alterations of coagulation parameters (Figure).

On day 4 FSO, DENV-specific IgG and IgM, assessed by indirect immune fluorescence assay (IFA, Arboviral Fever Mosaic-2, IgM and IgG, Euroimmun, Hamburg, Germany), were below the detection threshold (1/20), and real-time PCR for DENV (CDC DENV-1-4 Real-Time RT-PCR Assay, Atlanta, United States (US)) was

positive in serum (cycle threshold (Ct): 22); hence the diagnosis was primary DENV infection. Viral RNA was also detected in urine (Ct: 34.61), saliva (Ct: 33.55) and vaginal secretion (Ct: 30.71). The latter was collected by flocked swab and immediately suspended in 2 mL of vaginal swab transport medium (VSTM) at 4°C in a 15-mL sterile tube. Moreover, a pan-flavivirus genus-specific nested RT-PCR targeting the NS-5 gene (modified from [1]) followed by the amplicon sequencing, showed DENV type 2 in all the collected samples (saliva, urine, serum and vaginal swab). The virological investigation for DENV was repeated on longitudinally collected samples (Table).

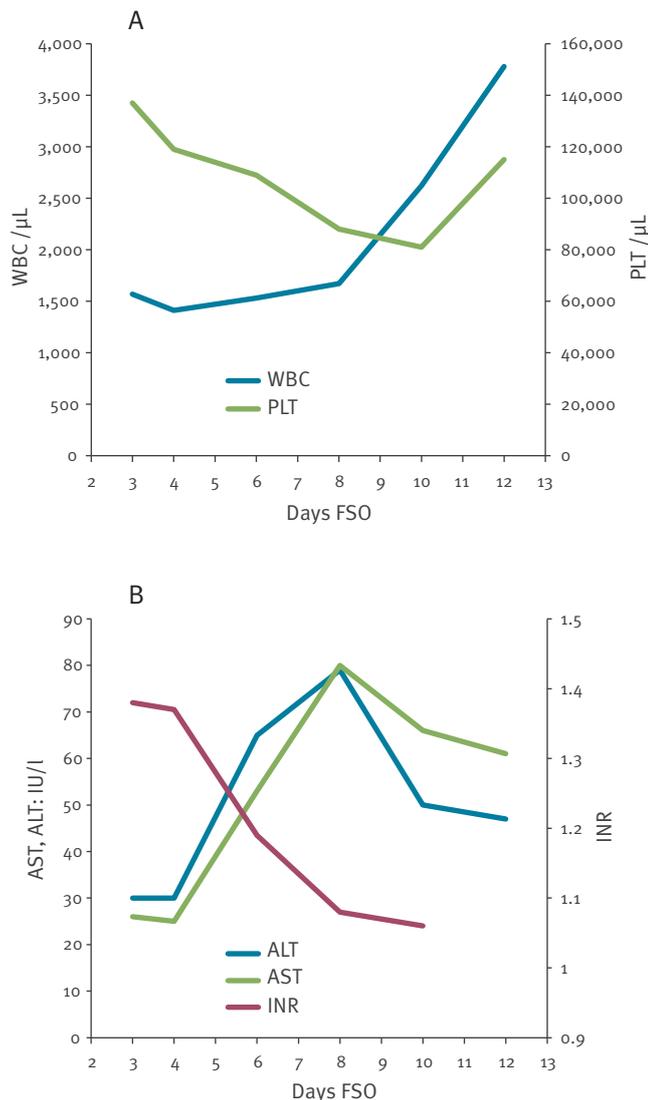
Rapid test, thin and thick smear and PCR for malaria were all negative; chikungunya and Zika virus serologies were negative as well as specific PCR in serum and urine. Epstein-Barr virus and Cytomegalovirus serology indicated past infections, with negative IgM for both viruses.

On day 10 FSO, serum, urine, saliva, and vaginal swab were collected, and DENV-RNA was still detectable in all the samples (serum Ct: 32.96; urine Ct: 31.50; saliva Ct: 37.29; VSTM Ct: 35.47). VSTM was centrifuged at 1,500 rpm for 10 minutes to obtain supernatant fractions (SNF) and pelleted fractions (PeF). Total RNA was extracted from SNF and PeF using the COBAS AmpliPrep Total Nucleic Acid Isolation Kit (Roche, Indianapolis, Indiana, US) and Trizol (Life Technologies, Stockholm, Sweden) respectively, according to the manufacturer's instructions. RT-PCR for DENV-RNA resulted positive in SNF and PeF with a Ct of 35.47 and 33.34, respectively.

On day 18 FSO, DENV-RNA was detectable only in urine (Ct: 32.53), while it was undetectable in serum, saliva and VSTM. After separation of VSTM, DENV-RNA was

## FIGURE

Laboratory tests performed during hospitalisation, case of dengue fever, Italy, April 2017



FSO: from symptom onset.

A: white blood cell (WBC) and platelet (PLT) absolute counts.

B: liver enzymes alanine aminotransferase (ALT), aspartate aminotransferase (AST), international normalised ratio (INR).

undetectable in SNF, while it was detected in PeF (Ct: 35.89).

On day 36 FSO, DENV-RNA was no longer detectable in serum, urine, saliva, total VSTM and SNF and PeF (Table).

Specific IgM became detectable on day 10 FSO, and IgG and IgM titres rose on day 18 FSO (1/160 and 1/80, respectively) and on day 36 (1/320 and 1/160, respectively). Viral isolation from vaginal samples using VeroE6 cell culture was not successful.

## Background

DF is an arthropod-borne viral infection, transmitted to humans through mosquito bites and caused by DENV, a single stranded, positive-sense RNA virus, belonging to the genus *Flavivirus*, like Zika virus (ZIKV) and West Nile virus (WNV). Four serotypes (from 1 to 4) have been identified so far and each DENV serotype accounts for multiple phylogenetically-related genotypes [2]. DENV is widely diffused, causing more than 58 million symptomatic infections in 2013 [3]. Infection during pregnancy can cause severe maternal and neonatal complications [4,5]. However, no fetal abnormalities have been reported so far after DENV infection in pregnant women. Vertical transmission has been described in several reports [6,7], whereas sexual transmission of DENV has never been reported.

## Discussion

The recent ZIKV outbreak evidenced the occurrence of vertical transmission of the virus with fetal abnormalities [8] and male-to-woman, woman-to-male and male-to-male sexual transmission [9], and highlighted the presence of infectious virus in almost all body secretions, including those from male and female genital tract [10-13].

Here we report on DENV-RNA detection in vaginal secretion of an acute case of primary DF. Moreover, we report the detection of viral RNA with the pellet fraction of vaginal secretion after centrifugation. This could reflect DENV association to vaginal epithelial cells, although association with other components of the pellet may not be ruled out. Although vaginal shedding of DENV was protracted up to 18 days FSO, we were not able to isolate replication competent virus from the different fractions derived from genital secretion, probably because of low viral loads detected in the vaginal swab, influence of sample pH and virus particles degradation. However, our findings could give a deeper insight in DENV sexual and vertical transmission.

Little is known about vertical transmission of DENV and a recent meta-analysis by Xiong et al. [14], failed to demonstrate that maternal DENV infection during pregnancy might increase the risk of premature birth, low birth weight, miscarriage and stillbirth. DENV has been identified in newborns after Caesarean section and the virus was isolated from umbilical cord blood, indicating the possibility of intrauterine acquisition of the infection; the presence of the virus in the vaginal mucosa, shown in our patient, is consistent with the possibility that DENV can be vertically transmitted also during vaginal delivery, similarly to genital herpes [15].

Interestingly, an in vitro study by Chan et al. [16] demonstrated the ability of DENV type 2 to replicate in human cell lines derived from the cervix (HeLa) while no viral growth was observed in experimental infection of placenta (JEG-3), endometrium (HOSE6-3), prostate (LNCaP) and testis (833KE) cell lines. Conversely,

TABLE

Dengue virus-RNA detection and serology at different time points, case of dengue fever, Italy, April 2017

Days FSO	DENV-RNA RT-PCR						DENV serology		
	Serum	Urine	Saliva	Vaginal swab			IgM	IgG	NS1
				Total VSTM	PeF	SNF			
3	NA	NA	NA	NA	NA	NA	Neg <sup>a</sup>	Neg <sup>a</sup>	Pos <sup>a</sup>
4	Pos (Ct: 22.00)	Pos (Ct: 34.61)	Pos (Ct: 33.55)	Pos (Ct: 30.71)	NA	NA	<1:20	<1:20	NA
10	Pos (Ct: 32.96)	Pos (Ct: 31.50)	Pos (Ct: 37.29)	Pos (Ct: 35.47)	Pos (Ct: 35.47)	Pos (Ct: 33.34)	1:20	<1:20	NA
18	Neg	Pos (Ct: 32.53)	Neg	Neg	Pos (Ct: 35.89)	Neg	1:80	1:160	NA
36	Neg	Neg	Neg	Neg	Neg	Neg	1:160	1:320	NA

<sup>a</sup> Rapid test.

Ct: cycle threshold; DENV: dengue virus; FSO: from symptom onset; NA: not available; Neg: negative; PeF: pelleted fraction; Pos: positive; SNF: supernatant fraction; VSTM: vaginal swab transport medium.

all the above-mentioned cell lines were susceptible to ZIKV [16].

Further investigations in individuals with acute DF are needed in order to understand the implications of DENV genital shedding on vertical and sexual transmission. Viral isolation and innovative molecular methods to detect DENV in the replicative phase represent essential steps in this process.

### Acknowledgements

This study was funded by Ricerca Corrente of the Italian Ministry of Health.

### Conflict of interest

None declared.

### Authors' contributions

Marco Iannetta, Maria Musso, Alessandra D'Abramo, Laura Scorzoloni and Pierangelo Chinello were the physicians in charge of the patient; Eleonora Lalle, Fabrizio Carletti and Concetta Castilletti were the virologists in charge of the virological assays for Dengue virus diagnosis; Marco Iannetta wrote the manuscript. Maria R. Capobianchi, who is responsible for the Laboratory of Virology, Giuseppe Ippolito, who supervises all the clinical and translational research on emerging and re-emerging pathogens and Emanuele Nicastrì, who is Head of the High Intensity of Care and Highly Contagious Infectious Disease Unit, contributed to the discussion and reviewed the manuscript.

### References

- Moureau G, Temmam S, Gonzalez JP, Charrel RN, Grard G, de Lamballerie X. A real-time RT-PCR method for the universal detection and identification of flaviviruses. *Vector Borne Zoonotic Dis.* 2007;7(4):467-77. DOI: 10.1089/vbz.2007.0206 PMID: 18020965
- Simmons CP, Farrar JJ, Nguyen V, Wills B. Dengue. *N Engl J Med.* 2012;366(15):1423-32. DOI: 10.1056/NEJMra110265 PMID: 22494122
- Castro MC, Wilson ME, Bloom DE. Disease and economic burdens of dengue. *Lancet Infect Dis.* 2017;17(3):e70-8. DOI: 10.1016/S1473-3099(16)30545-X PMID: 28185869
- Carles G, Peiffer H, Talarmin A. Effects of dengue fever during pregnancy in French Guiana. *Clin Infect Dis.* 1999;28(3):637-40. DOI: 10.1086/515144 PMID: 10194092
- Waduge R, Malavige GN, Pradeepan M, Wijeyaratne CN, Fernando S, Seneviratne SL. Dengue infections during pregnancy: a case series from Sri Lanka and review of the literature. *J Clin Virol.* 2006;37(1):27-33. DOI: 10.1016/j.jcv.2006.06.002 PMID: 16843056
- Yin X, Zhong X, Pan S. Vertical transmission of dengue infection: the first putative case reported in China. *Rev Inst Med Trop Sao Paulo.* 2016;58(0):90. DOI: 10.1590/s1678-9946201658090 PMID: 27982356
- Chye JK, Lim CT, Ng KB, Lim JM, George R, Lam SK. Vertical transmission of dengue. *Clin Infect Dis.* 1997;25(6):1374-7. DOI: 10.1086/516126 PMID: 9431381
- Perez S, Tato R, Cabrera JJ, Lopez A, Robles O, Paz E, et al. Confirmed case of Zika virus congenital infection, Spain, March 2016. *Euro Surveill.* 2016;21(24):30261. DOI: 10.2807/1560-7917.ES.2016.21.24.30261 PMID: 27336620
- Moreira J, Peixoto TM, Siqueira AM, Lamas CC. Sexually acquired Zika virus: a systematic review. *Clin Microbiol Infect.* 2017;23(5):296-305. DOI: 10.1016/j.cmi.2016.12.027 PMID: 28062314
- Murray KO, Gorchakov R, Carlson AR, Berry R, Lai L, Natrajan M, et al. Prolonged Detection of Zika Virus in Vaginal Secretions and Whole Blood. *Emerg Infect Dis.* 2017;23(1):99-101. DOI: 10.3201/eid2301.161394 PMID: 27748649
- Nicastrì E, Castilletti C, Liuzzi G, Iannetta M, Capobianchi MR, Ippolito G. Persistent detection of Zika virus RNA in semen for six months after symptom onset in a traveller returning from Haiti to Italy, February 2016. *Euro Surveill.* 2016;21(32):30314. DOI: 10.2807/1560-7917.ES.2016.21.32.30314 PMID: 27541989
- Barzon L, Pacenti M, Franchin E, Lavezzo E, Trevisan M, Sgarabotto D, et al. Infection dynamics in a traveller with persistent shedding of Zika virus RNA in semen for six months after returning from Haiti to Italy, January 2016. *Euro Surveill.* 2016;21(32):30316. DOI: 10.2807/1560-7917.ES.2016.21.32.30316 PMID: 27542178
- Nicastrì E, Castilletti C, Balestra P, Galgani S, Ippolito G. Zika Virus Infection in the Central Nervous System and Female Genital Tract. *Emerg Infect Dis.* 2016;22(12):2228-30. DOI: 10.3201/eid2212.161280 PMID: 27617352
- Xiong Y-Q, Mo Y, Shi T-L, Zhu L, Chen Q. Dengue virus infection during pregnancy increased the risk of adverse fetal outcomes? An updated meta-analysis. *J Clin Virol.* 2017;94:42-9. DOI: 10.1016/j.jcv.2017.07.008 PMID: 28753531
- Carroll ID, Toovey S, Van Gompel A. Dengue fever and pregnancy - a review and comment. *Travel Med Infect Dis.* 2007;5(3):183-8. DOI: 10.1016/j.tmaid.2006.11.002 PMID: 17448946

16. Chan JF-W, Yip CC-Y, Tsang JO-L, Tee K-M, Cai J-P, Chik KK-H, et al. Differential cell line susceptibility to the emerging Zika virus: implications for disease pathogenesis, non-vector-borne human transmission and animal reservoirs. *Emerg Microbes Infect.* 2016;5(8):e93. DOI: 10.1038/emi.2016.99 PMID: 27553173

### License and copyright

This is an open-access article distributed under the terms of the Creative Commons Attribution (CC BY 4.0) Licence. You may share and adapt the material, but must give appropriate credit to the source, provide a link to the licence, and indicate if changes were made.

This article is copyright of the authors, 2017.

# Large measles outbreak introduced by asylum seekers and spread among the insufficiently vaccinated resident population, Berlin, October 2014 to August 2015

D Werber<sup>1,2</sup>, A Hoffmann<sup>1,2,3,4</sup>, S Santibanez<sup>5</sup>, A Mankertz<sup>5</sup>, D Sagebiel<sup>1</sup>

1. State Office for Health and Social Affairs, Berlin, Germany

2. These authors contributed equally to this work

3. Postgraduate Training for Applied Epidemiology (PAE), Robert Koch Institute, Berlin, Germany

4. European Programme for Intervention Epidemiology Training (EPIET), European Centre for Disease Prevention and Control (ECDC), Stockholm, Sweden

5. National Reference Center for Measles, Mumps, Rubella, Robert Koch Institute, Berlin, Germany

**Correspondence:** Dirk Werber ([dirk.werber@lageso.berlin.de](mailto:dirk.werber@lageso.berlin.de))

## Citation style for this article:

Werber D, Hoffmann A, Santibanez S, Mankertz A, Sagebiel D. Large measles outbreak introduced by asylum seekers and spread among the insufficiently vaccinated resident population, Berlin, October 2014 to August 2015. *Euro Surveill.* 2017;22(34):pii=30599. DOI: <http://dx.doi.org/10.2807/1560-7917.ES.2017.22.34.30599>

Article submitted on 23 September 2016 / accepted on 18 February 2017 / published on 24 August 2017

The largest measles outbreak in Berlin since 2001 occurred from October 2014 to August 2015. Overall, 1,344 cases were ascertained, 86% (with available information) unvaccinated, including 146 (12%) asylum seekers. Median age was 17 years (interquartile range: 4–29 years), 26% were hospitalised and a 1-year-old child died. Measles virus genotyping uniformly revealed the variant ‘D8-Rostov-Don’ and descendants. The virus was likely introduced by and initially spread among asylum seekers before affecting Berlin’s resident population. Among Berlin residents, the highest incidence was in children aged <2 years, yet most cases (52%) were adults. Post-exposure vaccinations in homes for asylum seekers, not always conducted, occurred later (median: 7.5 days) than the recommended 72 hours after onset of the first case and reached only half of potential contacts. Asylum seekers should not only have non-discriminatory, equitable access to vaccination, they also need to be offered measles vaccination in a timely fashion, i.e. immediately upon arrival in the receiving country. Supplementary immunisation activities targeting the resident population, particularly adults, are urgently needed in Berlin.

## Introduction

Measles is a highly communicable viral disease causing substantial morbidity and mortality globally, mostly in low-income countries [1]. Vaccination can safely and effectively prevent measles disease and measles virus (MV)-induced immunosuppression, thereby also preventing all-cause secondary infectious diseases [2]. The World Health Organization (WHO) has targeted measles and rubella for Regional elimination, and Germany has committed to this goal [3]. The key strategy for

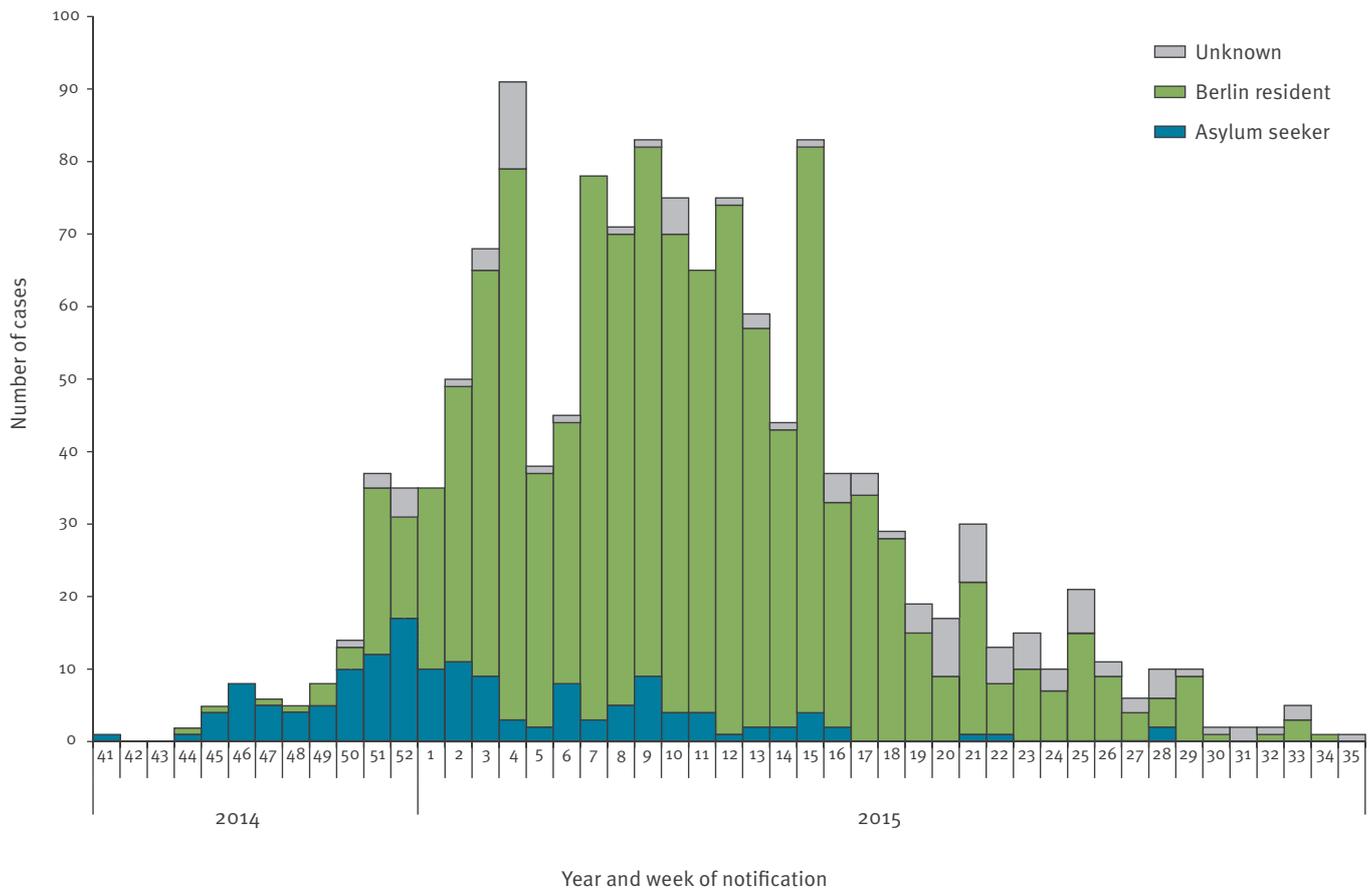
elimination is to achieve and sustain a population coverage of  $\geq 95\%$  with two doses of a MV-containing vaccine [4,5]. Thus far, elimination has only been reached in the Americas [6,7]. The WHO European Region failed to achieve the target date for elimination in 2015 [8].

In Germany, immunisation is voluntary and the German Standing Committee on Vaccination (STIKO) recommends routine administration of two doses of measles vaccine, at 11–14 and 15–23 months of age [9]. Although vaccine coverage for measles has increased substantially in children at school entry (5- to 6-year-olds) in the last decade, it is still below the 95% target (93% in Germany for two doses of measles in 2014; 92% in Berlin) [10]. Since 2010, STIKO has additionally recommended measles vaccination for adults born after 1970 with incomplete or unknown vaccination status [11].

The European Union has recently experienced a very large influx of asylum seekers with more than 600,000 and 1.3 million registered first-time applicants in 2014 and 2015, respectively [12]; Germany had the largest number of applicants. In Berlin, a city with 3.5 million inhabitants [13], 12,079 asylum seekers (12% from Bosnia and Herzegovina) were registered in 2014. This number more than tripled in 2015 ( $n=44,615$ ), with a steep increase in the second half of the year. Refugees and asylum seekers (both hereafter referred to as ‘asylum seekers’) should have non-discriminatory, equitable access to healthcare services, including vaccines, irrespective of their legal status [14–17]. Their right to receive vaccinations in Germany is legally anchored in the Asylum Seekers’ Benefit Act [18]. Asylum seekers are accommodated in initial reception centres or collective accommodation centres (both hereafter

**FIGURE 1**

Cases by reporting week and residency status in a large outbreak of measles in Berlin, October 2014–August 2015 (n = 1,344)



referred to as ‘asylum seeker homes’) upon arrival in Germany. The national recommendation for post-exposure intervention in any community home, including asylum seeker homes, is to vaccinate all persons older than 9 months of age within 72 h after contact with a measles case [11].

Measles has been notifiable in Germany since 2001. From 2004 to 2013, the annual incidence per million population ranged from 1.5 to 28 without a discernible secular downward trend. During that time, the annual measles incidence was highest in Berlin, driven, in part, by a large outbreak with almost 500 reported cases in 2013. In October 2014, measles cases started to accumulate again in Berlin. Initially, most cases were in asylum seekers. We enhanced epidemiological surveillance of measles, evaluated post-exposure vaccination in homes for asylum seekers, and performed molecular surveillance of MV circulation. This report describes the epidemiological and molecular characteristics of this outbreak.

## Methods

### Data sources

#### Notification database

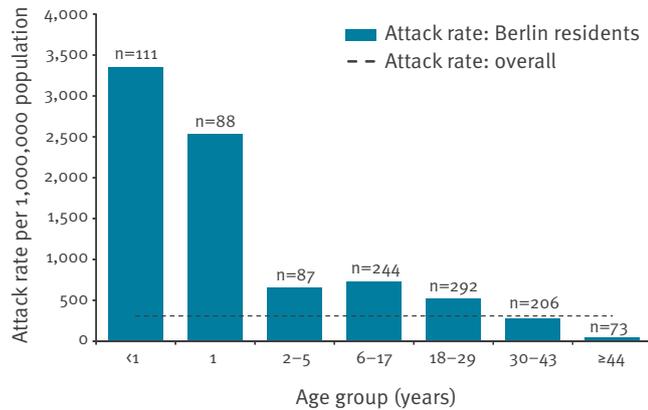
In Germany, clinical suspicion or diagnosis of measles, measles-related death and laboratory detection of measles infection are notifiable to the Local Public Health Authority (LPHA). The surveillance case definition requires that the patient have fever, maculopapular rash and one of the following: cough, coryza, Koplik spots or conjunctivitis, or an epidemiological link to a person with laboratory-confirmed measles infection. Laboratory confirmation was defined as detecting MV nucleic acid by PCR, or MV-specific IgM antibodies or a significant increase in anti-MV IgG. Cases were transmitted to the State Office for Health and Social Affairs (SOHSA) and from there to the federal level public health institute.

#### Additional case information

We enhanced epidemiological surveillance of measles cases by requesting that LPHAs systematically collect additional information, including residency status (asylum seeker (Y/N)), nationality, whereabouts in the two weeks before symptom onset and duration of stay in

**FIGURE 2**

Attack rates per 1,000,000 by age group among the resident population in a large outbreak of measles in Berlin, October 2014–August 2015



Germany. Information was recorded in a specifically designed Excel sheet and sent to SOHSA.

### Molecular data on MV

The National Reference Centre for Measles, Mumps, Rubella (NRC MMR) at the Robert Koch Institute, Berlin, determined the MV genotype in all clinical samples confirmed by detection of viral RNA that were collected in Berlin during the outbreak period. The ‘distinct sequence identifier’ representing each MV sequence variant was determined and compared using the global WHO Measles Nucleotide Surveillance (MeaNS) database [19]. The phylogenetic tree was constructed using the neighbour-joining algorithm and the p-distance method as implemented in MEGA 7 [20].

For measles cases, we merged information from the notification database with additional case information and molecular data on MV by using the case identifier of the notification system or by date of onset, age, and sex (for molecular data from the NRC MMR).

### Epidemiological investigation

We defined an outbreak case as illness in a person notified with measles in Berlin from 6 October 2014 (week 41) until 30 August 2015 (week 35), if the illness fulfilled the surveillance case definition and was not imported (except for the index patient), and the isolate, if genotyped, belonged to genotype D8. A case was considered to be imported if the patient was abroad during the 7 to 18 days before symptom onset.

We conducted a descriptive analysis of case characteristics by calculating frequencies and proportions or median values and interquartile ranges (IQR) as appropriate. Attack rates per million population, by age group and district, were computed for Berlin resident cases using 2013 population data from the Statistical Office for Berlin-Brandenburg.

### Evaluation of post-exposure vaccinations in asylum seeker homes

We evaluated timeliness and completeness of post-exposure vaccinations in asylum seeker homes between October 2014 and February 2015. Date of symptom onset and notification of the first case was extracted from the notification system, details on the intervention (e.g. date, number of (eligible) asylum seekers vaccinated/registered) were collected by LPHAs and collated by the SOHSA. We computed median values and IQR of time intervals characterising the timeliness of the intervention. Completeness was assessed only in post-exposure vaccinations targeting all asylum seekers, which excluded homes that had closed living units and interventions restricted to specific vulnerable subgroups, e.g. children or husbands of pregnant women. It was computed as the number of vaccinated asylum seekers divided by the total number of (eligible) registered asylum seekers. Persons older than 9 months of age who were not pregnant and had no clinical signs compatible with measles and no written documentation of two measles vaccinations were eligible.

### Molecular investigation

Suspected measles cases were confirmed in private or hospital laboratories or in the NRC MMR using tests for detection of anti-MV IgM in serum. Alternatively, MV RNA was detected in throat swabs, oral fluid or urine by an accredited PCR test conducted in the NRC MMR. Detected MV was genotyped by sequencing the 450 nucleotides (nt) coding for the C-terminal 150 amino acids of the nucleoprotein and phylogenetic analysis as recommended by the WHO [21]. Representative MV sequence data were submitted to the MeaNS database and to GenBank [19].

### Results

#### Description of the outbreak

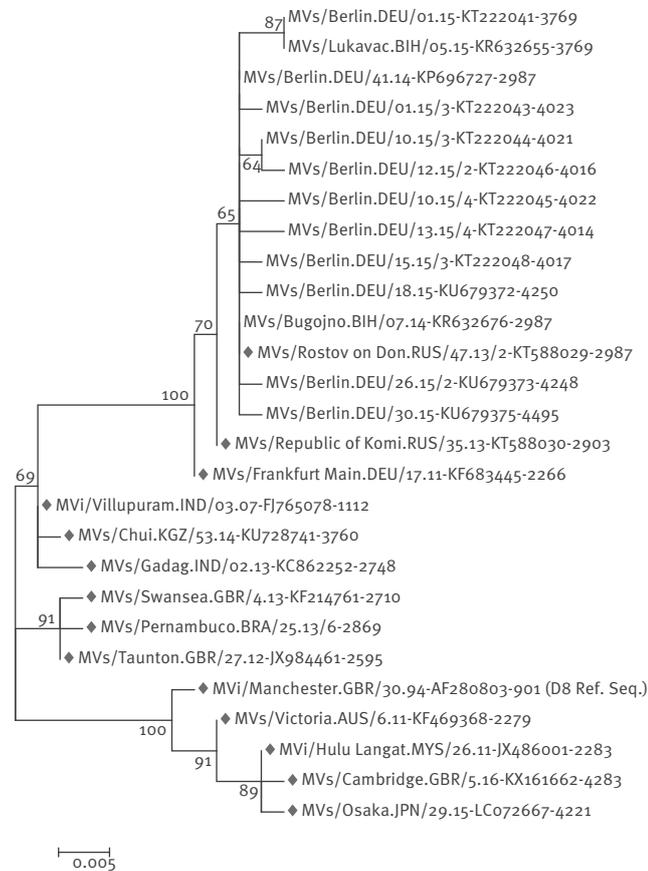
Of 1,359 cases notified during the outbreak period, 15 were considered unrelated to the outbreak; six because of genotypes other than D8 and nine because infection was considered imported, among them three asylum seekers (all from Bosnia and Herzegovina). Thus, 1,344 outbreak cases were ascertained, of which 943 (70%) were laboratory-confirmed (Table).

Median age of case patients was 17 years (IQR: 4–29 years), 737 (55%) were male, 345 (26%) were hospitalised and a 1-year-old child died of measles. In almost two thirds of cases (64%, n=864), no link to another measles case was recorded by the LPHAs. Of those with available information (n=1,258), 86% (n=1,086) were not vaccinated against measles, 101 (8%) were vaccinated once, 42 (3%) twice and one case three times (0.2%) before onset of illness (for 28 (2%) the number of vaccinations was not recorded).

The index case was a 5-year-old child who travelled with their family from Bosnia and Herzegovina by bus

**FIGURE 3**

Phylogenetic relationship between the measles virus sequence variants detected in a large outbreak of measles in Berlin, August 2014–October 2015 ( $n = 351$ ), a large outbreak in Bosnia and Herzegovina (2014–2015) and the World Health Organization Reference and Named Strains for measles virus genotype D8 (marked in grey)



The World Health Organization name, the GenBank accession number (if available) and the 'distinct sequence identifier' used in MeaNS are given for each sequence variant. The phylogenetic analysis is based on the 450-nt sequence encoding the C-terminus of the measles virus N protein. The tree was constructed using the Neighbour-Joining algorithm and the p-distance method as implemented in MEGA7 [20]. Bootstrap values (1,000 replicates) are shown next to the branches. The scale bar indicates a deviation of 5 nt per 1,000 nt sequence.

to Berlin (a ca 24 h drive) in early October 2014. The child had fever but no rash upon arrival. In the following months, cases occurred predominantly among asylum seekers (Figure 1).

During the outbreak, 146 (11%) cases occurred in asylum seekers (Table), most of them in children (median age: 5 years, IQR 2–18 years). Overall, 69 (47%) came from Bosnia and Herzegovina (in 2014: 41/65 cases, 63%), 41 (28%) from Serbia, eight (6%) from Syria, the remaining 20% came from 14 different countries. Measles cases occurred in 35 homes for asylum seekers, located in all Berlin districts, with a median of two cases per asylum seeker home (IQR: 1–5).

By year's end, cases started to accumulate in the Berlin resident population, with a peak in March 2015 (Figure 1). Cases among Berlin residents ( $n=1,101$ ) occurred in all districts, but the attack rate varied across the 12 districts of Berlin by a factor of almost 3.5 (highest in Neukölln: 546/1,000,000 population, lowest in Steglitz-Zehlendorf: 160/1,000,000 population (city-wide incidence: 309/1,000,000 population). Of the Berlin resident cases, almost one third ( $n=349$ , 32%) were linked to other cases in 132 clusters (median number of cases: 2, IQR: 2–3), mostly to household members (253 cases in 106 clusters), meaning that no long transmission chains or sub-outbreaks were observed. Attack rate was highest among <1 year olds (3,334/1,000,000, Figure 2) followed by 1 year-olds (2,538/1,000,000, which together accounted for 18% of Berlin's resident cases. The majority of cases were in adults ( $n=571$ , 52%), most of whom (498/571, 87%) were born after 1970.

### Evaluation of post-exposure vaccinations in asylum seeker homes

In the study period, cases were ascertained in 32 asylum seeker homes. Case-patients' median age in clusters in asylum seeker homes was 4 years (IQR: 1–18.5 years). Of 32 asylum seeker homes, we received no detailed information for seven homes, and in a further seven homes no post-exposure intervention was performed for different reasons (e.g. lack of resources). In the remaining 18 homes, post-exposure vaccinations were conducted with a median time interval from symptom onset of the first case to vaccination of 7.5 days (IQR: 6–10 days); in three homes vaccination occurred within the recommended 72 h after detection of measles with no further cases notified during the following 18 days. In the remaining 15 homes, 16 cases were notified during this time period. Median time interval between (i) symptom onset of the first case and notification and (ii) notification and post-exposure vaccination was 4 days (IQR: 3–8 days) and 2 days (IQR: 0–6 days), respectively.

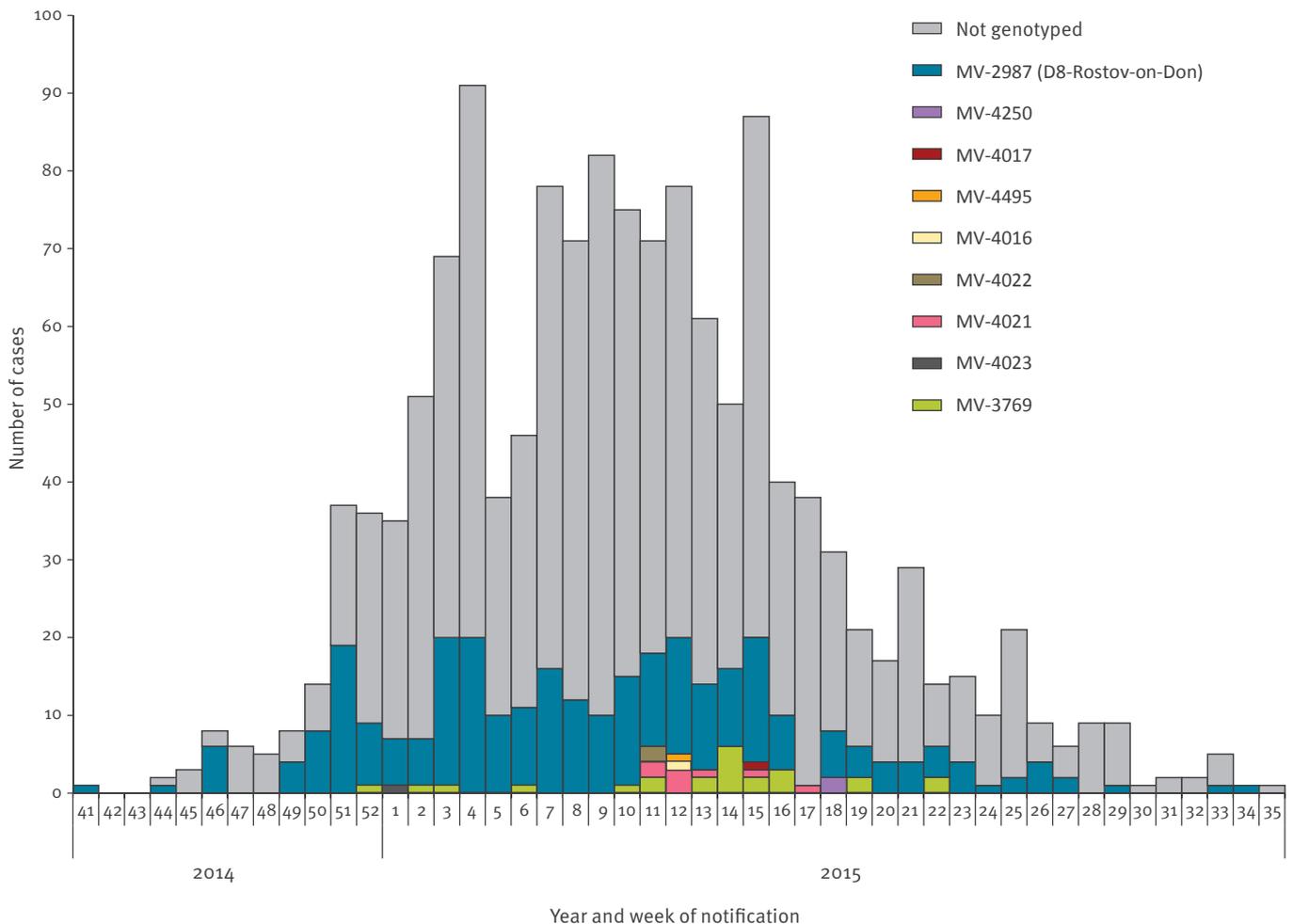
In the eight asylum seeker homes where vaccination was offered to all inhabitants and denominator data were available, 1,133/2,390 (47%) were reached. In five of these homes, eligibility criteria for vaccination were also recorded; there, 706/1,344 (53%) were reached.

### Molecular investigation

During the outbreak period, the NRC received samples from 587 suspected cases. MV genome was detected in samples from 415 laboratory-confirmed cases and the MV genotype was determined for 359 cases of which 354 showed a wild-type virus. Of the 351 cases associated with genotype D8, 306 showed the predominant sequence variant Named Strain MVs/Rostov on Don. RUS/47.13/2, hereafter referred to as 'D8-Rostov-Don'. This variant was detected in Berlin during the entire outbreak period and had previously been found during a large outbreak in Bosnia and Herzegovina (February 2014 to April 2015). Forty-five cases had variants

**FIGURE 4**

Measles virus sequence variants (given by the 'distinct sequence identifier') by reporting week of cases in a large outbreak in Berlin, October 2014–August 2015 (n = 322)



deviating in the 450 nt region by 1–2 nt from D8-Rostov-Don (10 variants, Figure 3) [22]. One variant detected in Berlin from December 2014 to May 2015 (MVs/Berlin.DEU/o1.15) was also found in the course of the aforementioned outbreak (MVs/Lukavac.BIH/o5.15) [22].

We were able to match 322 laboratory-confirmed cases with MV genotype D8 to notified outbreak cases (254 Berlin residents and 46 asylum seekers and in 22 with unknown residency status), of which 282 (88%) had the variant D8-Rostov-Don and 40 (12%) had a 1–2 nt deviating variant (Figure 4), 11 asylum seekers, 28 Berlin residents, one case with unknown residency status. None of these cases had a travel history during their period of infection. Of these 40 cases, 24 shared an identical variant (MVs/Lukavac.BIH/o5.15). The first of these cases had a symptom onset on 20 December 2014 and the last on 21 May 2015.

## Discussion

In this large outbreak, MV was likely introduced by and initially spread among asylum seekers before affecting the resident population of Berlin, which ultimately

accounted for the vast majority of cases. Among Berlin's resident population, all age groups eligible for vaccination were affected, with the highest attack rate in children under 2 years of age. More than half of the cases were in adults, most of whom were born after 1970, the age group for which STIKO recommends measles vaccination if vaccination history is incomplete or unknown. Interventions of LPHAs in homes for asylum seekers were mostly outside the recommended 72 h time window, reached only half of the potential contacts and apparently did not halt the outbreak. Continuous genotyping throughout the outbreak period demonstrated almost endemic (i.e.  $\geq 12$  months) transmission of the predominant variant D8-Rostov-Don in Berlin.

Several lines of evidence indicate that measles was introduced by and initially spread among asylum seekers: firstly, the index case occurred in an asylum seeker who had symptoms upon arrival in Berlin, after travelling from Bosnia and Herzegovina where a large measles outbreak was ongoing at that time [22]. Subsequent cases occurred predominantly among asylum seekers (often from Bosnia and Herzegovina) that

TABLE

Case characteristics in a large outbreak of measles in Berlin, October 2014–August 2015 (n = 1,344)

Characteristics	Berlin resident		Asylum seeker		Unknown		Total	
	n	%	n	%	n	%	n	%
<b>Number of cases</b>	1,101	100	146	100	97	100	1,344	100
<b>Male</b>	612	55.6	76	52.0	49	50.5	737	54.8
<b>Laboratory-confirmed</b>	777	70.6	99	67.8	67	69.1	943	70.2
<b>In clusters</b>	349	31.7	107	73.3	24	24.7	480	35.7
<b>Unvaccinated<sup>a</sup></b>	888	85.2	127	94.1	71	87.6	1,086	86.3
<b>Hospitalised<sup>b</sup></b>	265	24.1	35	24.0	45	46.9	345	25.7
<b>Death</b>	1	0.1	0	0	0	0	1	0.1
	<b>Median</b>	<b>IQR</b>	<b>Median</b>	<b>IQR</b>	<b>Median</b>	<b>IQR</b>	<b>Median</b>	<b>IQR</b>
<b>Age (years)</b>	18	5–30	5	2–18	21	9–29	17	4–29

IQR: interquartile range.

<sup>a</sup> Information was available for 1,258 cases on vaccination status; 1,042 Berlin residents, 135 asylum seekers, 81 unknown.<sup>b</sup> Information was available for 1,342 on hospitalisation status; 1,100 Berlin residents, 146 asylum-seekers, 96 unknown.

acquired infection in Berlin. Secondly, genotyping data indicate sequence identity of MV isolated from the index case, the large majority of the following cases in Berlin, and cases of the concurrent outbreak in Bosnia and Herzegovina. Thirdly, despite of continuous monitoring of circulating MV variants, the main variant D8-Rostov-Don and closely related variants had never been found in Berlin before.

Population immunity in Berlin was too low to prevent city-wide measles spread across all age groups, equating to insufficient vaccine coverage. School-entry examinations document that coverage with two doses of a MV-containing vaccine has been consistently below 95% in Berlin, although there has been a strong increase over the past 15 years (from 21% in 1998–2001 to 92% in 2014) [10,23]. Age-dependent differences in vaccine coverage were observed in a national population survey from 2008 to 2011; coverage (1 dose) was lower in older age groups (80% in 18–29 year olds; 47% in 30–39 year olds) [24]. Taken together, population immunity likely decreases in Germany with increasing age, until age groups born before 1970.

The majority of cases were in adults. Of note, there is a clear shift towards adult measles cases in German notification data (from 11% in 2003 to 43% in 2013) [25], in the absence of a noticeable downward trend in measles notification rates over the past 10 years in Germany. Furthermore, under-reporting of measles in Germany is highest in adults [26]. Consequently, their involvement in this outbreak (and in measles epidemiology in Germany in general) can be assumed to be disproportionately underestimated and the difference in attack rates between adults and children in this outbreak is likely offset, at least partially. Interestingly, standard inquiries of cases in this outbreak by LPHAs revealed that adults tended to be ignorant of their vaccination status rather than sceptical of vaccines, suggesting

they could be successfully targeted in catch-up campaigns (not yet conducted in Berlin or elsewhere in Germany) [27].

Post-exposure vaccination by LPHAs to prevent onward transmission in homes for asylum seekers were not always conducted and, where conducted, reached only half of the potential contacts. Additionally, most (83%) vaccination measures occurred after the recommended 72 h window, mainly because LPHAs were not notified in a timely fashion (vaccinating after 72 h may still be useful, e.g. to prevent tertiary cases). We did not systematically assess the reasons for delayed case notification. Anecdotally, not all cases sought medical attention immediately and sometimes the first notified case was initially misdiagnosed with a disease other than measles. Furthermore, the large number of asylum seekers in some homes often exceeded the response capacity of the LPHAs. Taken together, timely vaccination of all contacts proved challenging in these settings where LPHA often became aware of measles too late, potential contacts were often difficult to reach and large in number, and language barriers complicated intervention logistics. Of note, clusters occurred in many homes for asylum seekers (mostly involving children), but were small in size (median of two cases). This indicates a fairly high vaccination coverage among inhabitants as has been shown for migrating populations in other settings, an effect of post-exposure vaccinations, or both [28,29]. Targeting, or at least prioritising, vulnerable groups (e.g. children) in post-exposure vaccinations in (mass) asylum seeker homes should be considered, particularly in crisis situations.

It remains unclear why the outbreak became so large and long-lasting. Frequent introduction of the outbreak virus by different asylum seekers may seem an obvious explanation, but the limited available epidemiological and molecular information lends little support

to this hypothesis. Only three measles cases among asylum seekers (all from Bosnia and Herzegovina) were considered imported. Yet, under-ascertainment of measles cases is likely, particularly at the start of the outbreak (e.g. there is a gap of > 3 weeks in onset dates between the index and subsequent cases). The identification of various outbreak variants is not necessarily indicative of imported MV. All variants were closely related to the main outbreak variant D8-Rostov-Don. Most were detected only once or over a short period, and their occurrence scattered around the (virus-number) peak of the outbreak, which is compatible with random mutations of the virus. Furthermore, detection of a variant probably descending from the outbreak main variant has previously been observed in a large outbreak, in which multiple importations were deemed unlikely [30]. In keeping with that pattern, none of the (matched) cases infected with an outbreak variant deviating from the main variant were imported. However, the predominant and the second most variant identified in Berlin were also detected in the outbreak in Bosnia and Herzegovina, indicating at least two virological links to the parallel outbreak. Notwithstanding, our molecular analysis was restricted to 450 nt of the MV N gene, and therefore does not allow the monitoring of sequence variation in other regions of the viral genome. Establishing whole genome sequencing in outbreak situations across Europe might provide more detailed information. More important, however, is a continuous monitoring of virus variants during the whole outbreak period, especially when considering increasing population movement in recent years.

Enhancing the surveillance of notified measles cases, including routinely collecting information on residency status, and MV genotyping, were pivotal in understanding the epidemiology of this outbreak. Since October 2015, residency status and accompanying information have been routinely collected on all notifiable diseases in Germany. Although the data need to be interpreted with caution, they indicate that most notified infectious diseases in asylum seekers are vaccine-preventable (predominantly varicella zoster infections), and most are acquired within Germany (data not shown). This underlines the vulnerability of this group for vaccine-preventable diseases.

No city-wide system for offering vaccinations to asylum seekers was in place during the outbreak period. Since September 2015, Berlin has offered immunisation with MV- (and polio-) containing vaccines, as recommended by WHO, the European Centre for Disease Prevention and Control and STIKO, to all asylum seekers in Berlin [31,32]. Coupled with a brief medical examination, this has become an integral part of the asylum seekers' registration process since March 2016 in Berlin. Notwithstanding, there remains a continued risk of importation of MV as many residents travel and many travellers arrive. In 2014, 12.4 million people visited Berlin, of which 4.8 million came from abroad (one fifth of all foreign visitors to Germany) [33]. In conjunction

with insufficient population immunity, eliminating measles in Berlin is likely to remain a distant prospect in the absence of supplementary immunisation activities.

## Conclusion

This outbreak exemplifies why, in addition to ethical and legal grounds, asylum seekers should be timely offered vaccination against measles: to protect them. In addition, catch-up campaigns to close immunisation gaps, particularly in adults, are urgently needed in Berlin's resident population. Surveillance of infectious diseases should routinely collect information on residency status to be able to assess and quickly respond to infectious disease risks in asylum seekers. MV genotyping throughout the outbreak period demonstrated continuous circulation of variant D8-Rostov-Don for almost 11 months in Berlin.

## Acknowledgements

We are indebted to the 12 local public health authorities in Berlin for their diligent and committed work and collaboration in this outbreak.

No funding was received related to the work in this manuscript.

## Conflict of interest

None declared.

## Authors' contributions

DW contributed to data collection, data analysis, data interpretation and drafting the manuscript.

AH contributed to literature search, data collection, data analysis, data interpretation, creating graphics and drafting the manuscript.

AM contributed to molecular-biological investigation. SS contributed to molecular-biological investigation and creating graphics.

DS contributed to data collection and data interpretation.

## References

1. Perry RT, Murray JS, Gacic-Dobo M, Dabbagh A, Mulders MN, Strebel PM, et al. Progress toward regional measles elimination - worldwide, 2000-2014. *MMWR Morb Mortal Wkly Rep.* 2015;64(44):1246-51. DOI: 10.15585/mmwr.6444a4 PMID: 26562349
2. Mina MJ, Metcalf CJE, de Swart RL, Osterhaus ADME, Grenfell BT. Long-term measles-induced immunomodulation increases overall childhood infectious disease mortality. *Science.* 2015;348(6235):694-9. DOI: 10.1126/science.aaa3662 PMID: 25954009
3. Bundesministerium für Gesundheit. [Federal Ministry of Health]. Nationaler Aktionsplan 2015-2020 zur Elimination der Masern und Röteln in Deutschland: Hintergründe, Ziele und Strategien. [National action plan 2015-2020 for the elimination of measles and rubella in Germany: Background, goals and strategies]. Berlin: Federal Ministry of Health; [Accessed 13 Feb 2017]. German. Available from: [https://www.gmkonline.de/documents/Aktionsplan\\_Masern\\_Roeteln\\_2.pdf](https://www.gmkonline.de/documents/Aktionsplan_Masern_Roeteln_2.pdf)
4. World Health Organization Regional Office for Europe (WHO Europe). Eliminating measles and rubella and preventing

- congenital rubella infection: WHO European Region strategic plan 2005-2010. Copenhagen: WHO Europe; 2005. [Accessed 9 Feb 2017]. Available from: [http://www.euro.who.int/\\_\\_data/assets/pdf\\_file/0008/79028/E87772.pdf](http://www.euro.who.int/__data/assets/pdf_file/0008/79028/E87772.pdf)
5. World Health Organization Regional Office for Europe (WHO Europe). Eliminating measles and rubella: Framework for the verification process in the WHO European Region. Copenhagen: WHO Europe; 2014. [Accessed 23 Nov 2015]. Available from: [http://www.euro.who.int/\\_\\_data/assets/pdf\\_file/0009/247356/Eliminating-measles-and-rubella-Framework-for-the-verification-process-in-the-WHO-European-Region.pdf](http://www.euro.who.int/__data/assets/pdf_file/0009/247356/Eliminating-measles-and-rubella-Framework-for-the-verification-process-in-the-WHO-European-Region.pdf)
  6. Clemmons NS, Gastanaduy PA, Fiebelkorn AP, Redd SB, Wallace GS. Measles - United States, January 4-April 2, 2015. *MMWR Morb Mortal Wkly Rep.* 2015;64(14):373-6. PMID: 25879894
  7. Papania MJ, Wallace GS, Rota PA, Icenogle JP, Fiebelkorn AP, Armstrong GL, et al. Elimination of endemic measles, rubella, and congenital rubella syndrome from the Western hemisphere: the US experience. *JAMA Pediatr.* 2014;168(2):148-55. DOI: 10.1001/jamapediatrics.2013.4342 PMID: 24311021
  8. World Health Organization (WHO). Global measles and rubella strategic plan: 2012-2020. Geneva: WHO; [Accessed 9 Feb 2017]. Available from: [http://apps.who.int/iris/bitstream/10665/44855/1/9789241503396\\_eng.pdf](http://apps.who.int/iris/bitstream/10665/44855/1/9789241503396_eng.pdf).
  9. Robert Koch-Institut (RKI). Empfehlungen der Ständigen Impfkommission (STIKO) am RKI. [Recommendations of the German Standing Committee on Vaccination (STIKO) at the RKI]. *Epidemiologisches Bulletin.* Berlin: RKI; 29 Aug 2016. German. Available from: [https://www.rki.de/DE/Content/Infekt/EpidBull/Archiv/2016/Ausgaben/34\\_16.pdf?\\_\\_blob=publicationFile](https://www.rki.de/DE/Content/Infekt/EpidBull/Archiv/2016/Ausgaben/34_16.pdf?__blob=publicationFile)
  10. Robert Koch-Institut (RKI). Impfquoten bei der Schuleingangsuntersuchung in Deutschland 2014. [Vaccine coverage at school entry examination in Germany in 2014]. Berlin: RKI; 25 Apr 2016. German. Available from: [https://www.rki.de/DE/Content/Infekt/EpidBull/Archiv/2016/Ausgaben/16\\_16.pdf?\\_\\_blob=publicationFile](https://www.rki.de/DE/Content/Infekt/EpidBull/Archiv/2016/Ausgaben/16_16.pdf?__blob=publicationFile)
  11. Robert Koch-Institut (RKI). Mitteilung der Ständigen Impfkommission (STIKO) am Robert Koch-Institut (RKI). [Information of the German Standing Committee on Vaccination (STIKO) at the RKI]. Berlin: RKI; 16 Aug 2010. German. Available from: [https://www.rki.de/DE/Content/Infekt/EpidBull/Archiv/2010/Ausgaben/32\\_10.pdf?\\_\\_blob=publicationFile](https://www.rki.de/DE/Content/Infekt/EpidBull/Archiv/2010/Ausgaben/32_10.pdf?__blob=publicationFile).
  12. Eurostat. Database: Asylum and managed migration (migr). Luxembourg: Eurostat; [Accessed 1 Aug 2017]. Available from: [http://ec.europa.eu/eurostat/data/database?node\\_code=migr](http://ec.europa.eu/eurostat/data/database?node_code=migr)
  13. Amt für Statistik Berlin-Brandenburg. [Office for Statistics Berlin-Brandenburg]. Statistiken. [Statistics]. Berlin: Office for Statistics Berlin Brandenburg; [Accessed 13 Feb 2017]. German. Available from: <https://www.statistik-berlin-brandenburg.de/Statistiken/inhalt-statistiken.asp>
  14. World Health Organization Regional Office for Europe (WHO Europe). Health2020: A European policy framework and strategy for the 21st century. Copenhagen: WHO Europe; 2013. [Accessed 9 Feb 2017]. Available from: [http://www.euro.who.int/\\_\\_data/assets/pdf\\_file/0011/199532/Health2020-Long.pdf?ua=1](http://www.euro.who.int/__data/assets/pdf_file/0011/199532/Health2020-Long.pdf?ua=1)
  15. Weis P. The Convention Relating to the Status of Stateless Persons. *Int Comp Law Q.* 1961;10(10(2)):255-64. DOI: 10.1093/iclqj/10.2.255
  16. Declaration of Alma-Ata. *Lancet.* 1979;1(8109):217-8. PMID: 84242
  17. World Health Organization (WHO). Sixty-First World Health Assembly. Geneva, 19-24 May 2008. Geneva: WHO; [Accessed 9 Feb 2017]. Available from: [http://apps.who.int/gb/ebwha/pdf\\_files/WHA61-REC1/A61\\_REC1-en.pdf](http://apps.who.int/gb/ebwha/pdf_files/WHA61-REC1/A61_REC1-en.pdf)
  18. Federal Ministry of Justice. Asylbewerberleistungsgesetz. [Asylum Seekers' Benefit Act]. Bonn: Bundesgesetzblatt (BGBl). I S. 2541. 17 Jul 2017. [Accessed 31 Jul 2017]. Available from: <http://www.gesetze-im-internet.de/bundesrecht/asylblg/gesamt.pdf>
  19. Rota PA, Brown K, Mankertz A, Santibanez S, Shulga S, Muller CP, et al. Global distribution of measles genotypes and measles molecular epidemiology. *J Infect Dis.* 2011;204(Suppl 1):S514-23. DOI: 10.1093/infdis/jir118 PMID: 21666208
  20. Kumar S, Stecher G, Tamura K. MEGA7: Molecular Evolutionary Genetics Analysis Version 7.0 for Bigger Datasets. *Mol Biol Evol.* 2016;33(7):1870-4. DOI: 10.1093/molbev/msw054 PMID: 27004904
  21. World Health Organization (WHO). Measles virus nomenclature update: 2012. *Wkly Epidemiol Rec.* 2012;87(9):73-81. PMID: 22462199
  22. Salimović-Bešić I, Šeremet M, Hübschen JM, Hukić M, Tihčić N, Ahmetagić S, et al. Epidemiologic and laboratory surveillance of the measles outbreak in the Federation of Bosnia and Herzegovina, February 2014-April 2015. *Clin Microbiol Infect.* 2016;22(6):563.e1-7. DOI: 10.1016/j.cmi.2016.02.005 PMID: 26928202
  23. Robert Koch-Institut (RKI). Impfraten deutscher Kinder bei der Schuleingangsuntersuchung im Jahr 2002. [Vaccine coverage of German children at school entry examination in the year 2002]. *Epidemiologisches Bulletin.* Berlin: RKI; 30 Apr 2003. German. Available from: [http://edoc.rki.de/documents/rki\\_fv/rePoSwYQt9oYc/PDF/24xNoiiVGsOc.pdf](http://edoc.rki.de/documents/rki_fv/rePoSwYQt9oYc/PDF/24xNoiiVGsOc.pdf)
  24. Poethko-Müller C, Schmitz R. Impfstatus von Erwachsenen in Deutschland: Ergebnisse der Studie zur Gesundheit Erwachsener in Deutschland (DEGS1). [Vaccination coverage in German adults: results of the German Health Interview and Examination Survey for Adults (DEGS1)]. *Bundesgesundheitsblatt Gesundheitsforschung Gesundheitsschutz.* 2013;56(5-6):845-57. DOI: 10.1007/s00103-013-1693-6 PMID: 23703506
  25. Robert Koch-Institut (RKI). SurvStat@RKI 2.0. Berlin: RKI; [Accessed 9 Feb 2017]. Available from: <https://survstat.rki.de/Content/Query/Create.aspx>
  26. Takla A, Wichmann O, Rieck T, Matysiak-Klose D. Measles incidence and reporting trends in Germany, 2007-2011. *Bull World Health Organ.* 2014;92(10):742-9. DOI: 10.2471/BLT.13.135145 PMID: 25378728
  27. Hoffmann A, Sagebiel D, Hentschel K, Werber D. Large measles outbreak in Berlin 2014/2015 - Many cases not opposed to vaccination, yet unvaccinated (reference number 3048). In: *European Scientific Conference on Applied Infectious Disease Epidemiology (ESCAIDE). Abstract Book; 1-13 Nov 2015; Stockholm, Sweden.* Stockholm: ESCAIDE; 2015. [Accessed 12 Dec 2016]. p. 58. Available from: <http://www.escaide.eu/sites/escaide/files/documents/escaide-2015-abstract-book.pdf>
  28. Barnett ED, Christiansen D, Figueira M. Seroprevalence of measles, rubella, and varicella in refugees. *Clin Infect Dis.* 2002;35(4):403-8. DOI: 10.1086/341772 PMID: 12145723
  29. Greenaway C, Dongier P, Boivin J-F, Tapiero B, Miller M, Schwartzman K. Susceptibility to measles, mumps, and rubella in newly arrived adult immigrants and refugees. *Ann Intern Med.* 2007;146(1):20-4. DOI: 10.7326/0003-4819-146-1-200701020-00005 PMID: 17200218
  30. Mankertz A, Mihneva Z, Gold H, Baumgarte S, Baillet A, Helble R, et al. Spread of measles virus D4-Hamburg, Europe, 2008-2011. *Emerg Infect Dis.* 2011;17(8):1396-401. DOI: 10.3201/eid1708.101994 PMID: 21801615
  31. World Health Organization Regional Office for Europe (WHO Europe). WHO-UNHCR-UNICEF joint technical guidance: general principles of vaccination of refugees, asylum-seekers and migrants in the WHO European Region. Copenhagen: WHO Europe; 23 Nov 2015. Available from: <http://www.euro.who.int/en/health-topics/health-determinants/migration-and-health/news/news/2015/11/who-unicef-and-unhcr-call-for-equitable-access-to-vaccines-for-refugees-and-migrants/who-unhcr-unicef-joint-technical-guidance-general-principles-of-vaccination-of-refugees-asylum-seekers-and-migrants-in-the-who-european-region>
  32. European Centre for Disease Prevention and Control (ECDC). Rapid Risk Assessment. Communicable disease risks associated with the movement of refugees in Europe during the winter season. Stockholm: ECDC; 10 Nov 2015. Available from: <http://ecdc.europa.eu/en/publications/Publications/refugee-migrant-health-in-european-winter-rapid-risk-assessment.pdf>
  33. Amt für Statistik Berlin-Brandenburg. [Office for Statistics Berlin-Brandenburg]. Tourismus Basisdaten. [Tourism. Basic data]. Berlin: Office for Statistics Berlin-Brandenburg; [Accessed 9 Feb 2017]. Available from: <https://www.statistik-berlin-brandenburg.de/BasisZeitreiheGrafik/Bas-Tourismus.asp?Ptyp=300&Sageb=45005&creg=BBB&anzwer=7>

## License and copyright

This is an open-access article distributed under the terms of the Creative Commons Attribution (CC BY 4.0) Licence. You may share and adapt the material, but must give appropriate credit to the source, provide a link to the licence, and indicate if changes were made.

This article is copyright of the authors, 2017.

# The effectiveness of influenza vaccination in preventing hospitalisations of elderly individuals in two influenza seasons: a multicentre case–control study, Spain, 2013/14 and 2014/15

A Domínguez<sup>1,2</sup>, N Soldevila<sup>2,1</sup>, D Toledo<sup>2,1</sup>, P Godoy<sup>3,2,4</sup>, E Espejo<sup>5</sup>, MA Fernandez<sup>6</sup>, JM Mayoral<sup>7</sup>, J Castilla<sup>8,2</sup>, M Egurrola<sup>9</sup>, S Tamames<sup>10</sup>, J Astray<sup>11</sup>, M Morales-Suárez-Varela<sup>12,2</sup>, the Working Group of the Project P12/02079

1. Departament de Medicina, Universitat de Barcelona, Barcelona, Spain
2. CIBER Epidemiología y Salud Pública (CIBERESP), Madrid, Spain
3. Agència de Salut Pública de Catalunya, Barcelona, Spain
4. Institut de Recerca Biomèdica de Lleida, Universitat de Lleida, Lleida, Spain
5. Hospital de Terrassa, Terrassa, Spain
6. Complejo Hospitalario Universitario de Granada, Granada, Spain
7. Servicio de Vigilancia de Andalucía, Sevilla, Spain
8. Instituto de Salud Pública de Navarra (IdiSNA), Pamplona, Spain
9. Hospital de Galdakao, Usansolo, Spain
10. Dirección General de Salud Pública, Investigación, Desarrollo e Innovación, Junta de Castilla y León, León, Spain
11. Consejería de Sanidad, Madrid, Spain
12. Departamento de Medicina Preventiva, Universidad de Valencia, Valencia, Spain

**Correspondence:** Àngela Domínguez (angela.dominguez@ub.edu)

## Citation style for this article:

Domínguez A, Soldevila N, Toledo D, Godoy P, Espejo E, Fernandez MA, Mayoral JM, Castilla J, Egurrola M, Tamames S, Astray J, Morales-Suárez-Varela M, the Working Group of the Project P12/02079. The effectiveness of influenza vaccination in preventing hospitalisations of elderly individuals in two influenza seasons: a multicentre case–control study, Spain, 2013/14 and 2014/15. *Euro Surveill.* 2017;22(34):pii=30602. DOI: <http://dx.doi.org/10.2807/1560-7917.ES.2017.22.34.30602>

Article submitted on 23 August 2016 / accepted on 10 January 2017 / published on 24 August 2017

**Influenza vaccination may limit the impact of influenza in the community. The aim of this study was to assess the effectiveness of influenza vaccination in preventing hospitalisation in individuals aged  $\geq 65$  years in Spain. A multicentre case–control study was conducted in 20 Spanish hospitals during 2013/14 and 2014/15. Patients aged  $\geq 65$  years who were hospitalised with laboratory-confirmed influenza were matched with controls according to sex, age and date of hospitalisation. Adjusted vaccine effectiveness (VE) was calculated by multivariate conditional logistic regression. A total of 728 cases and 1,826 matched controls were included in the study. Overall VE was 36% (95% confidence interval (CI): 22–47). VE was 51% (95% CI: 15–71) in patients without high-risk medical conditions and 30% (95% CI: 14–44) in patients with them. VE was 39% (95% CI: 20–53) in patients aged 65–79 years and 34% (95% CI: 11–51) in patients aged  $\geq 80$  years, and was greater against the influenza A(H1N1)pdm09 subtype than the A(H3N2) subtype. Influenza vaccination was effective in preventing hospitalisations of elderly individuals.**

## Introduction

Influenza is an acute illness caused by influenza viruses. During seasonal epidemics, large numbers of

influenza infections occur in all age groups. In most individuals, influenza is a self-limiting illness, but serious secondary complications appear in some of those infected with the influenza viruses. Influenza virus infection-related morbidity and mortality is a serious human health concern worldwide, affecting health of populations and economies worldwide. The illness may result in hospitalisation, overwhelming hospitals and causing excess influenza health-related deaths [1]. Worldwide, annual epidemics are estimated to result in ca 3 to 5 million cases of severe illness and ca 250,000 to 500,000 deaths [2]. Individuals who are elderly, especially those with comorbidities, are particularly at risk for influenza-related complications and frequently require hospitalisation. In an American study carried out in the 2005/06 through 2013/14 seasons, 89% of all influenza-associated deaths were in people aged  $\geq 65$  years [3]. A recent French study estimated that 11% of all-cause deaths in elderly individuals during the influenza season were attributable to influenza [4]. However, mortality is just the tip of the iceberg in terms of disease and the economic burden, and hospitalisation is also an important outcome that should be considered [5].

The capacity of influenza viruses to undergo gradual antigenic change in their surface antigens is a

**TABLE 1**

 Distribution of influenza cases and controls aged  $\geq 65$  years according to demographic variables, medical conditions and history of vaccination, Spain, influenza seasons 2013/14 and 2014/1

Characteristics	Cases (n = 728)		Controls (n = 1,826)		Crude OR	95% CI	p value
	n	%	n	%			
<b>Age group</b>							
65–79 years	411	56.5	1,016	57.0	Ref	Ref	0.50
$\geq 80$ years	317	43.5	810	43.0	0.89	0.64–1.24	
<b>Sex</b>							
Female	343	47.1	884	48.4	NA	NA	NA
Male	385	52.9	942	51.6	NA	NA	NA
<b>Marital status</b>							
Married/cohabiting	450	61.9	1,020	56.0	Ref	Ref	Ref
Single	39	5.4	145	8.0	0.57	0.39–0.83	0.004
Widowed	217	29.8	615	33.8	0.76	0.61–0.95	0.02
Separated/divorced	21	2.9	42	2.3	1.17	0.68–2.00	0.57
<b>Educational level</b>							
Without or primary	560	77.0	1,349	74.9	Ref	Ref	0.07
Secondary or higher	167	23.0	453	25.1	0.81	0.64–1.01	
<b>Barthel Index<sup>a</sup></b>							
0–90 <sup>a</sup>	276	37.9	796	43.6	0.79	0.64–0.96	0.02
$>90^a$	452	62.1	1,028	56.4	Ref	Ref	
<b>Smoking status</b>							
No smoker	383	52.6	1,057	57.9	Ref	Ref	0.01
Smoker/ex-smoker	345	47.4	769	42.1	1.39	1.09–1.77	
<b>High alcohol consumption<sup>b</sup></b>							
Yes	16	2.2	53	2.9	0.77	0.43–1.38	0.38
No	712	97.8	1,772	97.1	Ref	Ref	
<b>Number of hospital visits during the past year</b>							
0–2	403	56.1	916	50.6	Ref	Ref	0.05
$\geq 3$	316	43.9	896	49.4	0.82	0.67–1.00	
<b>High-risk medical conditions</b>							
No	104	14.3	386	21.1	Ref	Ref	$<0.001$
Yes	624	85.7	1,440	78.9	1.73	1.35–2.22	
<b>Current-season influenza vaccine received</b>							
Yes	359	49.3	1,053	57.7	0.73	0.61–0.87	$<0.001$
No	369	50.7	773	42.3	Ref	Ref	
<b>Previous-season influenza vaccine received</b>							
Yes	376	51.6	1,054	57.7	0.78	0.66–0.93	0.005
No	352	48.4	772	42.3	Ref	Ref	
<b>Pneumococcal vaccine received</b>							
Yes	372	51.1	836	45.8	1.20	0.99–1.46	0.06
No	356	48.9	990	54.2	Ref	Ref	

CI: confidence interval; NA: not applicable; OR: odds ratio; Ref: reference group for comparison.

<sup>a</sup> The Barthel Index is a measurement of limitations in activity, ranging from 0 (complete dependence) to 100 (complete independence).

<sup>b</sup> High alcohol consumption defined as  $>40$  g/day for men and  $>24$  g/day for women.

challenge for vaccination against seasonal influenza. Annual administration of the seasonal influenza vaccine, especially in those known to be at high risk of serious complications as a result of influenza, is the focus of current efforts to reduce the disease impact [1]. In the 2013/14 season, the trivalent inactivated vaccine administered in Spain and in all the northern

hemisphere, contained an A/California/7/2009(H1N1)pdm-09-like virus, an A(H3N2) virus antigenically similar to the cell-propagated prototype virus A/Victoria/361/2011 and a B/Massachusetts/2/2011-like virus. For the 2014/15 season, the vaccine composition only changed the A/Victoria/361/2011 component

**TABLE 2**Distribution of influenza cases and controls aged  $\geq 65$  years according to comorbidities, Spain, influenza seasons 2013/14 and 2014/15

Characteristics	Cases (n=728)		Controls (n=1,826)		Crude OR	95% CI	p value
	n	%	n	%			
<b>High-risk medical conditions</b>							
COPD	194	26.6	218	11.9	3.03	2.37–3.88	<0.001
Chronic respiratory failure	119	16.3	208	11.4	1.64	1.26–2.14	<0.001
Pneumonia past 2 years	91	12.5	104	5.7	2.40	1.77–3.26	<0.001
Other lung disease	238	32.7	380	20.8	1.88	1.54–2.30	<0.001
Cardiovascular disease	224	30.8	651	35.7	0.84	0.68–1.03	0.09
Diabetes mellitus	235	32.3	666	36.5	0.89	0.74–1.07	0.21
Renal failure with hemodialysis	16	2.2	31	1.7	1.27	0.68–2.37	0.46
Hemoglobinopathy or anaemia	89	12.2	306	16.8	0.68	0.52–0.88	0.004
AIDS	1	0.1	2	0.1	1.30	0.12–14.51	0.83
Asymptomatic HIV infection	3	0.4	1	0.1	9.00	0.94–86.52	0.06
Neurological disease	51	7.0	136	7.4	0.93	0.64–1.33	0.93
Obesity (BMI $\geq 30$ kg/m <sup>2</sup> )	174	23.9	370	20.3	1.25	1.01–1.56	0.04
<b>Non high-risk medical conditions</b>							
Solid organ neoplasia	103	14.1	348	19.1	0.67	0.52–0.85	0.001
Haematologic neoplasia	39	5.4	40	2.2	2.57	1.62–4.07	<0.001
Transplantation	22	3.0	10	0.5	5.52	2.52–12.09	<0.001
Immunosuppressive treatment	35	4.8	67	3.7	1.35	0.87–2.08	0.18
Oral corticosteroid therapy	44	6.0	43	2.4	2.54	1.62–3.97	<0.001
Asplenia	2	0.3	8	0.4	0.66	0.14–3.11	0.60
Renal failure without hemodialysis	135	18.5	341	18.7	1.01	0.80–1.26	0.94
Nephrotic syndrome	7	1.0	14	0.8	1.14	0.45–2.88	0.78
Autoimmune disease	47	6.5	96	5.3	1.38	0.94–2.03	0.10
Chronic liver disease	28	3.8	95	5.2	0.76	0.49–1.18	0.22
Cognitive dysfunction	76	10.4	205	11.2	0.92	0.68–1.23	0.92
Neuromuscular disease	24	3.3	53	2.9	1.16	0.70–1.93	0.55
Convulsions	8	1.1	23	1.3	0.84	0.37–1.92	0.69

BMI: body mass index; CI: confidence interval; COPD: chronic obstructive pulmonary disease; OR: odds ratio.

to the A/Texas/50/2012 component, an antigenically similar virus.

Various factors affect influenza vaccine effectiveness (VE). One main factor is the antigenic similarity or dissimilarity between circulating strains and vaccine strains: VE decreases with increasing antigenic distance between vaccine components and circulating strains [6]. There was no mismatch in 2013/14 for the A(H1N1)pdm09 and A(H3N2) components but in 2014/15, some degree of mismatch for the A(H3N2) circulating strain was observed [7,8]. Another factor is the influenza illness rate, which may vary substantially from year to year; in years with low rates, the power of some studies to detect significant VE may be compromised [9]. Therefore, studies including more than one season are recommended in order to estimate VE.

The aim of this study was to assess the effectiveness of influenza vaccination in preventing hospitalisation

due to laboratory-confirmed influenza in individuals aged  $\geq 65$  years during two influenza seasons (2013/14 and 2014/15) in Spain.

## Methods

### Study design

We carried out a multicentre case–control study in 20 major hospitals from seven of 17 Spanish regions (Andalusia, the Basque Country, Catalonia, Castile and Leon, Madrid, Navarra and Valencian Community), covering 1,444,688 individuals aged  $\geq 65$  years and representing 16.8% of the Spanish population in this age group. Cases and corresponding controls admitted to participating hospitals between December 2013 and March 2015 were recruited.

### Selection of cases and controls

We selected patients aged  $\geq 65$  years who were hospitalised for at least 24 hours with laboratory-confirmed

**TABLE 3**

Crude and adjusted influenza vaccine effectiveness against hospitalisation because of influenza in individuals aged  $\geq 65$  years according to influenza season, presence or absence of high-risk medical conditions, case age and type/subtype of influenza virus, Spain, influenza seasons 2013/14 and 2014/15

Variables	Cases vaccinated/n	%	Controls vaccinated/n	%	Crude vaccine effectiveness	95% CI	p value	Adjusted vaccine effectiveness	95% CI	p value
All	359/728	49.3	1,053/1,826	57.7	27%	13–39	<0.001	36%	22–47	<0.001
2013/14 season	208/433	48.0	602/1,038	58.0	31%	13–45	0.002	37%	19–51	<0.001
2014/15 season	151/295	51.2	451/788	57.2	21%	–3 to 40	0.08	34%	10–52	0.01
Non high-risk medical conditions	42/104	40.4	159/255	62.4	54%	27–71	0.001	51%	15–71	0.01
High-risk medical conditions	317/624	50.8	894/1,571	56.9	21%	7–32	0.01	30%	14–44	<0.001
65–79 years of age	183/411	44.5	561/1,040	53.9	29%	11–44	0.003	39%	20–53	<0.001
$\geq 80$ years of age	176/317	55.5	492/786	62.6	24%	0–42	0.05	34%	12–51	0.01
Influenza A	334/687	48.6	991/1,717	57.7	30%	16–41	<0.001	37%	23–48	<0.001
Influenza A(H1N1) pdm09	139/325	42.8	464/823	56.4	41%	24–55	<0.001	49%	32–62	<0.001
Influenza A(H3N2)	138/256	53.9	393/652	60.3	22%	–5 to 42	0.10	26%	–3 to 47	0.08 <sup>a</sup>
Influenza B	24/39	61.5	58/103	56.3	–35%	–187 to 36	0.43	18%	–145 to 73	0.72 <sup>b</sup>

CI: confidence interval

<sup>a</sup> Statistical power: 74%.

<sup>b</sup> Statistical power: 10%.

(PCR, culture or immunofluorescence) influenza virus infection.

For each case, up to three matched controls from among patients aged  $\geq 65$  years with unplanned hospital admission due to causes other than influenza or acute respiratory disease were selected. Controls were matched with each case according to sex, age ( $\pm 3$  years) and date of hospitalisation ( $\pm 10$  days). They were selected from patients admitted to the internal medicine, general surgery, otorhinolaryngology, ophthalmology, dermatology or traumatology services wards. Patients referred from nursing homes and those who did not provide written informed consent were excluded.

### Data collection

The following demographic variables and pre-existing medical conditions were recorded: age, sex, marital status, educational level, smoking and high alcohol consumption ( $>40$  g/day for men and  $>24$  g/day for women), number of hospital visits during the last year, the Barthel Index as a measurement of limitations in activity (ranging from 0 (complete dependence) to 100 (complete independence)), chronic obstructive pulmonary disease (COPD), chronic respiratory failure, history of pneumonia during the last two years, other lung diseases, neoplasia, transplantation, immunosuppressive treatment, asplenia, diabetes mellitus, renal failure, nephrotic syndrome, autoimmune disease, AIDS, asymptomatic HIV infection, congestive heart disease, disabling neurological disease, obesity (body mass index  $\geq 30$  kg/m<sup>2</sup>), chronic liver disease,

haemoglobinopathy or anaemia, cognitive dysfunction, convulsions and neuromuscular disease. Information on influenza vaccination in the current and previous season, and information on pneumococcal vaccination was collected.

Cases were considered vaccinated with the current influenza vaccine or pneumococcal vaccine if they had received a dose of the vaccine  $\geq 14$  days before symptom onset. Controls were considered vaccinated if they had received a dose of the influenza vaccine  $\geq 14$  days before the onset of symptoms of the matched case. Influenza vaccination in the previous season in cases and controls was defined as administration of the seasonal influenza vaccine during the preceding influenza season.

### Statistical analysis

A bivariate comparison for matched data of demographic variables and medical conditions between cases and controls was made using McNemar's test. A two-tailed distribution was assumed for all p values.

A univariate conditional logistic regression model was used to estimate the crude VE in preventing influenza hospitalisation. Propensity score (PS) analysis was used to evaluate the adjusted VE. The PS was created using a logistic regression model with influenza vaccination status as the outcome and demographic variables, medical conditions and functional status as independent variables. The PS was used as a continuous covariate in a final conditional logistic regression model.

**TABLE 4**

Crude and adjusted influenza vaccine effectiveness against hospitalisation because of influenza in individuals aged  $\geq 65$  years according to current and previous influenza vaccination, Spain, influenza seasons 2013/14 and 2014/15

Vaccination status	Cases (n=728)		Controls (n=1,826)		Crude vaccine effectiveness	95% CI	p value	Adjusted vaccine effectiveness	95% CI	p value
	n	%	n	%						
Vaccinated in current season only	52	7.1	160	8.8	35%	7–54	0.02	41%	16–59	0.004
Vaccinated in previous season only	69	9.5	161	8.8	13%	–20 to 37	0.39	24%	–6 to 45	0.11 <sup>a</sup>
Vaccinated in both seasons	307	42.2	893	48.9	28%	13–41	0.001	42%	28–54	<0.001
Not vaccinated	300	41.2	612	33.5	Ref	Ref	Ref	Ref	Ref	Ref

CI: confidence interval; Ref: reference group for comparison.

<sup>a</sup> Statistical power: 54%.

Using the formula  $VE=(1 - OR) \times 100$ , VE was calculated globally, by season, for the presence of high-risk medical conditions, for age groups, for type/subtype of influenza virus and for each category of vaccine exposure: vaccinated only in current season, only in prior season, in both seasons, and unvaccinated in both seasons as the reference group.

The analysis was performed using the SPSS version 23 statistical package and the R version 3.3.0 statistical software [10].

### Ethical considerations

All data collected were treated as confidential, in strict observance of legislation on observational studies. The study was approved by the ethics committees of the participating hospitals. Written informed consent was obtained from all patients included in the study.

### Results

A total of 728 cases and 1,826 controls were included in the study. The distribution of cases and controls according to demographic variables, medical conditions and vaccination history is shown in Table 1. A total of 359 cases (49.3%) and 1,053 controls (57.7%) had received influenza vaccination. Of the 728 cases, 433 were from the 2013/14 season and 295 were from the 2014/15 season. Of the 433 cases from the 2013/14 season, 429 (99.1%) were infected with influenza A virus (59.8% were A(H1N1)pdm09, 30.5% were A(H3N2) and 8.8% were untyped), two cases were infected with influenza B virus and two cases were missing data for type and subtype. Of the 295 cases from the 2014/15 season, 258 (87.5%) were infected with influenza A virus (22.4% were A(H1N1)pdm09, 42.0% were A(H3N2) and 23.1% were untyped) and 37 (12.5%) were infected with influenza B virus.

Most cases (85.7%) and controls (78.9%) had high-risk medical conditions (Table 2).

The overall adjusted VE against influenza hospitalisation in individuals aged  $\geq 65$  years was 36% (95% CI:

22–47), without relevant differences between seasons (34%, 95% CI: 10–52 in 2013/14 and 37%, 95% CI: 19–51 in 2014/15) (Table 3). The adjusted VE was greater, but not significantly different, in patients without high-risk medical conditions (51%, 95% CI: 15–71) and in patients aged 65–79 years (39%, 95% CI: 20–53). Adjusted VE was 37% (95% CI: 23–48) for all influenza A viruses, 49% (95% CI: 32–62) for influenza A(H1N1)pdm09 and 26% (95% CI: –3 to 47) for influenza A(H3N2). Protection against influenza B was lower (VE 18%, 95% CI: –145 to 73), but the number of cases was very low (statistical power: 10%).

Adjusted VE against hospitalisation was 41% (95% CI: 16–59) among those only vaccinated in the current season and 42% (95% CI: 28–54) among those vaccinated in both the current and previous season. VE among those only vaccinated in the previous season only was 24% (95% CI: –6 to 45) (Table 4).

### Discussion

The results of this study over two seasons, one with predominant circulation of influenza A (H1N1)pdm09 and one with A(H3N2) predominance, show overall VE against hospitalisation in individuals aged  $\geq 65$  years was 36% (95% CI: 22–47).

Some studies investigating the prevention of influenza hospitalisation among individuals who are elderly show greater VE [11,12]. In a German study using the screening method, VE in preventing confirmed influenza hospitalisation in individuals aged  $\geq 60$  years varied between 62% in the 2011/12 season, when the predominant influenza virus strain was A(H3N2), and 83% in the 2010/11 season, when the predominant strain was A(H1N1)pdm09 [11]. However, these levels of VE might be an overestimate because information on comorbidities was not available to adjust them by [13]. A Spanish case–control study for the 2014/15 season, when the predominant strain was A(H3N2), using test-negative controls in 10 hospitals not included in the present study found a VE of 40% (95% CI: 13–59) in terms of preventing hospital admissions in patients 65

years of age and older [14]. A 2014 New Zealand study, also using a test-negative control design, found a VE of 21% (95% CI: -82 to 66) for influenza-related hospitalisation in patients aged  $\geq 65$  years [15].

An American test-negative study by Petrie et al. [16] during the 2014/15 season found a VE of 48% (95% CI: -33 to 80) in people aged  $\geq 65$  years, but the number of individuals included was lower than in the present study. A Chinese test-negative study in people aged  $>60$  years during the two seasons included in our study, but with a lower number of individuals than in our study, found a point estimate of VE of 27% (95% CI: -114 to 75) during the 2013/14 season. However, no effectiveness was observed in the 2014/15 season [17]. The possible influence of increasing age on VE has been investigated. In our study, adjusted VE against hospitalisations was 39% (95% CI: 20-53) in patients aged 65-79 years and 34% (95% CI: 12-51) in patients aged  $\geq 80$  years. Decreasing effectiveness has been linked to advanced age in different studies [12,18,19]. Senescence diminishes immunity to influenza infections and the response to vaccination, possibly explaining the lower VE in elderly individuals than in the general population [20].

In terms of analysing VE in older age groups, the German study by Remschmidt et al. found that the VE point estimate against laboratory-confirmed influenza was greater in individuals aged 60-69 years than in older individuals in the 2011/12 season, but the opposite was observed in the 2010/11 season [11]. More research is needed to assess this matter.

In our study, VE was 30% (95% CI: 14-44) in patients with high-risk medical conditions, which was lower than that found in patients without these conditions. Similar results were obtained by other studies [16,21]. In contrast, a 2014/15 Canadian test-negative case-control study of individuals aged  $\geq 1$  year by Skowronski et al. [22] did not find a lower age-adjusted VE in patients with comorbidities (16%, 95% CI: -28 to 44) than in patients without comorbidities (6%, 95% CI: -20 to 27). Comorbidities, like age, are strongly associated with a lack of response to vaccination [23]. In fact, one of the major mechanisms through which vaccination is thought to reduce mortality is by blunting influenza-triggered exacerbations of underlying diseases [9]. However, despite the limited VE, the benefits of vaccination may be greater in patients with comorbidities because influenza is associated with a higher risk of severe disease and death in these individuals [24].

Similar to the results of other studies of VE in elderly individuals [11,25], the present study found that VE for subtype A(H1N1)pdm09 was greater (49%, 95% CI: 32-62) than that for subtype A(H3N2) (26%, 95% CI: -3 to 47).

Small, non-significant VE differences were found according to season. In the 2013/14 season, an

antigenic mismatch was observed in the B virus component but the A(H1N1)pdm09 and A(H3N2) strains circulating were analogous to the seasonal vaccine strains [7]. However, in some Spanish regions, specific mutations of A(H1N1) and A(H3N2) strains associated with low VE and outbreaks in institutions were found [26]. In the 2014/15 season, mismatched A(H3N2) strains circulated widely around the world [27], but only accounted for 60% of influenza A virus isolates in Spain [8]. This might explain why no relevant differences were found in VE in these two influenza seasons.

In our study, VE in individuals vaccinated only in the current season was similar to that of individuals vaccinated in both the current and previous seasons (41%, 95% CI: 16-59 and 42%, 95% CI: 28-54, respectively), which does not support interference between current and previous vaccination. Three 2014/15 influenza season studies carried out on populations of various ages [16,22,28] reported that vaccination in the previous and current season may diminish VE only in the current season, suggesting negative interference from prior vaccination when the antigenic distance between the vaccine and circulating strains is large but the antigenic distance between vaccine components in consecutive seasons is small [22]. The effects of the various combinations of agent-host factors involved in this phenomenon remain unclear and more research is required to determine their influence on vaccine-induced influenza virus immunity in elderly individuals. However, in agreement with Neuzil [29], we consider that the current policy of administering the influenza vaccine every year should be maintained in the meantime. As the most-vulnerable elderly individuals are those with the most advanced age because they have a higher risk of hospitalisation and death compared with healthy elderly individuals aged 65-75 years [20], seasonal influenza vaccination programs in all elderly individuals should be reinforced.

This study has strengths and limitations. Strengths of this study are the matching design, the high number of covariates recorded and the fact that the vaccination status was obtained by consulting hospital records, vaccination cards and primary health registers.

The limitations include the fact that controls were not systematically swabbed and therefore they may, theoretically, have been infected with influenza virus. However, controls were patients with unplanned admission to hospital because of causes other than influenza or acute respiratory disease, and it seems unlikely that selection bias could invalidate our results. A possible confounder is the functional status; however, we included the Barthel Index in the propensity score and therefore this limitation is reasonably controlled for. Likewise, it is important to consider the weeks with influenza activity in the analysis, but because cases and controls were matched by admission date, we believe this is unlikely to invalidate the results. Another possible limitation is that cases and controls

were recruited in 20 major hospitals, but as these hospitals cover 16.8% of the Spanish population aged  $\geq 65$  years we believe that the study is representative of the older Spanish population. Also, we have not collected information on patients' influenza-like illness in previous seasons, but previous episodes of influenza does not usually act as a confounding factor that needs to be controlled for in studies evaluating influenza VE [30]. Finally, the low statistical power in the investigation of VE against influenza B virus because of the very low number of cases in the two seasons studied was another limitation.

In conclusion, the results of this study show that influenza vaccination was effective in preventing hospitalisations because of influenza in individuals who are elderly. The point estimates of the adjusted VE were highest in patients without high-risk medical conditions, in patients in the 65–79 years of age group and against the influenza A(H1N1)pdm09 subtype compared with the A(H3N2) subtype, although the 95% confidence limits overlapped. Finally, we found that VE was similar between vaccination only in the current season and vaccination in both the current and the previous seasons.

#### The members of the Project PI12/02079 Working Group are:

Andalusia: J.M. Mayoral (Servicio de Vigilancia de Andalucía), J. Díaz-Borrego (Servicio Andaluz de Salud), A. Morillo (Hospital Universitario Virgen del Rocío), M.J. Pérez-Lozano (Hospital Universitario Virgen de Valme), J. Gutiérrez (Hospital Universitario Puerta del Mar), M. Pérez-Ruiz, M.A. Fernández-Sierra (Complejo Hospitalario Universitario de Granada). Castile and Leon: S. Tamames (Dirección General de Salud Pública, Investigación, Desarrollo e Innovación, Junta de Castilla y León), S. Rojo-Rello (Hospital Clínico Universitario de Valladolid), R. Ortiz de Lejarazu (Universidad de Valladolid), M.I. Fernández-Natal (Complejo Asistencial Universitario de León), T. Fernández-Villa (GIGAS-Grupo de Investigación en Interacción Gen-Ambiente y Salud, Universidad de León), A. Pueyo (Hospital Universitario de Burgos), Vicente Martín (Universidad de León; CIBERESP). Catalonia: A. Vilella (Hospital Clínic), M. Campins, A. Antón (Hospital Universitari Vall d'Hebron, Universitat Autònoma de Barcelona), G. Navarro (Corporació Sanitària i Universitària Parc Taulí), M. Riera (Hospital Universitari MútuaTerrassa), E. Espejo (Hospital de Terrassa), M.D. Mas, R. Pérez (ALTHAIA, Xarxa Hospitalaria de Manresa), J.A. Cayla, C. Rius (Agència de Salut Pública de Barcelona; CIBERESP), P. Godoy (Agència de Salut Pública de Catalunya; Institut de Recerca Biomèdica de Lleida, Universitat de Lleida; CIBERESP), N. Torner (Agència de Salut Pública de Catalunya; Universitat de Barcelona; CIBERESP), C. Izquierdo, R. Torra (Agència de Salut Pública de Catalunya), L. Force (Hospital de Mataró), A. Domínguez, N. Soldevila, I. Crespo (Universitat de Barcelona; CIBERESP), D. Toledo (Universitat de Barcelona). Madrid: J. Astray, M.F. Domínguez-Berjon, M.A. Gutiérrez, S. Jiménez, E. Gil, F. Martín, R. Génova-Maleras (Consejería de Sanidad), M.C. Prados, F. Enzzine de Blas, M.A. Salvador, J. Rodríguez, M. Romero (Hospital Universitario la Paz), J.C. Galán, E. Navas, L. Rodríguez (Hospital Ramón y Cajal), C.J. Álvarez, E. Banderas, S. Fernandez (Hospital Universitario 12 de Octubre). Navarra: J. Chamorro (Complejo Hospitalario de Navarra), I. Casado, J. Díaz (Instituto de Salud Pública de Navarra), J. Castilla (Instituto de Salud Pública, Instituto de

Investigación Sanitaria de Navarra; CIBERESP). The Basque Country: M. Egurrola, M.J. López de Goicoechea (Hospital de Galdakao). Valencia Community: M. Morales-Suárez-Varela (Universidad de Valencia; CIBERESP), F. Sanz (Consorci Hospital General Universitari de Valencia).

#### Acknowledgements

Funding: This work was supported by the National Plan of I+D+I 2008–2011 and ISCIII-Subdirección General de Evaluación y Fomento de la Investigación (Project PI12/02079), and co-funded by Fondo Europeo de Desarrollo Regional (FEDER) and the Catalan Agency for the Management of Grants for University Research (AGAUR grant number 2014/SGR 1403).

The funders had no role in study design, data collection and analysis, decision to publish or preparation of the manuscript.

#### Conflict of interest

None declared.

#### Authors' contributions

All the authors participated in the study design, implementation and interpretation. AD, NS and DT had full access to all the study data and take responsibility for the data accuracy of the data analysis. AD and NS designed the study and drafted the report. NS conducted the statistical analysis. PG, EE, MAF, JMM, JC, ME, ST, JA and MMSV designed and supervised the study, and reviewed the draft report. The other members of the Working Group contributed to the design of the study, patient recruitment, data collection and interpretation of the results.

#### References

1. Treanor JJ. Influenza Viruses Including Avian Influenza. In: Bennet JE, Dolin R, Blaser MJ, editors. *Mandell, Douglas, and Bennett's Principles and Practice of Infectious Diseases*. 8th ed. Philadelphia: Elsevier; 2015. p. 2000–29.
2. World Health Organization (WHO). Influenza (Seasonal): Fact sheet. Geneva: WHO; Nov 2016. [Accessed 2 Aug 2016]. Available from: <http://www.who.int/mediacentre/factsheets/fs211/en/>
3. Foppa IM, Cheng PY, Reynolds SB, Shay DK, Carias C, Bresee JS, et al. Deaths averted by influenza vaccination in the U.S. during the seasons 2005/06 through 2013/14. *Vaccine*. 2015;33(26):3003–9. DOI: 10.1016/j.vaccine.2015.02.042 PMID: 25812842
4. Bonmarin I, Belchior E, Lévy-Bruhl D. Impact of influenza vaccination on mortality in the French elderly population during the 2000–2009 period. *Vaccine*. 2015;33(9):1099–101. DOI: 10.1016/j.vaccine.2015.01.023 PMID: 25604800
5. Lang PO, Mendes A, Socquet J, Assir N, Govind S, Aspinall R. Effectiveness of influenza vaccine in aging and older adults: comprehensive analysis of the evidence. *Clin Interv Aging*. 2012;7:55–64. DOI: 10.2147/CIA.S25215 PMID: 22393283
6. Beyer WE, McElhaney J, Smith DJ, Monto AS, Nguyen-Van-Tam JS, Osterhaus AD. Cochrane re-arranged: support for policies to vaccinate elderly people against influenza. *Vaccine*. 2013;31(50):6030–3. DOI: 10.1016/j.vaccine.2013.09.063 PMID: 24095882
7. Sistema de Vigilancia de la Gripe en España. Informe de Vigilancia de la Gripe en España. Temporada 2013–2014 (Desde la semana 40/2013 hasta la semana 20/2014). [Influenza surveillance report in Spain. Season 2013/2014. (From week 40/2013 until week 20/2014)]. Madrid: Instituto de Salud Carlos III. [Accessed 10 Nov 2016]. Spanish. Available from: [http://www.isciii.es/ISCIII/es/contenidos/fd-servicios-cientifico-tecnicos/fd-vigilancias-alertas/fd-enfermedades/Informe\\_Vigilancia\\_GRIPE\\_2013-2014\\_v19122014.pdf](http://www.isciii.es/ISCIII/es/contenidos/fd-servicios-cientifico-tecnicos/fd-vigilancias-alertas/fd-enfermedades/Informe_Vigilancia_GRIPE_2013-2014_v19122014.pdf)

8. Sistema de Vigilancia de la Gripe en España. Informe de Vigilancia de la Gripe en España. Temporada 2014-2015 (Desde la semana 40/2014 hasta la semana 20/2015). [Influenza surveillance report in Spain. Season 2014/2015. (From week 40/2014 until week 20/2015)]. Madrid: Instituto de Salud Carlos III. [Accessed 22 Aug 2016]. Spanish. Available from: [http://www.isciii.es/ISCIII/es/contenidos/fd-servicios-cientifico-tecnicos/fd-vigilancias-alertas/fd-enfermedades/pdf\\_2015/Informe\\_Vigilancia\\_GRIPE\\_2014-2015\\_vf\\_29092015.pdf](http://www.isciii.es/ISCIII/es/contenidos/fd-servicios-cientifico-tecnicos/fd-vigilancias-alertas/fd-enfermedades/pdf_2015/Informe_Vigilancia_GRIPE_2014-2015_vf_29092015.pdf)
9. Fiore AE, Bridges CB, Katz JM, Cox NJ. Inactivated influenza vaccines. In: Plotkin SA, Orenstein WA, Offit PA, editors. *Vaccines*. 6th ed. Philadelphia: Elsevier; 2013. p. 257-93.
10. R Core Team. R: A Language and Environment for Statistical Computing. Vienna, Austria: R Foundation for Statistical Computing. [Accessed 1 Aug 2017]. Available from: <https://www.R-project.org>
11. Remschmidt C, Rieck T, Bödeker B, Wichmann O. Application of the screening method to monitor influenza vaccine effectiveness among the elderly in Germany. *BMC Infect Dis*. 2015;15(1):137. DOI: 10.1186/s12879-015-0882-3 PMID: 25887460
12. Talbot HK, Griffin MR, Chen Q, Zhu Y, Williams JV, Edwards KM. Effectiveness of seasonal vaccine in preventing confirmed influenza-associated hospitalizations in community dwelling older adults. *J Infect Dis*. 2011;203(4):500-8. DOI: 10.1093/infdis/jiq076 PMID: 21220776
13. Minodier L, Blanchon T, Souty C, Turbelin C, Leccia F, Varesi L, et al. Influenza vaccine effectiveness: best practice and current limitations of the screening method and their implications for the clinic. *Expert Rev Vaccines*. 2014;13(8):1039-48. DOI: 10.1586/14760584.2014.930666 PMID: 24946796
14. Puig-Barberà J, Mira-Iglesias A, Tortajada-Girbes M, Lopez-Labrador FX, Belenguier-Varea A, Carballido-Fernandez M, et al. Effectiveness of influenza vaccination programme in preventing hospital admissions, Valencia, 2014/15 early results. *Euro Surveill*. 2015;20(8):21044. DOI: 10.2807/1560-7917.ES2015.20.8.21044 PMID: 25742432
15. Piersie N, Kelly H, Thompson MG, Bissielo A, Radke S, Huang QS, et al. Influenza vaccine effectiveness for hospital and community patients using control groups with and without non-influenza respiratory viruses detected, Auckland, New Zealand 2014. *Vaccine*. 2016;34(4):503-9. DOI: 10.1016/j.vaccine.2015.11.073 PMID: 26685091
16. Petrie JG, Ohmit SE, Cheng CK, Martin ET, Malosh RE, Lauring AS, et al. Influenza vaccine effectiveness against antigenically drifted influenza higher than expected in hospitalized adults: 2014-2015. *Clin Infect Dis*. 2016;63(8):1017-25. DOI: 10.1093/cid/ciw432 PMID: 27369320
17. Qin Y, Zhang Y, Wu P, Feng S, Zheng J, Yang P, et al. Influenza vaccine effectiveness in preventing hospitalization among Beijing residents in China, 2013-15. *Vaccine*. 2016;34(20):2329-33. DOI: 10.1016/j.vaccine.2016.03.068 PMID: 27026147
18. Turner N, Piersie N, Huang QS, Radke S, Bissielo A, Thompson MG, et al. Interim estimates of the effectiveness of seasonal trivalent inactivated influenza vaccine in preventing influenza hospitalisations and primary care visits in Auckland, New Zealand, in 2014. *Euro Surveill*. 2014;19(42):20934. DOI: 10.2807/1560-7917.ES2014.19.42.20934 PMID: 25358042
19. McLean HQ, Thompson MG, Sundaram ME, Kieke BA, Gaglani M, Murthy K, et al. Influenza vaccine effectiveness in the United States during 2012-2013: variable protection by age and virus type. *J Infect Dis*. 2015;211(10):1529-40. DOI: 10.1093/infdis/jiu647 PMID: 25406334
20. McElhaney JE, Zhou X, Talbot HK, Soethout E, Bleackley RC, Granville DJ, et al. The unmet need in the elderly: how immunosenescence, CMV infection, co-morbidities and frailty are a challenge for the development of more effective influenza vaccines. *Vaccine*. 2012;30(12):2060-7. DOI: 10.1016/j.vaccine.2012.01.015 PMID: 22289511
21. Spadea A, Unim B, Colamesta V, Meneghini A, D'Amici AM, Giudiceandrea B, et al. Is the adjuvanted influenza vaccine more effective than the trivalent inactivated vaccine in the elderly population? Results of a case-control study. *Vaccine*. 2014;32(41):5290-4. DOI: 10.1016/j.vaccine.2014.07.077 PMID: 25087677
22. Skowronski DM, Chambers C, Sabaiduc S, De Serres G, Winter AL, Dickinson JA, et al. A perfect storm: impact of genomic variation and serial vaccination on low influenza vaccine effectiveness during the 2014-2015 season. *Clin Infect Dis*. 2016;63(1):21-32. DOI: 10.1093/cid/ciw176 PMID: 27025838
23. Treanor JJ. Influenza Viruses. In: Kaslow RA, Stanberry LR, Le Duc JW, editors. *Viral Infections of Humans*. 5th ed. New York: Springer; 2014. p. 455-78.
24. High K. Immunizations in older adults. *Clin Geriatr Med*. 2007;23(3):669-85, viii-ix. DOI: 10.1016/j.cger.2007.03.007 PMID: 17631240
25. Flannery B, Clippard J, Zimmerman RK, Nowalk MP, Jackson ML, Jackson LA, et al. Early estimates of seasonal influenza vaccine effectiveness - United States, January 2015. *MMWR Morb Mortal Wkly Rep*. 2015;64(1):10-5. PMID: 25590680
26. Castilla J, Martínez-Baz I, Navascués A, Fernández-Alonso M, Reina G, Guevara M, et al. Vaccine effectiveness in preventing laboratory-confirmed influenza in Navarre, Spain: 2013/14 mid-season analysis. *Euro Surveill*. 2014;19(6):20700. DOI: 10.2807/1560-7917.ES2014.19.6.20700 PMID: 24556347
27. World Health Organization. Recommended composition of influenza virus vaccines for use in the 2015-2016 northern hemisphere influenza season. *Wkly Epidemiol Rec*. 2015;90(11):97-108. PMID: 25771542
28. Castilla J, Navascués A, Fernández-Alonso M, Reina G, Pozo F, Casado I, et al. Effectiveness of subunit influenza vaccination in the 2014-2015 season and residual effect of split vaccination in previous seasons. *Vaccine*. 2016;34(11):1350-7. DOI: 10.1016/j.vaccine.2016.01.054 PMID: 26854911
29. Neuzil KM. How can we solve the enigma of influenza vaccine-induced protection? *J Infect Dis*. 2015;211(10):1517-8. DOI: 10.1093/infdis/jiu651 PMID: 25416811
30. Castilla J, Navascués A, Fernández-Alonso M, Reina G, Albéniz E, Pozo F, et al. Effects of previous episodes of influenza and vaccination in preventing laboratory-confirmed influenza in Navarre, Spain, 2013/14 season. *Euro Surveill*. 2016;20(22):30243. DOI: 10.2807/1560-7917.ES.2016.21.22.30243 PMID: 27277013

### License and copyright

This is an open-access article distributed under the terms of the Creative Commons Attribution (CC BY 4.0) Licence. You may share and adapt the material, but must give appropriate credit to the source, provide a link to the licence, and indicate if changes were made.

This article is copyright of the authors, 2017.